

Business and Administration

Centre Handbook

OCR Level 4 NVQ Diploma in Business and Administration

Entry code 10362

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# 1 Introduction to Level 4 NVQ Diploma in Business and Administration

The information provided in this handbook is correct at the time of production. Occasionally we may update this information. Please refer to the qualification [webpages](#) for the most up-to-date information.

Staff involved in the delivery of this qualification must have access to and understand the requirements in this handbook.

You should read this document in conjunction with the [Admin guide: Vocational Qualifications](#).

You should ensure candidates are informed of the title and level of the qualification they have been entered for and that Oxford Cambridge and RSA Examinations (OCR) is the awarding body for their chosen qualification.

## 1.1 Why choose Level 4 NVQ Diploma in Business and Administration?

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The aim of this qualification is to:

- develop candidates' high level understanding of Business and Administration
- develop candidates skills and competence in developing, implementing and monitoring administrative services within their organisation.

This will give candidates the opportunity to:

- achieve a nationally recognised qualification valued by employers
- progress to employment
- prepare for employment in a specific occupational sector
- progress to further study in Further Education (FE) or Higher Education (HE)
- continue professional development.

This qualification:

- supports development of Functional Skills, Essential Skills
- consists of units based on the national occupational standards developed by Skills CfA, the Sector Skills Council for the Business and Administration sector
- is regulated in the Qualifications and Credit Framework (QCF) and is eligible for funding
- appears on the Register of Regulated Qualifications <http://register.ofqual.gov.uk/>
- is supported by Skills CfA, the Sector Skills Council for Business and Administration
- is internally assessed by your assessors and quality assurance personnel and externally verified by us.

## 1.2 Entry requirement

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All staff involved in the assessment or delivery of this qualification should understand the requirements of the qualification and match them to the needs and capabilities of individual candidates before entering them for this qualification.

This qualification has been developed so it is free from any barriers that restrict access or progression and therefore promote equal opportunities.

This qualification is accredited in the QCF for learners aged 18 years and over.

There are no formal entry requirements for this qualification.

There is no requirement for any specific prior learning. We recommend that an initial assessment should take place to ensure the candidate is capable of reaching the required standards.

## 1.3 Funding

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This qualification is eligible for funding.

For further details regarding approval and funding eligibility you should refer to the following websites:

Department for Education (DfE) under [Section 96 of the Learning and Skills Act 2000](#)

[Skills Funding Agency](#) for public funding in England

DAQW – [Database of Approved Qualifications](#) for public funding in Wales

[Department for Employment and Learning](#) for public funding in Northern Ireland

[Education Funding Agency](#) for public funding information for 16-19 learners in England

You should use the Qualification Number (QN) when looking for public funding for candidates. Each unit within a qualification will also have a unit reference number.

If you have any queries regarding funding for this qualification contact us by email at [funding@ocr.org.uk](mailto:funding@ocr.org.uk).

## 1.4 Guided learning hours (GLH)

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Guided learning hours indicate the approximate time (in hours) the tutor will spend supervising or directing study time and assessment.

This qualification requires a minimum of 194 guided learning hours.

## 2 Qualification summary

### 2.1 Level 4 NVQ Diploma in Business and Administration summary

<b>OCR entry code</b>	10362	<b>Qualification Number (QN)</b>		601/2524/X
<b>Approved age group</b>	Pre-16	16-18	18+	19+
	No	No	Yes	Yes
<b>This qualification is suitable for</b>	<ul style="list-style-type: none"> <li>• candidates studying for career development and who are already in employment</li> <li>• candidates wishing to gain a Level 4 qualification to support further study in Further Education (FE) and Higher Education (HE) in the Business and Administration sector</li> <li>• candidates wishing to gain a Level 4 qualification to support further study in FE or Higher Education (HE) in any other sector or subject area</li> <li>• candidates taking a Business and Administration Higher apprenticeship</li> </ul>			
<b>Entry requirements</b>	There are no formal entry requirements for this qualification.			
<b>Credit requirement</b>	Minimum 53 credits			
<b>Structure and options</b>	6 mandatory units from mandatory group A	20 credits		
	Minimum credit from optional group B	33 credits		
	Minimum credit to be achieved at level 4	35		
<b>Assessment model</b>	<p>This qualification is pass/fail</p> <p>This qualification is internally assessed by your assessors and quality assurance personnel and externally verified by OCR.</p>			
<b>Last date to enter candidates</b>	<p>This is the operational end date in the Register of Regulated qualifications. We will always provide you with advanced notice when setting a last entry date and a last certification date.</p>			

## 3 Structure and content

### 3.1 Qualification structure and rule of combination

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Each qualification in the Qualifications and Credit Framework (QCF) has a Rule of Combination (ROC).

The ROC specifies how units can be combined and the overall number of credits to be achieved for the qualification to be awarded.

Candidates do not have to achieve units in any particular order and learning programmes should be tailored to meet individual needs. It is recommended that, wherever possible, you deliver this qualification holistically by identifying opportunities to link the units.

If a candidate is not able to complete the full qualification, we will issue unit certificates listing the unit(s) and credit achieved.

When combining units for the chosen qualification, it is your responsibility to ensure the rule of combination is followed.

The units are available to download from our qualification webpage.

[Vocational Qualifications \(QCF\) - Business and Administration Level 4 Diploma \(NVQ\) - 10362](#)

## 3.2 OCR Level 4 NVQ Diploma in Business and Administration

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Qualification Number 601/2524/X

53 credits required for this qualification

A minimum of 35 credits at Level 4

Mandatory Group A

6 Units - 20 credits

+

Optional Group B – minimum of 33 credits

A minimum of 15 credits at Level 4

Refer to section 3.3 for full details of barred combinations, equivalencies and exemptions.



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 Rule of combination for Level 4 NVQ Diploma in Business and Administration

Candidates must achieve 53 credits of which 35 credits must be at level 4. 20 credits from the mandatory units from group A and a minimum of 33 credits from Optional group B.

The following barred combinations apply to this qualification achievement of L/601/2536 or T/601/2563

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OCR Unit No	SSC Unit No	Unit Title	Unit reference Number (URN)	Credit	Level	GLH
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## Group A – Mandatory units

401		Manage and be accountable for own performance in a business environment	L/601/2553	3	4	18
402		Evaluate and improve own performance in a business environment	R/601/2554	4	4	14
403		Support the purpose and values of an organisation	Y/601/2555	3	4	14
404		Support sustainability in a business environment	D/601/2556	3	4	14
405		Assess, manage and monitor risk in a business environment	H/601/2557	4	4	18
409		Communicate in a business environment	M/601/2562	3	4	25

OCR Unit No	SSC Unit No	Unit Title	Unit reference Number (URN)	Credit	Level	GLH
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## Group B – Optional units

406		Evaluate and solve business problems	K/601/2558	6	4	16
407		Make decisions in a business environment	H/601/2560	4	4	24
408		Negotiate in a business environment	K/601/2561	7	4	40
414		Manage an office facility	L/601/2567	6	4	30
415		Propose and design administrative services	Y/601/2569	8	4	36
416		Prepare, co-ordinate and monitor operational plans	L/601/2570	6	4	26
417		Implement, monitor and maintain administrative services	Y/601/2572	7	4	15
327		Contribute to running a project	J/601/2549	5	3	30
505		Manage a project	A/601/2578	10	5	33
320		Plan and organise an event	R/601/2540	4	3	28

321		Co-ordinate an event	Y/601/2541	4	3	30
322		Plan and organise meetings	D/601/2542	5	3	25
323		Organise business travel or accommodation	H/601/2543	5	3	20
324		Evaluate the organisation of business travel or accommodation	K/601/2544	2	3	10
413		Chair meetings	J/601/2566	4	4	16
310		Develop a presentation	M/601/2528	3	3	15
311		Deliver a presentation	T/601/2529	3	3	15
328		Deliver, monitor and evaluate customer service to internal customers	A/601/2550	3	3	12
329		Deliver, monitor and evaluate customer service to external customers	F/601/2551	3	3	12
353		Use customer service as a competitive tool	D/601/1228	8	3	53
354		Monitor and solve customer service problems	J/601/1515	6	3	40
429		Plan, organise and control customer service operations	A/601/1236	10	4	67
316		Support the design and development of an information system	L/601/2536	7	3	35
317		Monitor information systems	R/601/2537	7	3	30
318		Analyse and report data	Y/601/2538	6	3	30
410		Design and develop an information system	T/601/2563	7	4	30
411		Manage and evaluate an information system	A/601/2564	6	4	20
319		Order products and services	D/601/2539	5	3	35
330		Agree a budget	J/601/2552	4	3	25
412		Prepare specifications for contracts	F/601/2565	5	4	30
421		Manage budgets	T/601/2580	5	4	29
501		Invite tenders and select contractors	R/601/2585	6	5	32
502		Monitor and evaluate contracts	Y/601/2586	6	5	35
418		Contribute to innovation in a business environment	K/601/2575	6	4	25
419		Plan change for a team	M/601/2576	6	4	22
503		Plan change across teams	D/601/2587	6	5	26
504		Implement, monitor and review change	K/601/2589	6	5	20
422		Manage physical resources	K/600/9711	3	4	25
423		Manage the environmental impact of work activities	M/600/9712	5	4	10
428		Develop working relationships with colleagues and stakeholders	K/600/9661	4	4	20
426		Provide leadership and direction for own area of responsibility	T/600/9601	5	4	30

427		Support learning and development within own area of responsibility	M/600/9676	5	4	25
521		Monitor and review business processes	A/600/9759	3	5	20
524		Recruit staff in own area of responsibility	T/600/9663	4	5	25

### 3.3 Barred combinations, equivalencies and exemptions

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#### 3.3.1 Barred combinations

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These are units that cannot be counted together as part of the rule of combination for this qualification.

The following table lists the units in this qualification that are barred with other units.

OCR Unit No	Unit title	Unit Reference No (URN)	Barred with
316	Support the design and development of an information system	L/601/2536	OCR Unit 410 T/601/2563
410	Design and develop an information system	T/601/2563	OCR Unit 316 L/601/2536

### 3.3.2 Equivalencies

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These specify when a candidate can count credits from units achieved in other QCF qualifications in place of units identified in the qualification's rule of combination.

There are no equivalencies for this qualification.

### 3.3.3 Exemptions

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These are based on certificated achievement outside the QCF which is judged to be of equal value to a QCF unit or units.

There are no exemptions for this qualification.

## 4 Apprenticeship information

### 4.1 How do I get a certificate for the apprenticeship?

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The Higher Apprenticeship in Business and Professional Administration is certificated by Skills CfA. For full details of frameworks see <http://www.skillsca.org/apprenticeships.html>

### 4.2 About the apprenticeships

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The higher apprenticeship programme is designed for people who have significant experience of working in a business environment and who work with a high level of autonomy. This apprenticeship consists of learning and qualifications necessary to provide Business and Professional Administration apprentices with the skills and knowledge they need to become competent in their chosen job and improve their employment prospects. The programmes aim to develop an individual's confidence in their abilities by developing work-related knowledge, skills and understanding.

The framework includes a balance of content in Business and Administration, designed to provide apprentices with an opportunity to develop the skills, knowledge and experience they will need to progress to roles with additional responsibilities and onto further and higher education, if they wish to do so.

The Higher Apprenticeship framework is suitable for those in a range of roles and industries, for example office managers, administration team leaders, personal assistants or business development executives.

### 4.3 What makes up an apprenticeship?

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The minimum Guided Learning Hours (GLH) for the Business and Professional Administration Higher Apprenticeship is 386 hours. There is no maximum time frame for the Higher Apprenticeship. However, it is expected that the Apprenticeship will last a minimum of 12 months. Individual circumstances (such as significant prior learning or the requirement to deliver some basic skills training first) may impact on the period of learning required. The amount of time the apprenticeship takes will also be influenced by the opportunities available within the workplace and the level of support given by employers and learning providers.

The higher apprenticeship is made up of:

- Competence qualification(s) i.e. the OCR Level 4 NVQ Diploma in Business and Administration
- Knowledge qualification(s) i.e. the OCR Level 4 Diploma in Business and Administration.

# 5 Centre assessor and quality assurance personnel requirements

## 5.1 Assessment centre requirements

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The occupational expertise of those undertaking the roles of assessment and internal quality assurance is one of the key factors underpinning valid, fair and reliable assessment. The integrity of assessments and quality assurance is of paramount importance.

Your centre must:

- ensure there are sufficient trained or qualified personnel to assess the expected number of candidates
- ensure there are sufficient trained or qualified personnel to internally quality assure for the number of candidates and assessors (i.e. you must have at least one assessor and one Internal Quality Assurer (IQA) to run this qualification)
- put systems and internal quality assurance personnel in place to ensure that all assessments are valid, reliable, safe and manageable and suitable to the needs of the candidate
- ensure evidence is authentic and sufficient
- ensure there is a standardisation process in place to ensure that all assessments are consistent and fair
- ensure those undertaking the roles of quality assurance and assessment maintain their skills, knowledge and understanding regarding assessment and quality assurance and the associated qualification
- ensure there is sufficient time to conduct effective assessment and internal quality assurance
- ensure any corrective measures, identified by OCR external verifiers and OCR, are addressed.

## 5.2 Centre assessor responsibilities

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Assessment is an activity carried out by an individual either as a specific role or as part of a wider role e.g. teacher, tutor, supervisor, manager.

All centre assessors must:

- have the role of assessor identified within their role profile
- be occupationally competent, according to current sector practice, in the functions covered by the units they are assessing
- be familiar with the units that relate to the qualification
- be able to interpret and make judgements on current working practices and technologies within the area of work
- actively engage in continuous professional development activities to keep up-to-date with developments within the sector
- judge candidates' work against the assessment criteria identified in the units
- identify valid and sufficient evidence
- ensure authentication of candidates' work (see section 6.7)
- identify gaps in evidence and ensure these are resolved before the unit is claimed
- give feedback to candidates
- liaise with other assessors in the centre to ensure assessment decisions are consistent and to the required standard
- confirm candidate achievement by completing and signing the required OCR documentation
- maintain records of candidates' achievements needed in the event of any submission or results enquiries.

Assessors must have the necessary expertise, which **must** include assessors holding, or be working towards, appropriate qualifications, such as:

- Award in Assessing Competence in the Work Environment
- Certificate in Assessing Vocational Achievement
- Assess candidates using a range of methods (A1)
- Assess candidate performance D32
- Assess candidates using differing sources of evidence D33

You must provide evidence of the training being undertaken or proof of relevant qualifications.

## 5.3 Internal Quality Assurance Personnel (IQA)

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Internal quality assurance is an activity carried out by an individual either as a specific role or as part of an individual's wider role.

The IQA should have sufficient level of understanding to allow them to judge whether the assessor has fully assessed candidates against all the assessment criteria in the unit.

IQAs must:

- be occupationally knowledgeable across the range of units for which they are responsible prior to commencing the role
- sample the assessment process to ensure accurate and consistent decisions between centre assessors against the qualification standards
- provide feedback to assessors to resolve differences on assessment decisions
- ensure assessment has been carried out by assessors who are occupationally competent within the area they are assessing
- actively engage in continuous professional development activities in order to keep up-to-date with developments within the sector
- respond appropriately to corrective measures identified by OCR external verifiers and OCR.

Those making quality assurance decisions must have the necessary expertise to do so, which **must** include IQA's holding, or be working towards, appropriate qualifications, such as:

- Award in Internal Quality Assurance of the Assessment Processes and Practices
- Award in Conducting Internal Quality Assurance of the Assessment Process (V1)
- Internally verify the assessment process D34

You must provide evidence of the training being undertaken or proof of relevant qualifications.

## 5.4 Continuing Professional Development (CPD)

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All centre assessors and internal quality assurance personnel need to prove they have current working knowledge of the sector. This can be demonstrated by keeping records of evidence from occupational activities such as:

- Internal or external work experience
- Internal or external work shadowing
- Other relevant CPD activities.



## 6 Verified assessment

This section provides guidance on verified assessment: how to plan and manage assessment and what controls must be applied throughout the process. Your centre must comply with [OCR's criteria for verified qualifications](#).

### 6.1 Overview of the assessment

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This qualification is internally assessed by centre assessors and quality assured by internal quality assurance personnel and externally verified by OCR.

Please note that you must familiarise yourself with the CfA Assessment Strategy – 2009 Business & Administration Standards, in addition to the Assessment Strategy for IT, Customer Services and/or Management, if assessing units imported into the Business Administration suite from any of these sectors.

#### Teaching and Learning

Where teaching content is contained within the unit, it is not exhaustive and may be expanded or tailored to particular contexts in which the unit is being taught and the assessment criteria applied.

We recommend that teaching and development of subject content and associated skills be referenced to real-life situations, using appropriate work-based contact and vocationally experienced delivery personnel.

#### Evidence

The Business and Administration competence-based qualifications recognise competence in the workplace. Therefore evidence of competence should come from workplace activity and reflect attainment within an organisational context. When you are satisfied that your candidate has met all of the requirements for a unit, you must sign an evidence record sheet to confirm the assessment process is complete.

### 6.2 Initial assessment of candidates

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It is important that you carry out an initial assessment to identify candidates' level of competence, knowledge and understanding and any potential gaps that need to be addressed.

This will also:

- help you and the candidates identify the most appropriate optional units
- allow you to plan the assessment
- enable candidates to understand the best place to start generating evidence.

See section 10.8. for Recognition of Prior Learning.

## 6.3 Planning assessment

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Centre assessors must take responsibility for planning assessment with candidates. This will involve discussing details with candidates including:

- agreeing the best source of evidence to use for particular units
- determining the best way of assessing the candidate
- confirming the best times, dates and places for the assessments to take place
- agreeing a flexible timetable for unit completion and assessment.

Centre assessors must make a note of their assessment planning and regularly give feedback to candidates.

## 6.4 Real work

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Real work is 'where the candidate is engaged in activities that contribute to the aims of the organisation by whom they are employed' for example in paid employment or working in a voluntary capacity or on an extensive work experience programme.

Where the candidate's performance is assessed within the workplace, this evidence should naturally occur within the candidate's work role. This will include the candidate's application of knowledge. This principle will apply to competence units, except where simulation is acceptable (see Simulation section 6.5).

Workplace evidence may be gathered in full, part-time, casual or voluntary employment or a 'work placement' e.g. fulltime students placed into a working environment for a day per week.

## 6.5 Realistic working environment (RWE)

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In line with the CfA Assessment Strategy, evidence can be produced at work or in a realistic working environment (RWE) for the Business and Administration sector units in this qualification.

A realistic working environment is regarded as one that replicates what is likely to happen when an individual is carrying out their normal duties and activities in a real working environment.

In RWE candidates produce evidence of their performance subject to all of the following conditions:

- time pressures
- work problems
- accountabilities
- tools to do the job.

## 6.6 Simulation

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Simulation is a practical and effective tool for establishing skill and understanding, where naturally occurring evidence of competence is unavailable or infrequent. Simulation should only be used where the candidate is unable to complete the unit(s) because of the lack of opportunity within their practice/workplace. This should be in the minority of cases.

Where simulations are used they **must** replicate working activities in a realistic working environment.

A realistic working environment (for the purpose of simulated work activities) is regarded as one that replicates what is likely to happen when an individual is carrying out their normal duties and activities in a real working environment.

Internal quality assurance personnel must agree the use of simulated activities before they take place and must sample all evidence produced through simulated activities (see section Internal quality assurance).

Units in Levels 3, 4 and 5 should be completed with as little simulation as possible as tasks performed require more specific circumstances, which a RWE may struggle to replicate.

All units within the OCR NVQ Business & Administration suite that are imported from other sectors (please see unit tables) must be assessed in line with the requirements of the Assessment Strategy for that sector.

### Management

Simulation is not allowed for any of the Management sector units.

### Customer Service

Higher level units imported from the Customer Service sector may only be assessed using real work.

### IT

All IT units imported into the Business & Administration NVQ suite are ITQ units and the evidence for these units therefore may come from any of the areas listed in the ITQ handbook.

If a candidate has already achieved one of these units whilst working towards an ITQ qualification, the credit from this unit will automatically count towards their Business and Administration full qualification. In this case, the unit will have been moderated by post by an ITQ moderator and no further action needs to be taken by the centre – as long as the candidate name is the same on both registrations, OCR systems will pick this up automatically.

However, if a candidate wishes to take one or more of the IT units available within the Rules of Combination as part of their Business and Administration NVQ but they have not registered for a full ITQ qualification, the assessment of this unit will be different.

Under these circumstances, the work for the unit will be assessed within the centre in the normal way, but **will NOT then be sent to an OCR ITQ moderator**. The evidence should be internally verified by the Internal Verifier for Business and Administration, kept in the same way as all the other units for this qualification and will be externally verified by the OCR External Verifier.

## 6.7 Methods of assessment

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In partnership with CfA and other awarding bodies, we have identified the main assessment methods suitable for this qualification:

- direct **observation** of practice by a qualified assessor or by the expert witness for occupational specific units
- **examining the evidence** by an assessor
- **questioning** the candidate or witness by an assessor
- **inference of knowledge** from direct observation

In some situations, the assessor can speak to the candidate to provide evidence of the candidate's performance and knowledge (see Professional discussion section 6.14).

It is your responsibility to agree the best method of assessing a candidate in relation to their individual circumstances.

The methods agreed must be:

- valid
- reliable
- safe and manageable
- suitable for the candidate.

### Valid

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A valid assessment method is capable of measuring the knowledge or skills in question. For example, a written test cannot measure a candidate's practical skills or their ability to work well with others.

Validity can be compromised if a candidate does not understand what is required of them. For example, a valid method of assessing a candidate's knowledge and understanding is to question them. If the questions are not relevant to the qualification or how they are phrased makes it difficult for the candidate to understand the validity of the assessment method is threatened.

Evidence must also be valid. For example, it would not be appropriate for a candidate to simply present an organisation's health and safety policy as evidence towards AC 2.1b of unit 321 Coordinate an event as it does not evidence the candidate's understanding of how the policy applies to the event organisation. This would, therefore, not allow for valid assessment. It may be more appropriate for the candidate and assessor to have a discussion about the policy and for the candidate to explain how it is relevant, illustrated with examples from their work of their compliance to the requirements. This discussion could then be assessed by the assessor and recorded as evidence.

### Reliable

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A reliable method of assessment produces consistent results across different centre assessors on each assessment occasion. Internal quality assurance personnel (IQA) must make sure that all centre assessors' decisions are consistent.

## Safe and manageable

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Centre assessors and IQA must make sure that assessment methods used are safe and manageable and do not put unnecessary demands on the candidate and/or the organisation they work for.

## Suitable to the needs of the candidate

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We have designed this qualification so that achievement is accessible to all candidates, in the context of the units.

For candidates who have access requirements see 'Access arrangements including special consideration'.

If you think that any aspect of this qualification unfairly restricts access and progression, please contact our Customer Contact Centre.

## 6.8 Authentication

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Assessors must be confident that the work they mark is the candidate's own. This does not mean that a candidate must be supervised throughout the completion of all work but the assessor must exercise sufficient supervision, or introduce sufficient checks, to be in a position to judge the authenticity of the candidate's work.

Wherever possible, the assessor should discuss work-in-progress with candidates. This will not only ensure that work is underway in a planned and timely manner but will also provide opportunities for assessors to check authenticity of the work and provide general feedback.

Candidates must not plagiarise. Plagiarism is the submission of another's work as one's own and/or failure to acknowledge a source correctly. Plagiarism is considered to be malpractice and could lead to the candidate being disqualified. Plagiarism sometimes occurs innocently when candidates are unaware of the need to reference or acknowledge their sources. It is therefore important that you ensure candidates understand:

- the work they submit must be their own
- the meaning of plagiarism and what penalties may be applied.

Candidates may refer to research, quotations or evidence but they must list their sources.

If the qualification allows for candidates to work in groups or with others, for example, in undertaking research, individual responses must be provided as part of any task outcomes.

Whilst there are no restrictions on the number of times that a candidate can resubmit evidence, it is the responsibility of the assessor to ensure that the details of any feedback and guidance are clearly recorded.

**Please note:** Your centre must confirm to us that the evidence produced by candidates is authentic. The Evidence Record Sheet includes a declaration for candidates to sign and is available from the webpage.

## 6.9 Making assessment decisions

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Candidates are not required to meet all the criteria every time they carry out an activity, but **it is necessary that all candidates produce evidence to demonstrate they have met all assessment criteria in the unit.**

They must consistently provide sufficient evidence for you to be able to confirm that your candidate is competent in their working environment.

In line with the National Occupational Standards (NOS) for assessors, you should:

- plan with the candidate
- assess candidate performance, knowledge and understanding
- look at the evidence
- question and give feedback to the candidate working towards the qualifications being assessed.

All criteria in the unit must be completed before you as centre assessor can sign the unit off as complete.

## 6.10 Generation and collection of evidence

---

Candidates' evidence should be in an appropriate format to demonstrate the skills, competency, or application of knowledge and understanding, as specified in each assessment criteria within the unit in question.

Evidence can take many forms, for example, DVDs, digital recordings, CD and paper-based or digitally formatted documents, screen prints, photographs supported by a personal statement, reports/logs.

If group work is used as evidence, the candidate's contribution must be clearly identified.

Evidence can come from a number of sources. A list of the main sources of evidence is provided below:

- [Observation](#)
- [Questioning](#)
- [Professional discussion](#)
- [Work products](#) – the outcome or product of a candidate's work activity
- [Witness statements](#)
- [Personal statement](#)
- [Assignments, tasks, projects or tests](#) – centre devised projects e.g. a case study
- [Simulation \(if allowed\)](#).

Candidates should take responsibility for the development of their own portfolios, with appropriate support from tutors, employers and peers, and should be aware of the need for clear presentation and ordering as an aid to assessment and referencing once the work is submitted.

Where evidence is relevant to more than one assessment criterion in one or more units, the candidate should cross-reference the evidence within their unit portfolio so that it can be considered by you as centre assessor and by the OCR external verifier if required.

An Evidence record sheet is available to download from our website (See section 6.19). Candidates can use this sheet to record their evidence and link it to the assessment criteria in one unit. You **must** use this sheet or your own suitable alternative to allow the OCR external verifier to see which assessment criterion each piece of evidence refers to.

## 6.11 How much evidence is needed?

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It is difficult to give detailed guidance regarding the amount of evidence needed, as it depends on the type of evidence collected and the judgement of your centre assessors.

Evidence must meet all of the Assessment Criteria and evidence requirements. The quality and breadth of evidence is assessed not the quantity.

Centre assessors should discuss with candidates the most suitable sources of evidence and ensure they are aware of the importance of quality rather than quantity when presenting evidence for assessment. The quality and breadth of evidence presented should determine whether your centre assessor is confident that a candidate is competent in meeting the requirements of the unit.

Assessors must be satisfied, from the evidence presented, that candidates can work independently to the required standard.

## 6.12 Assessment of the evidence

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It is the assessor's responsibility to:

- assess the evidence presented by the candidate
- provide feedback to the candidate
- make an assessment decision which will be confirmed through internal and external quality assurance.

Assessors will judge candidates' evidence against the assessment criteria specified in the unit.

## 6.13 Observation

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Centre assessors may carry out observations of a candidate undertaking activities or tasks and make an assessment decision based on the candidate's performance, i.e. what they can do or the process they have gone through.

Sometimes, the observation can also provide inferred evidence of what a candidate knows.

The observation should be of naturally occurring practice within the candidate's work role.

Centre Assessors and candidates should plan observations together but it is the centre assessor's responsibility to record the observation. After the observation has taken place, you must record an assessment decision and the justification for the decision. This should include sufficient information about what was observed to ensure the assessment criteria has been met and enable the assessment to be quality assured. Centre assessors should also give feedback to the candidate.

## 6.14 Questioning

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Centre assessors may question a candidate for the assessment of this qualification.

Questioning the candidate is usually an ongoing part of the assessment process, and it is necessary to:

- test a candidate's knowledge of facts and procedures
- check if a candidate understands principles and theories *and*
- collect information on the type and purpose of the activities a candidate has been involved in.

For the most part, you should use open ended questions; i.e. questions that cannot be answered by the candidate with one word responses (e.g. 'yes' or 'no'). Open ended questions require thought and detail in order to answer the question. You should be careful to avoid complicated questions which may confuse the candidate.

It is important that you record assessment decisions after you have questioned the candidate. You must record enough information to justify your decisions. This does not mean that you must record the questions and answers, word for word, but you must record enough detail to allow the assessment to be quality assured.

## 6.15 Professional discussion

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Professional discussion is a structured, planned and in-depth discussion recorded by your centre assessor. It allows the candidate to present evidence of competence and to demonstrate skills, knowledge and understanding through discussing the evidence and showing how it meets the requirements of the qualification.

The purpose of the discussion is to explore the level of the candidate's competence and ensure their actions are based on a firm understanding of principles which underpin the competence.

You should guide the discussion by using open questioning and active listening.

## 6.16 Work products

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Work products are produced in the workplace. They should be of naturally occurring practice within the candidate's role. The evidence presented for assessment may be the actual product or a record of the product e.g. business letter, email, report.

## 6.17 Witness statements

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Witness statements are a record of witness testimonies. A witness statement is used to support work or evidence sourced from confidential or sensitive material e.g. data protection. It should not be used to evidence achievement of a whole unit.

The witness must not be related to the candidate and must be in a position to make valid comments about their performance e.g. tutors or workplace supervisors.

It is not acceptable for candidates to produce written witness statements for witnesses to sign.



Witness statements:

- must describe what they witnessed the candidate doing
- can be written or verbal accounts of the candidate's performance
- do not have to be written by the witness, they may be recorded by the assessor after discussion with the witness and confirmed as accurate by the witness
- can be used to directly support work or as evidence of work based on confidential or sensitive sources e.g. data protection
- should not contain a list of skills
- should not be used as evidence of achievement for a whole unit.

A centre assessor will then judge whether the evidence presented meets the standards required by the assessment criteria for the unit. Often it will be necessary for assessors to contact witnesses to ensure:

- the witness statement is authentic
- the assessor's interpretation of the witness statement is accurate.

Where a witness provides a written statement they should include the following:

- the candidate's name
- the date, time and venue of the activity
- a description of the activities performed by the candidate
- the date the statement was written
- a description of their relationship to the candidate
- the witness' signature and job title
- the witness' contact details (e.g. telephone number).

## 6.18 Personal or candidate statement

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This is a candidate's own account of what they did.

Personal statements can be a:

- written or verbal account of specific incidents, activities or situations
- log or diary
- reflective account.

All personal statements made by candidates must be authenticated, as a true account of what took place, by an appropriate witness e.g. tutor, employer, peer.

## 6.19 Assignments, tasks, projects or tests

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For some units it may be appropriate for your centre to devise assignments, tasks, projects or tests. This provides your centre with opportunities to meet the needs of the candidate and local employers.

Centres will need to ensure that any assignments, tasks, projects or tests enable candidates to meet all of the assessment criteria in the units.

Your centre is best placed to decide on an appropriate context for the assessment for your candidates.

Tutors must ensure candidates are clear about the tasks they are to undertake and the assessment criteria which they are expected to meet.

## 6.20 Candidate cumulative assessment record (CAR)

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A cumulative assessment record (CAR) is the candidate's record of the evidence that has been assessed and accepted as proof of competence by the internal assessor.

Templates are available for the recording documents to be used in a CAR and you will need to customise these for the qualification the candidate is taking. You may also design your own forms which must be approved during the approval visit or by our external verifier. The forms can be in any format but as a minimum they must contain the information identified in our [Admin guide: Vocational Qualifications](#).

Candidates must record, on the relevant documents, all the evidence presented for assessment. These records will also be used by your centre assessor and internal quality assurance personnel to record assessment decisions. They can also be used to record progress towards the achievement of units.

Filling in these documents is an ongoing process, involving discussion and agreement between the candidate and their centre assessor. The candidate should fill in and keep the CAR while working towards their qualification. A centre assessor may help the candidate complete the CAR if necessary. It may be viewed by your centre's internal quality assurance personnel and OCR external verifier for sampling purposes as part of the quality assurance process.

The following forms are available, on the [webpage](#), to assist your centre and candidates as they work towards this qualification.

### RD01 Candidate profile - mandatory

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This form records the critical information related to the candidate and the qualification they are entered for.

### RD02 Sample signatures of centre assessment team - mandatory

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This form records the signatures of the centre's assessment team so that the external verifier can verify the authenticity of signatures used on the centre's recording documents.

### RD03 Record of unit achievement - optional

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This form records the candidate's progress through their chosen units. There is one form per qualification, allowing candidates to track the units as they are achieved.

**RD04 Index of evidence - optional**

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This form records all of the assessed evidence and the method of assessment used for each piece of evidence. It will include each piece of evidence's reference code and where it can be found. It allows candidates and assessors to see at a glance where each piece of evidence can be found.

**RD05 Record of assessment planning - mandatory**

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This form records when and how assessment planning took place. The use of this form can help to ensure that sufficient evidence will be produced to cover the assessment criteria and that a broad range of assessment methods will be used.

**RD06 Record of assessment feedback - mandatory**

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This form records written feedback by the assessor to the candidate after an assessment has been carried out and records any planned actions. It can also be used to capture any feedback the candidate gives to the assessor.

**RD07 Record of internal quality assurance feedback - mandatory**

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This form records written feedback given by the Internal Quality Assurer to Assessors on their practice. It can also be used to capture future actions and comments from the assessor.

**RD08 Record of witness/expert witness - optional**

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This form records details of activities witnessed such as the type of activity undertaken and the date when the activity took place and record the witness's status and contact details.

**RD09 Assessor record of observation/professional discussion/questioning - optional**

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This form records the type of activity undertaken (e.g. observation, professional discussion), the assessment criteria covered by the activity, how the activity met the criteria. It also provides an opportunity for the candidate to comment on the activity and outcome.

**RD10 Evidence record sheet - mandatory**

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This form links the evidence in the CAR to the assessment criteria. As evidence for the unit is gathered, it should be listed down the left hand side of the form. Where possible, the candidate should complete the form; an assessor may help if necessary.

The candidate must sign the form to confirm that the work is their own. The assessor must also sign to confirm the candidate has met the assessment criteria. The IQA must sign the form if the unit is part of their sample for quality assurance purposes.

**RD11 Record of internal quality assurance – mandatory**

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This form records the internal quality assurance activities carried out for a particular qualification. A separate form must be completed for each internal quality assurer working on the qualification. The form provides evidence that the internal quality assurer's sample has met the requirements of the sampling strategy.

## 6.21 Verification – how it works

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### 6.21.1 Internal quality assurance

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It is your centre's responsibility to appoint internal quality assurance personnel (See section 5.3) to manage the internal quality assurance process.

The purpose of internal quality assurance is to make sure and show that assessment is valid, reliable and consistent, through monitoring and sampling assessment decisions.

For this qualification you **must** carry out internal quality assurance to ensure that all candidates' evidence is assessed consistently to the required standard.

The IQA is responsible for:

- ensuring all assessors are assessing to the required standard
- ensuring all assessment decisions are fair, valid, reliable and consistent
- arranging regular standardisation meetings
- ensuring standardisation of work between assessors
- maintaining records of the outcome of standardisation activities
- advising centre assessors of any discrepancies in assessment
- suggesting ways in which assessment may be brought into line to meet the required standard.

### 6.21.2 External verification

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We will allocate an external verifier who will visit your centre to verify assessments and internal quality assurance against the standards for the qualification and the OCR Criteria for Verified Qualifications.

The external verifier **must** interview candidates, centre assessors and internal quality assurance personnel during their visits.

For each external verification visit your centre must have available:

- the candidate assessment records (CAR) and evidence for all candidates claimed
- any candidate assessment records and evidence claimed by Direct Claims Status (DCS) since the last visit
- identified work-in-progress portfolios
- access to observe assessments taking place
- access to our on-line claim system (Interchange)
- as requested by the EV, candidates, centre assessors and internal quality assurance personnel; which may include any portfolios claimed for certification through (DCS)
- a copy of the external verifier's last visit report
- a sample signature list for all centre assessors and internal quality assurance personnel
- details of training, curriculum vitae and original certificates for new members of the assessment team or updated documents for existing assessment team members

- all **centre records**, see the next section for more details
- evidence of achieving action points and/or recommendations since the last external verifier visit
- if recorded evidence is used, ensure all recordings and appropriate playback equipment is available.

### 6.21.3 Centre records required for verification

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Your centre must make sure that internal quality assurance and candidate assessment records are available for external verification purposes. These records must be securely held by your centre for a minimum of three years following candidate achievement of the qualification (i.e. from the date of certification).

As a minimum these must record the following information:

- the candidate's name and location
- the title and level of the qualification they are taking
- the candidate's start date on the programme and confirmation of candidate entry
- the name of the centre assessor
- the name of the internal quality assurance personnel
- the date and outcome of the initial assessment of the candidate
- dates and details of candidate reviews and feedback sessions
- assessment method(s) used
- dates of all assessments and their outcomes (that is, the decision whether the candidate has met the requirements or not) cross-referenced to the unit
- enough detail of the assessments to justify the decision made
- an indication of the frequency, and reason for, the use of simulation, if used
- dates and outcomes of internal quality assurance
- action resulting from internal quality assurance
- candidate's achievement(s).

Records should show formative assessment decisions (ongoing decision making), summative assessment decisions and feedback to the candidate.

### 6.21.4 OCR external verifier reports for centres

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Our external verifier will report against the OCR criteria for verified qualifications. Our external verifier will use the report to provide feedback to your centre and to OCR.

All external verifier reports are reviewed by OCR and their actions/recommendations are considered. The final decision on the application of any actions or recommendations lies with OCR.

If your centre is found to be non-compliant this will result in actions or sanctions being identified. If there is a sanction this will always result in one or more actions being identified for your centre to address.

If you wish to appeal a decision then you should follow our [appeals process in vocational qualifications](#).

## 6.22 Direct Claim Status (DCS)

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Centres who have achieved a high level of internal verification and management of the internal quality assurance systems are eligible for Direct Claims Status (DCS). This is when centres can claim certification for identified qualifications in between their external verifier visits. Centres consistently meeting all the required criteria and delivering high quality assessment for an individual qualification over approximately a twelve month period could be awarded DCS. **Please see the [OCR datasheet Direct Claims Status for QCF/NVQ Certification – information for QCF/NVQ centres](#).**

In the centre report, the OCR external verifier may recommend your centre for direct claim status.

If we agree with the EV's recommendation, you will be sent:

- DCS agreement
- DCS Register of Accountable Officers (containing the names of your centre's accountable officers who are responsible for the DCS certification claims)
- complete list of qualifications approved for DCS.

Once you return the appropriate documentation we will notify you that you can use DCS.

Once you have submitted claims, the external verifier will select a sample of candidates' work, which will be reviewed at the next external verifier visit. Your centre must retain or have access to all portfolios claimed with direct claim status until the next external verifier visit. Certificates issued under 'Direct Claim' procedures will remain provisional until such time as they are confirmed through a verification visit.

All candidates, including any who leave your centre following certification, must be asked to retain their evidence, portfolios and cumulative assessment record (CAR) for one year as they may be required for audit.

### 6.22.1 Withdrawal of DCS

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DCS may be withdrawn at any time if in the opinion of OCR any of the centre information given is no longer current, the conditions of the approval are not met or the quality of assessment and quality assurance at the centre is compromised.

### 6.22.2 Re-instatement of DCS

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We will apply one of the following:

- reinstate DCS at the next external verifier visit if all action points have been completed
- centre is required to go through the full DCS process and meet the DCS criteria again.

The route for reinstatement will depend upon which of the DCS criteria are not in place at the point of DCS withdrawal.

### 6.22.3 Critical points regarding Direct Claim Status

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- Certificates issued under 'Direct Claim' procedures will remain provisional until such time as they are confirmed through a verification visit.

- Centres must ensure that a verification visit takes place before the end date of a qualification and that all candidates are included at the final visit.
- Direct Claims Status will be withdrawn after the final visit has taken place for the qualification.
- Centres must keep assessment and quality assurance records relating to all candidates for at least three years from the date of the claim.
- The decision on whether DCS is awarded, retained or withdrawn lies with OCR.

## 6.23 Centre malpractice guidance

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It is the responsibility of the Head of Centre<sup>1</sup> to report (in writing) all cases of suspected malpractice involving centre staff or candidates to OCR Risk and Compliance using the following email address: [compliance@ocr.org.uk](mailto:compliance@ocr.org.uk).

When asked to do so by OCR, Heads of Centres are required to investigate instances of malpractice promptly and report the outcomes to OCR.

Further information is contained in the publication: [OCR Malpractice Procedures - A Guide for Centres](#) and the JCQ publication: *General and Vocational Qualifications – Suspected Malpractice in Examinations and Assessments* which is available from [www.jcq.org.uk](http://www.jcq.org.uk).

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<sup>1</sup> The Head of Centre is defined as the most senior officer in the organisation, directly responsible for the delivery of OCR qualifications, e.g. the Principal of a College, the Head Teacher of a school, the Managing Director of a Private Training Provider or the Group Training Manager of a major company.

# 7 Support

## 7.1 Free resources

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The following materials are available on our website:

- Level 4 NVQ Diploma in Business and Administration centre handbook
- Assessment documents for use in candidates' assessment records:
  - Evidence record sheet
  - Evidence summary record
  - Record of achievement
  - Observation record sheet
  - Witness list
  - Witness statement
  - Assessment planning/ decision/ feedback record
- Support documents:
  - CfA – 2010 Business and Administration assessment guidance (QCF) – June 2010
  - Frequently asked questions
  - OCR criteria for verified qualifications

Your centre should use these documents, or you are free to design alternative recording sheets for your candidates to use. See section X or the [Admin guide: Vocational Qualifications](#) for further details.

## 7.2 Interchange

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Interchange has been designed to help you to carry out day-to-day administration functions online, quickly and easily. The site allows you to buy candidate entries, make claims, see the progress of your claims and read centre feedback. In addition, you will have immediate and free access to candidate information. Sign up at [www.ocr.org.uk/ocr-for/exams-officers/interchange/](http://www.ocr.org.uk/ocr-for/exams-officers/interchange/).

## 7.3 Professional Development Programme

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We are constantly looking for ways in which we can improve the support we offer to tutors and to make our professional development programme more accessible and convenient to all.

To find out more about our Professional Development Programme, please visit our website at <http://www.ocr.org.uk/i-want-to/find/professional-development/>.



## 7.4 Documents referred to in this handbook

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Our publications

[Admin guide: Vocational Qualifications](#)

[Making entries for vocational qualifications via Interchange](#)

Making online claims for vocational qualifications

[Fees list.](#)

JCQ publications at [www.jcq.org.uk](http://www.jcq.org.uk)

*Access Arrangements, Reasonable Adjustments and Special Consideration*

*Suspected Malpractice in Examinations and Assessments*

Ofqual at [ofqual.gov.uk/how-we-regulate/regulatory-documents/](http://ofqual.gov.uk/how-we-regulate/regulatory-documents/)

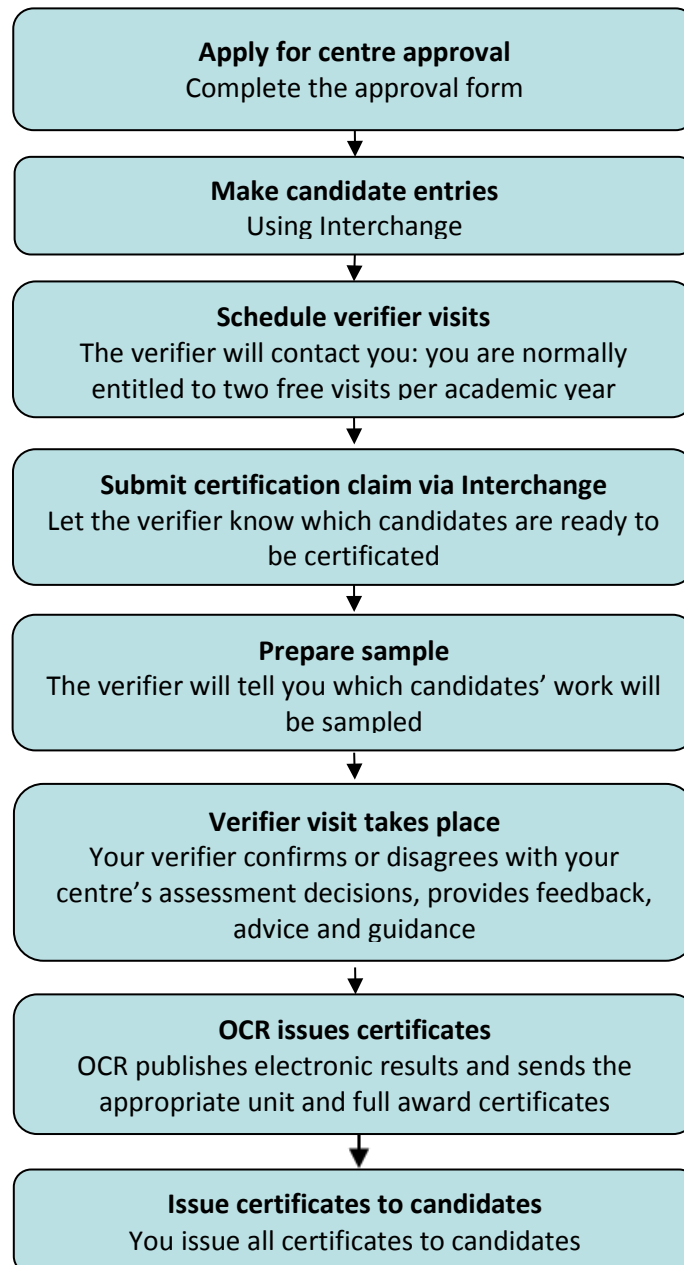
# 8 Administration

## 8.1 Overview of full process

The flow chart below provides a brief summary of the administration process for this qualification.

For detailed information refer to our [Admin guide: Vocational Qualifications](#).

### 8.1.1 Administration flowchart for verified qualifications



## 8.2 How to apply for centre approval

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Your centre can either complete and submit the electronic [Centre Approval Form](#) available on our website or download the form and return the paper version to OCR Operations.

If you have any queries about centre approval contact our Customer Contact Centre on 024 7685 1509.

## 8.3 Making entries

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### 8.3.1 Qualification or unit entries

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Centres must have been approved to offer this qualification in order to make entries. We recommend your centre applies to become an approved centre well in advance of making their first entries.

Entries must be made via Interchange - OCR's secure extranet facility. For full details of the process see [Making entries for vocational qualifications via Interchange](#).

Centres must have made an entry for a qualification or a unit in order for us to provide the appropriate assessor details.

Candidates should be entered either for the full award **or** individual units; they should not be entered for both.

#### **Options for candidate entry:**

- Full award entry – Where candidates intend to complete the whole qualification, rather than just individual units, they can be entered for the full award. This is often a more cost-effective way of making entries. However if the candidate does not complete the full award, the remaining units cannot be transferred to another candidate.
- Unit entry – Candidates can build their qualification unit by unit. This entry route may be useful if your centre is unsure whether a candidate is intending to complete the full qualification.

#### **Route for making entries:**

- Named entry – You provide specific candidate information (e.g. name and date of birth) for each qualification. The advantage of named entry is that any materials we supply are personalised, requiring less manual work later.

## 8.3.2 Entry codes

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You can enter candidates for the full qualification or individual units using the following qualification entry codes:

OCR entry code	Title	Qualification Number
10362	OCR Level 4 NVQ Diploma in Business and Administration	601/2524/X

The units and any supporting documentation for this qualification can be found on our [website](#).

## 8.4 Unique Learner Numbers (ULN) and the Personal Learning Record (PLR)

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Ofqual requires Awarding Bodies to capture the Unique Learner Number (ULN) for all candidates who have claimed certification for this qualification. It is also a condition of funding that all candidates that claim certification for publically funded qualifications must have a valid ULN.

The Personal Learning Record (PLR) is a permanent, online record of a candidate's qualifications and achievements and supports Credit Accumulation and Transfer (CAT). Each unit and qualification in the Qualifications and Credit Framework (QCF) has a credit value. The PLR enables learners to accumulate a record of their achievements within one place and supports the transfer of credit for these units between learning providers and awarding bodies, therefore supporting learners to gain full qualifications.

Learners over the age of 14 in UK education or training can access the PLR using their ULN. Learners keep the same ULN to access their PLR throughout their lives and whatever their level of learning.

Where a candidate has a ULN, you must enter their ten digit number in the ULN field when making entries via Interchange. For candidates who do not have a ULN, a claim will still be accepted if you leave this field blank, but OCR will not be able to send these achievements to the PLR.

When making entries via Interchange, for candidates with a ULN, you should enter the ten digit number in the ULN field.

Further information about this can be found in the [Admin guide: Vocational Qualifications](#) and at the [Learner Records Service](#).

## 8.5 How to make certificate claims

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All claims should be carried out via OCR Interchange. For full details of the process see [Making online claims for vocational qualifications](#).

## 8.6 Enquiries about results

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Please refer to the [Admin guide: Vocational Qualifications](#).

## 9 Certification

Candidates who achieve the full qualification will receive:

- a unit certificate listing the unit or units achieved, with their related credit value and the unit reference number(s), and
- a certificate stating the full qualification title and the qualification number.

Candidates who achieve one or more units, but who do not meet the credit requirements for a full qualification, will receive a certificate listing the units they have achieved along with their credit value.

### 9.1 Claiming certificates

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Certificates will be issued directly to your centre for successful candidates. In order to ensure that these are automatically issued, you must ensure that the OCR candidate number is **always** used where a candidate has already achieved one or more units. See the [Admin guide: Vocational Qualifications](#) for full details.

For details on how to make online claims for QCF qualifications see the step-by-step guide; [Making online claims for vocational qualifications](#).

### 9.2 Multiple certificates recognising candidate achievement

---

Your centre may receive certificates for qualifications that are part of a suite the candidate has not been entered for. For example, a candidate entered for and achieving a Diploma qualification, will also receive the Award and Certificate as they achieve the required units.

As your centre claims units for this qualification, our system will, for each candidate, validate the combination of units matching the rule of combination for this qualification.

In the process we will also check against the OCR candidate number:

- for OCR qualifications/units already claimed and if these contribute to this qualification
- to identify if this claim contributes to the achievement of the rule of combination for other OCR qualifications.

If this is the case certificates will be automatically generated to recognise the candidate's achievement.

### 9.3 Replacement certificates

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For details on replacement certificates see the [Admin guide: Vocational Qualifications](#).

# 10 Other information

## 10.1 National Occupational Standards (NOS)

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This qualification provides a key progression route between education and employment or further study/training leading to employment. It is directly relevant to the needs of employers and relate to Business and Administration National Occupational Standards (NOS).

## 10.2 Avoidance of bias

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We have taken great care in the preparation of this qualification to avoid bias of any kind. Special focus is given to the 9 strands of the Equality Act with the aim of ensuring both direct and indirect discrimination is avoided.

## 10.3 Regulatory requirements

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This qualification complies with Ofqual's *General Conditions of Recognition* and Ofqual's *Regulatory Arrangements for the Qualifications and Credit Framework (Ofqual, August 2008)*.

This qualification has been regulated by Ofqual for delivery in England and Northern Ireland during the life of the qualification and approved by the Welsh Government for use by centres in Wales.

Ofqual regulates qualifications, examinations and assessments in England and vocational qualifications in Northern Ireland. It does not regulate degrees.

CCEA regulates non-vocational qualifications in Northern Ireland.

The Welsh Government regulates qualifications, examinations and assessments in Wales. It does not regulate degrees.

## 10.4 Language

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This qualification and any associated assessment materials are in English only. Only answers provided in English will be assessed.

## 10.5 Mode of delivery

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You are free to deliver this qualification using any mode of delivery that meets the needs of your candidates. Whatever mode of delivery is used, you must ensure that candidates have appropriate access to the resources identified in this handbook and units.

You should consider the candidates' complete learning experience when designing learning programmes. This is particularly important where candidates are studying part time alongside work commitments where candidates may bring with them a wealth of experience that should be utilised to maximum effect by your staff.

We do not specify the mode of study or a time limit for the achievement of this qualification other than the last entry/last certification dates. We will notify you at least 6 months before the qualification closes for entries and this information will be available on Ofqual's register of accredited qualifications and our [last entry/certification notification](#).

## 10.6 Centre resources and requirements

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OCR strongly advises that teaching and development of subject content and associated skills be referenced to real vocational situations, through the utilisation of appropriate work-based contact, vocationally experienced delivery personnel, and real life case studies.

Candidates should be encouraged to read around the subject and have an appropriate knowledge of the application of the appropriate legislation (e.g. Health and Safety).

In addition, staff conducting assessment must understand fully the requirements of this qualification.

Your centre should ensure that appropriate physical resources are made available to candidates.

Your centre must provide appropriate assessment facilities for candidates that comply with our regulations stated in the [Admin guide: Vocational Qualifications](#).

## 10.7 Delivery in Wales and Northern Ireland

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Candidates in Wales or Northern Ireland should not be disadvantaged by terms, legislation or aspects of government that are different from those in England. Where such situations might occur neutral terms have been used so that candidates may apply whatever is appropriate to their own situation.

We will provide handbooks, assessments and supporting documentation in English.

Further information about the provision of assessment materials in Welsh and Irish may be obtained from our Customer Contact Centre: 024 76 851509.

## 10.8 Recognition of Prior Learning (RPL)

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Within the QCF, recognition of prior learning (RPL) is defined as 'A method of assessment that considers whether a learner can demonstrate that they can meet the assessment requirements for a unit through knowledge, understanding or skills they already possess and do not need to develop through a course of learning.' ([Regulatory arrangements for the Qualifications and Credit Framework Ofqual/08/3726.](#)) We encourage the use of RPL and you should advise your learners that they can bring forward any relevant learning (gained either informally or formally) so that it can be assessed against the assessment criteria specified in the unit, or units, the learner aims to complete. It is important that you make it clear to your learners that the RPL process is concerned with how the learner has acquired the knowledge, understanding or skills; it does not mean the learner is exempt from the assessment.

The currency of knowledge and ability is often important when recognising skills and competencies. Where assessment is devolved to centres through assignments or portfolio-building, centre staff must judge the relevance of prior learning in all its aspects (including currency) to the qualification being assessed, before we will quality assure and authorise certification.

## 10.9 Access arrangements and special consideration

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Adjustments to standard assessment arrangements are made on the basis of the individual needs of candidates.

It is important, therefore, that your centre identifies as early as possible whether candidates have disabilities or particular difficulties that will put them at a disadvantage in the assessment situation and select an appropriate qualification or adjustment that will allow them to demonstrate attainment.

The responsibility for providing adjustments to assessment is one which is shared between OCR and your centre. Centre staff should consult the Joint Council of Qualifications' (JCQ) booklet *Access Arrangements, Reasonable Adjustments and Special Consideration* [www.jcq.org.uk](http://www.jcq.org.uk).

You should also refer to this document for candidates who require a post-examination adjustment (special consideration) to reflect temporary illness, indisposition or injury at the time the assessment was taken.

For further guidance on access arrangements and special consideration refer to the [Admin guide: Vocational Qualifications](#).

## 10.10 Wider issues

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This qualification provides opportunities for you to develop candidates' understanding of wider issues: spiritual, moral, ethical, social, legislative, economic and cultural issues as well as an awareness of sustainable development, health and safety considerations and European developments consistent with international agreements.



## 10.10.1 Spiritual, moral, ethical, social, legislative, economic and cultural issues

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Tutors delivering a programme of learning leading towards this qualification will have opportunities to develop candidates' understanding, for example through:

Unit 411 Manage and evaluate an information system requires the candidate to 'explain the purpose of complying with legal and organisation requirements when using an information system'.

Unit 524 Recruit staff in own area of responsibility – requires the candidate to 'explain how to ensure that legal requirements, industry regulations, organisational policies and professional codes are met.

## 10.10.2 Sustainable development, health and safety considerations and European developments, consistent with international agreements

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Tutors delivering a programme of learning leading towards this qualification will have opportunities to develop candidates' understanding, for example through:

Unit 422 Manage physical resources - requires the candidate to 'describe actions one can take to minimise any adverse environmental impact of using physical resources'.

Unit 423 Manage the environmental impact of work activities - requires that the candidate can 'communicate environmental benefits resulting from changes to work activities'.

# 11 Contacting us

## 11.1 Enquiries

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For enquiries about any of our vocational qualifications, please contact the Customer Contact Centre on:

Telephone: 024 76 851509  
Fax: 024 76 421944  
Email: [vocational.qualifications@ocr.org.uk](mailto:vocational.qualifications@ocr.org.uk)

Alternatively, you could visit our website at [www.ocr.org.uk](http://www.ocr.org.uk) for further information about our qualifications.

## 11.2 Customer feedback

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If you have any comments or enquiries about the qualifications in this handbook you can contact one of our customer contact advisers. You can:

**write to:** Customer Contact Centre  
OCR  
Progress House  
Westwood Way  
Coventry  
CV4 8JQ

Email: [vocational.qualifications@ocr.org.uk](mailto:vocational.qualifications@ocr.org.uk)  
Telephone: 024 76 851509  
Fax: 024 76 421944.

You could also visit our website at [www.ocr.org.uk](http://www.ocr.org.uk) for further information about our qualifications

## 11.3 Complaints

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All complaints will be handled sensitively and speedily and used to inform how we can improve our service to customers.

If you are not satisfied with a product or service we have provided please follow the process set out in our [complaints policy](#).

You can contact us:

by post –write to:  
OCR Director of Standards  
1 Hills Road  
Cambridge  
CB1 2EU

by email – send your email to [complaints@ocr.org.uk](mailto:complaints@ocr.org.uk)

by phone/fax contact our Customer Contact Centre on:

Telephone: 024 76 851509  
Fax: 024 76 42194