

GCE

Ancient History

H407/23: Emperors and Empire

Advanced GCE

Mark Scheme for November 2020

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All examiners are instructed that alternative correct answers and unexpected approaches in candidates' scripts must be given marks that fairly reflect the relevant knowledge and skills demonstrated.

Mark schemes should be read in conjunction with the published question papers and the report on the examination.

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Annotations

Annotation	Meaning
ВР	Blank Page
N/A	Highlight
\	Omission
SEEN	Seen
A01	AO1
A02	AO2
A03	AO3
A04	AO4
IR	Irrelevant
—	Correct point
EVAL	Evaluation

Section A: The Julio-Claudian Emperors, 31 BC-AD 68

Quest	tion 1*	'The sources consistently underestimate the significance of the contribution of other individuals to the reigns of the Julio-Claudian emperors.' How far do you agree with this view? [30 marks]		
Assessment Objectives		AO3 = 15 marks = Use, analyse and evaluate ancient sources within their historical context to make judgements and reach conclusions about: • historical events and historical periods studied • how the portrayal of events by ancient writers/sources relates to the historical contexts in which they were written/produced. AO2 = 10 marks = Analyse and evaluate historical events and historical periods to arrive at substantiated judgements AO1 = 5 marks = Demonstrate knowledge and understanding of the key features and characteristics of the historical periods studied. Please note that while the descriptors for AO2 and AO3 are given separately in the levels, the analysis and evaluation of sources & historical events and historical periods may be combined in responses.		
Additional guidance		The 'Indicative content' is an example of historically valid content; any other historically valid content is acceptable and should be credited in line with the levels of response.		
Level	Marks	Level descriptors	Indicative content	
Level 5	25–30	 Response uses a very good range of fully appropriate examples from the ancient sources. The sources are thoroughly analysed and evaluated, to reach logically reasoned, well-developed judgements about how the way they portray events relates to the context in which they were produced, and to draw fully substantiated and convincing conclusions about the historical issue in the question. (AO3) The response has an excellent explanation that convincingly and very thoroughly analyses and appraises historical events and periods in order to reach substantiated, sustained, and well-developed judgements. (AO2) The response demonstrates a very good range of accurate and detailed knowledge and a sophisticated understanding of relevant historical features and characteristics. There is a consistent focus on the question throughout the answer. (AO1) 	No set answer is expected. It is possible to reach the highest marks with conclusion(s) either agreeing, disagreeing, or anywhere between providing the response has addressed the issue of extent. Responses should be marked in-line with the level descriptors. Candidates should consider the significance for the emperors of a variety of people who may have contributed to their reigns; they should consider the nature and extent of the contribution as shown in the sources; they may consider the different members of the imperial family such as wives, children, and relatives and how they were involved in the lives and reigns of the Julio-Claudians; they may consider the similar approaches of the emperors towards the use of family and others (e.g. senators, equestrians, freedmen etc) and their differing reasons for the involvement of others in aspects of government and decision-making. They should consider the significance of the contributions and the extent of change/continuity during the period.	

	and logically structured. The information presented is entirely relevant and substantiated.		They should examine the evidence for the contributions of others and assess the issue in the question concerning 'underestimating' their contributions. They may also consider the different interpretations by the sources both contemporary and non-contemporary.
Level 4	19–24	 Response uses a good range of appropriate examples from the ancient sources. The sources are analysed and evaluated, to reach logically reasoned, developed judgements about how the way they portray events relates to the context in which they were produced, and to draw substantiated and convincing conclusions about the historical issue in the question. (AO3) The response has a very good explanation that convincingly and thoroughly analyses and appraises historical events and periods in order to reach substantiated and developed judgements. (AO2) The response demonstrates a good range of accurate and detailed knowledge and a well-developed understanding of relevant historical features and characteristics. There is a consistent focus on the question throughout the answer. (AO1) There is a well-developed line of reasoning which is clear and logically structured. The information presented is relevant and in the most part substantiated. 	 Answers are likely to include: Augustus' use of Marcellus, Agrippa, Lucius, Gaius and Tiberius as successors (and Julia's marriages); Augustus' use of various family members and others as officials, generals, governors etc e.g. Agrippa as general, overseeing the water supply, grain, as fellow tribune; Tiberius, Drusus in Germania; Maecenas (propaganda), Livia, Tiberius: Drusus, Germanicus (mutinies in Germany), Sejanus, Macro (Praetorian commanders); Gaius (successor); Gaius: Macro Claudius: wives, freedmen (Pallas, Narcissus), senators e.g. Vitelliius; generals e.g. Aulus Plautius, Corbulo (Rhine), Vespasian, Scapula (Britain); Nero: Agrippina, Seneca, Burrus, Poppaea, Tigellinus; Paulinus (Britain), Corbulo (Parthia); Individuals in a variety of roles in Rome and the Empire; The extent and mature of the contribution to the administration of Rome and the Empire, security, control, maintaining the power of the emperors, dealing with opposition, enacting decisions of emperors etc.

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Level 3	13–18	 Response uses a range of appropriate examples from the ancient sources. The sources are analysed and evaluated, to reach logically reasoned judgements about how the way they portray events relates to the context in which they were produced, and to draw supported, plausible conclusions about the historical issue in the question. (AO3) The response has a good explanation that convincingly analyses and appraises historical events and periods in order to reach supported judgements, though these are not consistently developed. (AO2) The response demonstrates a reasonable range of accurate and sometimes detailed knowledge and a reasonable understanding of relevant historical features and characteristics. There is a consistent focus on the question through most of the answer. (AO1) There is a line of reasoning presented with some structure. The information presented is in the most-part relevant and supported by some evidence.
Level 2	7–12	 Response uses some appropriate examples from the ancient sources. The sources are analysed and evaluated, to reach judgements about how the way they portray events relates to the context in which they were produced, and to draw some supported conclusions about the historical issue in the question. (AO3) The response has an explanation that analyses and appraises historical events and periods, and this is linked appropriately to judgements made, though the way in which it supports the judgements may not always be made fully explicit. (AO2) The response demonstrates a limited range of accurate knowledge and understanding of relevant historical features and characteristics, though this may lack detail. The question is generally addressed, but the response loses focus in places. (AO1) The information has some relevance, but is communicated in an unstructured way. The information is supported by limited evidence, the relationship to the evidence may not be clear.

Supporting source details may include:

- Res Gestae: 8.2 Agrippa as censor, 22.2 Centennial Games;
 14 Gaius, Lucius, 21.1 Marcellus; role of Tiberius 8.4 censor;
 27.2 in the East, 30.1 Pannonia;
- Augustus; Tacitus Annals 1.3 succession; role of Livia implied;
 3.56 Tiberius gains tribunician potestas; 4.57 Germanicus possible heir; 6.10 importance of Maecenas; city prefect Corvinus, Piso; 2.59 equestrian governor of Egypt; family, friends Suet. Aug. 64-66; Suet. Aug. 37 new roles for senators;
- Tiberius: Drusus (son) in Pannonia Tacitus *Annals* 1.24ff esp. 1.29; Blaesus in Africa Tacitus *Annals* 3.73-74;
- Velleius 2.88 Maecenas deals with Lepidus plot; 2.93 Agrippa married to Julia; 2.94 Tiberius sorts out the grain shortage; 2.95, 97, 121 success of Drusus, Tiberius on Rhine; Horace Odes 4.15
- Germanicus: Ovid Fasti 1.1-14 Tacitus Annals 1.31ff mutiny in Germany esp. 1.42 speech; role of Piso Tacitus Annals 2.55, 57, 2.71 (Germanicus' speech);
- Laudatio Agrippae
- Praetorians: Tacitus Annals 1.7 Strabo, Turranius; Sejanus: Velleius 2.127. 128.4 praise; Tacitus Annals 4.1-3; 4.41
 Sejanus in charge as Tiberius retires; 4.74 arrogance; Dio 58.4 increase of powers; Suet. Tib. 65, Dio 58 8.4-11 fall, Macro's role; Tigellinus Tacitus Annals 15.37 debauchery, 40 Fire of Rome; Jos. JA 19. 227ff Claudius accession; Suet. Claudius 10:
- Claudius freedmen and wives Suet. Claudius 25, 29, Pliny NH 33.134: Messalina Dio 60.14.1-4, 17.8-18; Pallas and Agrippina -Tacitus Annals 12.25-6 adoption of Nero; accession of Nero Tacitus Annals 12.68-69; Suet. Claudius 44f;
- Nero: freedmen- Helius Suet. Nero 23; Epahroditus Suet. Nero 49 (secretary)

	4.0	 Response uses a limited selection of appropriate examples from the ancient sources. The sources are analysed and evaluated in a basic way, and this is linked to basic, generalised judgements about how the way they portray events relates to the context in which they were produced. There are some basic conclusions about the historical issue in the question, though these may only be implicitly linked with the analysis and evaluation of the sources. (AO3) The response has some explanation which analyses and appraises 	1
Level 1	1–6	historical events and periods in places, and this is linked appropriately to some of the judgements made, though the way in which it supports the judgements is not made explicit. (AO2)	4
		The response demonstrates some limited knowledge and understanding of relevant historical features and characteristics, though lacking detail and in places inaccurate. The question is only partially addressed. (AO1)	
		Information presented is basic and may be ambiguous or unstructured. The information is supported by limited evidence.	
	0	No response or no response worthy of credit	7

- Death of Agrippina Tacitus *Annals* 14.1 Poppaea; 14.3 Anicetus; Seneca and Burrus 14.7;
- Coins: Drusus/Claudius relationship stressed Aureus AD 41-45;
 Aureus AD 41-42 Praetorians; Aureus AD 54 Nero/Agrippina;

Although not expected, candidates may include non-prescribed material which should be credited. For example: Tacitus *Annals* 13.1-5 Agrippina' actions, Seneca and Burrus control of Nero at start of reign, Suet *Nero* 35 their deaths; Tiberius succession of Gaius Tacitus *Annals* 6.51; Suet. *Tib.* 76; Suet. *Gaius* 2 Macro's help of Tacitus *Annals* 6.50; Helius Dio 63. 12.1-4

Analysis of the sources might focus on:

- The limitations of the *Res Gestae* in crediting others with successes and the focus on Augustus himself;
- The limitations of the sources which focus on the emperors primarily rather than the actions of others;
- The senatorial perspective of some sources e.g. Tacitus.
- The genres of the sources which affects the narrative and perspective e.g. Suetonius biographies focus on the character of the emperor to the exclusion of others;
- The context in which the sources were produced e.g. Dio Cassius 3rd century AD view of the principate; coins and inscriptions;
- The issues of interpretation, dating etc of material sources such as coins, inscriptions etc;

Question 2*		How effectively did the Julio-Claudian emperors deal with challenges to their rule? [30 marks]		
Assessment Objectives		AO3 = 15 marks = Use, analyse and evaluate ancient sources within their historical context to make judgements and reach conclusions about: • historical events and historical periods studied • how the portrayal of events by ancient writers/sources relates to the historical contexts in which they were written/produced. AO2 = 10 marks = Analyse and evaluate historical events and historical periods to arrive at substantiated judgements. AO1 = 5 marks = Demonstrate knowledge and understanding of the key features and characteristics of the historical periods studied. Please note that while the descriptors for AO2 and AO3 are given separately in the levels, the analysis and evaluation of sources & historical events and historical periods may be combined in responses.		
Addit guida		The 'Indicative content' is an example of historically valid content; any other hevels of response.	nistorically valid content is acceptable and should be credited in line with the	
Level	Marks	Level descriptors	Indicative content	
Level 5	25–30	 Response uses a very good range of fully appropriate examples from the ancient sources. The sources are thoroughly analysed and evaluated, to reach logically reasoned, well-developed judgements about how the way they portray events relates to the context in which they were produced, and to draw fully substantiated and convincing conclusions about the historical issue in the question. (AO3) The response has an excellent explanation that convincingly and very thoroughly analyses and appraises historical events and periods in order to reach substantiated, sustained, and well-developed judgements. (AO2) The response demonstrates a very good range of accurate and detailed knowledge and a sophisticated understanding of relevant historical features and characteristics. There is a consistent focus on the question throughout the answer. (AO1) There is a well-developed and sustained line of reasoning which is coherent and logically structured. The information presented is entirely relevant and substantiated. 	No set answer is expected. It is possible to reach the highest marks with conclusion(s) either agreeing, disagreeing, or anywhere between providing the response has addressed the issue of extent. Responses should be marked in-line with the level descriptors. Candidates should consider a variety of challenges e.g. specific opposition to acts and decisions by the Senate, or individual senators, conspiracies or plots, mutinies or revolts, challenges from family members and friends; they should consider the nature and extent of the challenges as shown in the sources. They should consider the similar or different responses of the emperors towards the challenges and assess the effectiveness of their responses. They might consider the significance of the ways in which emperors sought to pre-empt challenges. They should examine the evidence for the challenges and the ways they were dealt with. They may also consider the different interpretations by the sources both contemporary and non-contemporary. Answers are likely to include:	
Level 4	19–24	Response uses a good range of appropriate examples from the ancient sources. The sources are analysed and evaluated, to reach logically reasoned, developed judgements about how the way they portray	Challenges to emperors' decisions, edicts, laws and actions by the Senate as a body or individual senators;	

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		 events relates to the context in which they were produced, and to draw substantiated and convincing conclusions about the historical issue in the question. (AO3) The response has a very good explanation that convincingly and thoroughly analyses and appraises historical events and periods in order to reach substantiated and developed judgements. (AO2) The response demonstrates a good range of accurate and detailed knowledge and a well-developed understanding of relevant historical features and characteristics. There is a consistent focus on the question throughout the answer. (AO1) There is a well-developed line of reasoning which is clear and logically structured. The information presented is relevant and in the most part substantiated.
Level 3	13–18	 Response uses a range of appropriate examples from the ancient sources. The sources are analysed and evaluated, to reach logically reasoned judgements about how the way they portray events relates to the context in which they were produced, and to draw supported, plausible conclusions about the historical issue in the question. (AO3) The response has a good explanation that convincingly analyses and appraises historical events and periods in order to reach supported judgements, though these are not consistently developed. (AO2) The response demonstrates a reasonable range of accurate and sometimes detailed knowledge and a reasonable understanding of relevant historical features and characteristics. There is a consistent focus on the question through most of the answer. (AO1) There is a line of reasoning presented with some structure. The information presented is in the most-part relevant and supported by some evidence.
Level 2	7–12	 Response uses some appropriate examples from the ancient sources. The sources are analysed and evaluated, to reach judgements about how the way they portray events relates to the context in which they were produced, and to draw some supported conclusions about the historical issue in the question. (AO3) The response has an explanation that analyses and appraises historical events and periods, and this is linked appropriately to judgements made, though the way in which it supports the judgements may not always be made fully explicit. (AO2)

- Opposition from other groups- equestrians, plebs, non-citizens in Rome;
- Challenges from the provincials/other groups e.g. revolts, resistance to conquest; defeats;
- Social, economic, political e.g. Tiberius financial crisis, Fire AD 64
- Challenges from the army, praetorians e.g mutinies AD 14; AD 68;
- Specific conspiracies and plots e.g. Murena and Caepio 23 BC;
 Chaerea AD 41; Piso AD 65;
- Challenges from the imperial family e.g. Agrippina and Tiberius;
 Agrippina and Claudius, Nero; Messalina and Claudius;
- Efforts to avoid challenges- promoting good relations: gifts/handouts, promotions, generosity etc.

Supporting source details may include:

- Plots: Suet Aug. 19: Murena, Rufus Velleius 2.91; Lepidus Velleius 2.88; Piso and Germanicus Tacitus Annals 3. 71, 73; Piso plot: Tacitus Annals 15.48; Dio 59.29 Gaius' death; JA 19.17-23 Gaius plots of Suet Gaius 56; Suet. Claudius 13 plots;
- Imperial family/associates: Suet Aug. 65, Velleius 2.100 Iullus Antonius; Sejanus Tacitus Annals 4.1-3, 4.39-41, Dio 58.4.1-5; Agrippina and Nero Tacitus Annals 12. 66-69; her murder Tacitus Annals 14.1 ff; Messalina Suet. Claudius 36
- Reactions to acts/decisions: Suet Aug. 34 equestrians protest at marriage laws; 35 revision of Senate roll; Tacitus Annals 1.11f debate on Tiberius accession; Tacitus Annals 14.12 Thrasea reaction to Nero's murder of Agrippina; plebs protest at Gaius taxes Dio 59.28.11 cf Jos JA 19.24-6 Gaius' response- executions;
- Military control: Suet Aug. 47 Augustus kept provinces with armies; mutinies Suet. Aug. 17; Tacitus Annals 1.16/1.31; Tiberius' actions Tacitus Annals 1.46-47; Velleius 2.125; Varus defeat Suet. Aug. 23; Res Gestae 25-30 military successes
- Good relations: Suet Aug. 37 Augustus' clemency, Cinna (Seneca on Clemency 1.9.2-12; Tacitus Annals 14.14 Nero popularity; Res Gestae 15 handouts; 22-23 games; Suet. Claudius 11 amnesty;
- Reactions to challenges: Tacitus *Annals* 1.2, 10; Tacitus *Annals* 1.72 Maiestas revived by Tiberius; Dio 58.4.6 and 8 Tiberius and

		The response demonstrates a limited range of accurate knowledge and understanding of relevant historical features and characteristics, though this may lack detail. The question is generally addressed, but the response loses focus in places. (AO1) The information has some relevance, but is communicated in an unstructured way. The information is supported by limited evidence, the relationship to the evidence may not be clear.	Sejanus; Gaius: cruelty Suet. Ga Agrippina Tacitus Annals 14.11 15.71 mass executions after Pis Pliny NH.7.147-50 Augustus' mi problems Revolts etc: Tacfarinas Tacitus Annals 4.74; Vindex Dio 63. 22-
Level 1	1–6	 Response uses a limited selection of appropriate examples from the ancient sources. The sources are analysed and evaluated in a basic way, and this is linked to basic, generalised judgements about how the way they portray events relates to the context in which they were produced. There are some basic conclusions about the historical issue in the question, though these may only be implicitly linked with the analysis and evaluation of the sources. (AO3) The response has some explanation which analyses and appraises historical events and periods in places, and this is linked appropriately to some of the judgements made, though the way in which it supports the judgements is not made explicit. (AO2) The response demonstrates some limited knowledge and understanding of relevant historical features and characteristics, though lacking detail and in places inaccurate. The question is only partially addressed. (AO1) Information presented is basic and may be ambiguous or unstructured. The information is supported by limited evidence. 	 Social, economic: Claudius probinscription; Tacitus Annals 15.42 Suet. Tib. 48 financial support; Although not expected, candidates material which should be credited. For generous to army; Tacitus Annals 3.3 G Annals 4.44. Analysis of the sources might focus on: The limitations of the Res Gestae in and the focus on Augustus himself The limitations of the sources which rather than the actions of others; The senatorial perspective of some The genres of the sources which a perspective e.g. Suetonius biographemperor to the exclusion of others;
	0	No response or no response worthy of credit	 The context in which the sources we century AD view of the principate; The issues of interpretation, dating coins, inscriptions etc;
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Gaius 27-28; Nero murder of 1 charges/ 12 Senate reaction; iso plot;

- misfortunes; Velleius 2.130 Tiberius'
- s *Annals* 2.52, 3.73, 74; Frisii Tacitus 2-26; 63. 26 3-7 Nero's reactions;
- oblem with grain supply –Ostia 42-43 Nero response to Fire AD 64;

may include non-prescribed For example: Suet Aug. 38 Augustus Germanicus funeral; Iullus Tacitus

- in crediting others with successes
- ich focus on the emperors primarily
- ne sources e.g. Tacitus.
- affects the narrative and aphies focus on the character of the
- were produced e.g. Dio Cassius 3rd coins and inscriptions
- ng etc of material sources such as

Ques	Question 3 How convincing do you find Holland's interpretation of Gaius		' (Caligula) actions and behaviour? [20 marks]	
Assessment Objectives AO4 = 15 marks = Analyse and evaluate, in context, modern historians' interpretations of the historical events and topics studied. AO1 = 5 marks = Demonstrate knowledge and understanding of the key features and characteristics of the historical periods studied.			·	
Additional guidance		The 'Indicative content' is an example of historically valid content; any other historically valid content is acceptable and should be credited in line with the levels of response. Please note that interpretations can be evaluated in the context of the wider historical debate connected with the issue or of the historical context about which the historian was writing. There is no expectation that the interpretation will be evaluated in the context of the methods or approach used by the historian, or how the interpretation may have been affected by the time in which they were writing, though credit can be given for this approach to evaluation if done in a way which is relevant to the question. A learner's knowledge and understanding of the historical period, including the ancient sources may be credited, but only where it is presented in a way which is relevant and intrinsically linked to the analysis/evaluation/use of the interpretation, it should not be credited in isolation.		
Level	Marks	Level descriptors	Indicative content	
Level 5	17–20	 Response has a very through and sustained analysis of the interpretation, in context, to produce a convincing and fully substantiated evaluation in relation to the question. (AO4) The response demonstrates a very good range of accurate and detailed knowledge and a sophisticated understanding of historical features and characteristics that are fully relevant to the question. (AO1) 	No set answer is expected. It is possible to reach the highest marks with a conclusion either agreeing or disagreeing with the modern historians' interpretation, or anywhere between providing the response has addressed the issue of extent. Responses should be marked in-line with the level descriptors. Answers should evaluate both the interpretation locating it within the wider historical debate about the issue and using their own knowledge of the ancient sources and events and periods to reach a judgement about how convincing they find the argument. In locating the interpretation within the wider historical debate, candidate might	
Level 4	13–16	 Response has a through and sustained analysis of the interpretation, in context, to produce a convincing and well supported evaluation in relation to the question. (AO4) The response demonstrates a good range of accurate and detailed knowledge and a well-developed understanding of historical features and characteristics that are fully relevant to the question. (AO1) 	 Discuss the specific actions and behavior of Gaius in the extract Discuss the context of the start of the reign and how far the early behaviour was genuine or a pretence. Consider the presentation of these actions and contexts in the sources Assess the issues concerning Gaius' character and behaviour and the interpretations of them by historians Assess the view taken of Gaius' actions, behaviour and character in the extract in relation to the evidence and interpretations of it. 	

Level 3	9–12	 Response has a good analysis of the interpretation, in context, to produce a supported evaluation in relation to the question. (AO4) The response demonstrates a reasonable range of accurate and sometimes detailed knowledge and a reasonable understanding of historical features and characteristics that are relevant to the question. (AO1) 	 In evaluating the interpretation, answers might argue that this view is not convincing, pointing towards the following information / ancient sources: 'not naïve enough to take optimism for granted': later in reign not understanding the discontent his actions caused? Suet <i>Gaius</i> 56 reckless behaviour roused murderous thoughts in others; 'Generous to legions and praetorians': not recorded by Suetonius, only 3 donatives to plebs (<i>Gaius</i> 17); discontent of plebs cf Suet <i>Gaius</i> 56; Senate not neglected/alert to sensitivities: Jos <i>JA</i> 19.1-3 attacks on senators and equestrians; Jos. <i>JA</i> 19.17-27 plots; Elevation of Claudius/rejection of predecessor: serious or not?
Level 2	5–8	 Response has some analysis of the interpretation, in context, to produce a partially supported evaluation in relation to the question. (AO4) The response demonstrates a limited range of accurate knowledge and understanding of relevant historical features and characteristics, though this may lack detail. (AO1) 	 End of trials etc: reinstated Dio 59.4.3, 16.8ff; Suet. <i>Gaius</i> 30 executions Views of sources: Dio 59.3.2 monarchical; Jos. <i>JA</i> 19.201-11 Obituary of Gaius; Seneca <i>on Anger</i> 3.19.5 Cruelties of Gaius; On Firmness of Purpose 18.3 Chaerea's motives; Interpretation not valid for most of his reign. Dio 59.4.1ff contrary nature- changing his mind and approach. This is before his illness, so may not be too good to be true or hypocrisy at this point or a performance. In evaluating the interpretation, answers might argue that this view is
Level 1	1–4	 Response has a basic analysis of the interpretation, with parts of the answer just describing the interpretation. Response produces a very basic evaluation in relation to the question. (AO4) The response demonstrates some limited knowledge and understanding of relevant historical features and characteristics, though lacking detail and in places inaccurate. (AO1) 	 convincing, drawing on the following information / ancient sources: Focus on context of start of reign and actions on accession: Suet <i>Gaius</i> 14 (family concerns- Germanicus and brothers), 18 (games and spectacles with gifts); Dio 59.3.1 early action – democratic; Good acts: Dio 59.9-4; Suet. <i>Gaius</i> 13-14; Quadrans AD 39 tax remission; brings uncle Claudius into system; Shows understanding at the start: concern for family members killed by Tiberius (denarius AD 37 Germanicus and Gaius), celebrations for people Suet. <i>Gaius</i> 13-14; buys popularity; 'Not take optimism for granted': various gifts and games Suet. <i>Gaius</i> 18; Dio 59.9.4-7; concern for constitution/ wait for consulship;
	0	No response or no response worthy of credit	 Initially rejects Tiberius' use of trials and informers; Suet Gaius 13 'like an answer to their prayers- popularity of Germanicus exploited; 14 love of people and foreigners;

to plebs.

Section B: Ruling Roman Britain, AD 43-c.128

Question 4		How useful are these passages for our understanding of how effectively the Roman a	rmy was used in Britain? [12 marks]	
Asses Object		AO1 = 6 marks = Demonstrate knowledge and understanding of the key features and characteristics of the historical periods studied. AO3 = 6 marks = Use, analyse and evaluate ancient sources within their historical context to make judgements and reach conclusions about how the portrayal of events by ancient writers/sources relates to the historical contexts in which they were written/produced.		
Additional guidance		The 'Indicative content' is an example of historically valid content; any other historically with the levels of response.	valid content is acceptable and should be credited in line	
Level	Marks	Level descriptors	Indicative content	
Level 6	11–12	 The response demonstrates an excellent range of accurate and very detailed knowledge and a very sophisticated depth of understanding of historical features and characteristics that are fully relevant to the question. (AO1) Response uses a very good range of fully appropriate examples from the set of ancient sources. The set of sources is thoroughly analysed and evaluated to reach substantiated, well-developed judgements about how the way the context in which the sources were produced impacts on them and their usefulness for the issue in the question. (AO3) 	No set answer is expected. It is possible to reach the highest marks with conclusion(s) either way as to the source's usefulness to understanding the issue in question providing the response has addressed the issue of extent. Responses should be marked in-line with the level descriptors. Candidates may discuss the following information in relation to contents of the source:	
Level 5	9–10	 The response demonstrates a very good range of accurate and detailed knowledge and a sophisticated understanding of historical features and characteristics that are fully relevant to the question. (AO1) Response uses a good range of fully appropriate examples from the set of ancient sources. The set of sources is thoroughly analysed and evaluated to reach developed judgements about how the way the context in which the sources were produced impacts on them and their usefulness for the issue in the question. (AO3) 	 Tacitus, Annals, 14.37 Description of the battle against Boudica Shows use of legions and auxiliaries, both infantry and cavalry working together effectively Written after event -not an eyewitness; although Tacitus' father-in-law was reportedly on the governor's staff at the time possibly a 'set piece' battle; Could the revolt have been stopped earlier? 	
Level 4	7–8	 The response demonstrates a good range of accurate and detailed knowledge and a well-developed understanding of historical features and characteristics that are fully relevant to the question. (AO1) Response uses a good range of appropriate examples from the set of ancient sources. The set of sources is analysed and evaluated to reach developed judgements about how the way the context in which the sources were produced impacts on them and their usefulness for the issue in the question. (AO3) 	Tombstone - beneficiarius at Wroxeter • Beneficiarius suggests a senior soldier serving on the staff of an officer commanding a unit, or involved in administrative duties • Suggests activities other than fighting • May encourage some discussion of army role in justice or taxation	

				·
Level 3	5–6	•	The response demonstrates a reasonable range of accurate and sometimes detailed knowledge and a reasonable understanding of historical features and characteristics that are relevant to the question. (AO1) Response uses a reasonable range of appropriate examples from the set of ancient sources. The set of sources is analysed and evaluated to make some basic judgements about how the way the context in which the sources were produced impacts on them and their usefulness for the issue in the question. (AO3)	 Milecastle 38 Found at milecastle on Hadrian's Wall One of three surviving inscriptions recording building of milecastles Originally part of plan for wall – suggesting army was to cover whole of frontier? Built by legions – was it too dangerous for others? Does it suggest danger had died down? Does it suggest army needed to be kept busy?
		•	The response demonstrates a limited range of accurate knowledge and understanding of relevant historical features and characteristics, though this may lack detail. (AO1)	The usefulness of this passage in comparison/contrast to other sources which make reference to the use of the army and its effective use e.g.:
Level 2	3–4	•	Response uses a few appropriate examples from the set of ancient sources. The set of sources is analysed and evaluated in a basic way to make some basic judgements about how the way the context in which the sources were produced impacts on them and their usefulness for the issue in the question. (AO3)	 Compare Annals 14.37 with Dio 62.12.1-6: perhaps better explained than Dio; Evidence of soldiers employed in other roles: e.g. RIB 19 Speculator; Classis Britannica RIB 1427; Halton Chester RIB 1427; Vindolanda 2.154 report;
		•	The response demonstrates some limited knowledge and understanding of relevant historical features and characteristics, though lacking detail and in places inaccurate. (AO1)	Evidence of legions and auxiliaries on Hadrian's Wall: RIB 1172 auxiliary standard-bearer; RIB 1319, 1320 altars;
Level 1	1–2	•	Response uses a few appropriate examples from the set of ancient sources. The set of sources is analysed and evaluated in a basic way but judgements about how the context in which the sources were produced impacts on them and their usefulness for the issue in the question are either not present or are not linked to analysis and are merely assertions. (AO3)	 Use of legionaries Predominately used for fighting Building work and Mining Romanisation Auxiliaries
	0	No	o response or no response worthy of credit	 Used as a policing force Romanisation Combined use with legions Mons Graupius - Legions not used against Caratacus - Many battles before the one mentioned by Tacitus Ineffective use: disasters - Boudica's Revolt

Quest	ion 5*	How reliable are the portrayals of the British leaders in the sources?	[36 marks]	
Asses Objec	sment ctives	AO3 = 18 marks = Use, analyse and evaluate ancient sources within their histor • historical events and historical periods studied • how the portrayal of events by ancient writers/sources written/produced. AO2 = 12 marks = Analyse and evaluate historical events and historical periods AO1 = 6 marks = Demonstrate knowledge and understanding of the key feature	to arrive at substantiated judgements	
		Please note that while the descriptors for AO2 and AO3 are given separately in the levels, the analysis and evaluation of sources & historical events and historical periods may be combined in responses.		
Addit guid		The 'Indicative content' is an example of historically valid content; any other hist with the levels of response.	orically valid content is acceptable and should be credited in line	
Level	Marks	Level descriptors	Indicative content	
Level 6	31–36	 Response uses an excellent range of fully appropriate examples from the ancient sources. The sources are very thoroughly analysed and evaluated, to reach very logically reasoned and well-developed judgements about how the way they portray events relates to the context in which they were produced, and to draw fully substantiated, very convincing conclusions about the historical issue in the question. (AO3) The response has an excellent explanation that convincingly and very thoroughly analyses and appraises historical events and periods in order to reach substantiated, sustained, and well-developed judgements. (AO2) The response demonstrates an excellent range of accurate and very detailed knowledge and a very sophisticated depth of understanding of relevant historical features and characteristics. There is a consistent focus on the question throughout the answer. (AO1) There is a well-developed and sustained line of reasoning which is coherent and logically structured. The information presented is entirely relevant and substantiated. 	No set answer is expected. It is possible to reach the highest marks with conclusion(s) either agreeing, disagreeing, or anywhere between providing the response has addressed the issue of extent. Responses should be marked in-line with the level descriptors. Candidates may look at: Portrayals of British leaders e.g: Caratacus, Togodumnus and Adminius Verica and Tib. Clau. Togidubnus Cartimandua and Venutius Boudicca and Prasutagus Calgacus [Agricola, 30-32] Agricola [Agricola, 33-34] Answers are likely to include information on: Pro and anti-Roman actions. Use as client kings [especially Cartimandua who splits her	
Level 5	25–30	Response uses a very good range of fully appropriate examples from the ancient sources. The sources are thoroughly analysed and evaluated, to reach logically reasoned, well-developed judgements about how the way they portray events relates to the context in which they were produced, and to draw fully substantiated and convincing conclusions about the historical issue in the question. (AO3)	 own tribe and takes family members hostage] As literary tradition: Portrayals by Tacitus to highlight 'depravities' and 'decadence' of Romans and/or 'noble barbarians' who still 	

H407/23		Mark Scheme
		 The response has a very good explanation that convincingly and thoroughly analyses and appraises historical events and periods in order to reach substantiated, sustained and developed and judgements. (AO2) The response demonstrates a very good range of accurate and detailed
		knowledge and a sophisticated understanding of relevant historical features and characteristics. There is a consistent focus on the question throughout the answer. (AO1)
		There is a well-developed line of reasoning which is clear and logically structured. The information is relevant and in the most part substantiated.
		Response uses a good range of appropriate examples from the ancient sources. The sources are analysed and evaluated, to reach logically reasoned, developed judgements about how the way they portray events relates to the context in which they were produced, and to draw substantiated and convincing conclusions about the historical issue in the question. (AO3)
Level 4	19–24	The response has a good explanation that convincingly and fully analyses and appraises historical events and periods in order to reach substantiated and developed judgements. (AO2)
		The response demonstrates a good range of accurate and detailed knowledge and a well-developed understanding of relevant historical features and characteristics. There is a consistent focus on the question throughout the answer. (AO1)
		There is a line of reasoning with some structure. The information presented is in the most-part relevant and supported by some evidence.
		Response uses a range of appropriate examples from the ancient sources. The sources are analysed and evaluated, to reach logically reasoned judgements about how the way they portray events relates to the context in which they were produced, and to draw supported, plausible conclusions about the historical issue in the question. (AO3)
Level 3	13–18	The response has an explanation that convincingly analyses and appraises historical events and periods in order to reach supported judgements, though these are not consistently developed. (AO2)
		The response demonstrates a reasonable range of accurate and sometimes detailed knowledge and a reasonable understanding of relevant historical features and characteristics. There is a consistent focus on the question through most of the answer. (AO1)
		The information has some relevance and is presented with limited structure. The information is supported by limited evidence.

- Both Boudicca and Calgacus stand up for their beliefs, freedom and an uncorrupted life on the battlefield.
- Use of Speeches
- In many ways, speeches are Tacitus displaying his rhetorical skills:
- o highlights important events and relevance; linked with omens
- rhetorically expressed reasons for the anti-roman sentiments such as over-taxation and burdens of Roman rule;
- Examples: Caratacus (Annals) / Calgacus (Agricola) generic speech given by the 'Britons' before the description of Boudicca's revolt (Tac. Agricola).
- Speeches of British leaders more emotional, longer and more political than that of the Roman commanders e.g. Paulinus simply explains military tactics.

Reliable characters?

- Alternative versions of death: Boudicca is more important as a symbol; issues over her impact and existence.
- Calgacus as perfect enemy against heroic Agricola (Tacitus); speech takes up most of Tacitus' description of the battle of Mons Graupius,

Supporting source details may include:

- Details from the sources; Tac. Annals 37 Boudicca's death of Dio 62.12.6; description of Boudicca Dio 62.2.3; Caratacus in Rome Tac. Annals 12.36-37; Cartimandua Tac. Annals 12.40, Tac. Hist. 3.45; Togidubnus RIB 91 (Chichester), Tac. Agr. 14 Prasutagus Tac. Annals 14.31; Verica coins, Dio 60.19.1;
- Comparison between Tacitus and Dio accounts: battle Tac. Annals 12.31 (Iceni); 12.35 Caratacus; guerrilla warfare Tac. Annals 12.39; Boudicca Tac. Annals 14.37, Dio 62.12.1-6; Medway/Thames battles Dio 60.20.1ff
- How speeches of leaders fit contextually and chronologically into the narrative: Speech of Boudicca Dio 62.3, Tac. *Annals* 14.35, *Agr.* 15; Caratacus Tac. *Annals* 12.34; Calgacus Tac. *Agr.* 29ff; Roman speeches Agricola Tac. *Agr.* 33-34; Paulinus Tac. *Annals* 14.36;
- Evidence of Agricola's actual success on return to Rome in comparison to the threat of Calgacus

Analysis of the sources might focus on:

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Level 2	7–12	 Response uses some appropriate examples from the ancient sources. The sources are analysed and evaluated, to reach judgements about how the way they portray events relates to the context in which they were produced, and to draw some supported conclusions about the historical issue in the question. (AO3) The response has an explanation that analyses and appraises historical events and periods, and this is linked appropriately to judgements made, though the way in which it supports the judgements may not always be made fully explicit. (AO2) The response demonstrates a limited range of accurate knowledge and understanding of relevant historical features and characteristics, though this may lack detail. The question is generally addressed, but the response loses focus in places. (AO1) The information has some relevance, but is communicated in an unstructured way. The information is supported by limited evidence and the relationship to the evidence may not be clear. 	 Whether individuals How might sources if speech which he gas should be more reliad spoke in Latin or Cellis that he was allowed decent retirement in Who the audience is the passages fit in the How the speeches in generals, roman arm What details are mis more important detail was?
		 Response uses a limited selection of appropriate examples from the ancient sources. The sources are analysed and evaluated in a basic way, and this is linked to some basic, generalised judgements about how the way they portray events relates to the context in which they were produced. There are some basic conclusions about the historical issue in the question, though these may only be implicitly linked with the analysis and evaluation of the sources. (AO3) The response has some explanation which analyses and appraises 	
Level 1	1–6	historical events and periods in places, and this is linked appropriately to some of the judgements made, though the way in which it supports the judgements is not made explicit. (AO2)	
		The response demonstrates some limited knowledge and understanding of relevant historical features and characteristics, though lacking detail and in places inaccurate. The question is only partially addressed. (AO1)	
		Information presented is basic and may be ambiguous or unstructured. The information is supported by limited evidence.	

- existed
- have heard about speeches: Caratacus' ave to Claudius and the Senate in Rome iable, but we have to ask a) whether he celtic? and b) who recorded it? All we know ved to free with his head held high and a n Rome before him.
- is; what moral point is being made; where the sources
- influence how we see the successes of the rmies, and emperors.
- issing? Should Tacitus have focused on tails [like where Boudicca's revolt actually

Question 6*		'The benefits of Roman rule far outweighed any negatives.' How far do you agre	ee with this view? [36 marks]	
	sment ctives	 AO3 = 18 marks = Use, analyse and evaluate ancient sources within their historical events and historical periods studied how the portrayal of events by ancient writers/sources written/produced. AO2 = 12 marks = Analyse and evaluate historical events and historical periods AO1 = 6 marks = Demonstrate knowledge and understanding of the key feature 	to arrive at substantiated judgements	
		Please note that while the descriptors for AO2 and AO3 are given separately in the levels, the analysis and evaluation of sources & historical events and historical periods may be combined in responses.		
Addit guid		The 'Indicative content' is an example of historically valid content; any other historically valid content; and other historically valid content is a second content of the historical valid content is a second content of the historical valid content is a second content of the historical valid content is a second content of the historical valid content is a second content of the historical valid content of the historical valid content is a second content of the historical valid content is a second content of the historical valid valid content	orically valid content is acceptable and should be credited in line	
Level	Marks	Level descriptors	Indicative content	
Level 6	31–36	 Response uses an excellent range of fully appropriate examples from the ancient sources. The sources are very thoroughly analysed and evaluated, to reach very logically reasoned and well-developed judgements about how the way they portray events relates to the context in which they were produced, and to draw fully substantiated, very convincing conclusions about the historical issue in the question. (AO3) The response has an excellent explanation that convincingly and very thoroughly analyses and appraises historical events and periods in order to reach substantiated, sustained, and well-developed judgements. (AO2) The response demonstrates an excellent range of accurate and very detailed knowledge and a very sophisticated depth of understanding of relevant historical features and characteristics. There is a consistent focus on the question throughout the answer. (AO1) There is a well-developed and sustained line of reasoning which is coherent and logically structured. The information presented is entirely relevant and substantiated. 	No set answer is expected. It is possible to reach the highest marks with conclusion(s) either agreeing, disagreeing, or anywhere between providing the response has addressed the issue of extent. Responses should be marked in-line with the level descriptors. The Romans initially invaded for military glory. Overtime Britain became Romanised. Lower echelons likely unchanged in many ways. Clearly, to get on under the new Roman regime as an aristocrat, you had to become Roman. But there were limits to Romanisation. Candidates should look at: Military cost vs. client status – Why did Verica ally with Claudius yet Caratacus refused to? Religion - Roman veneer in rural areas - a stone temple, perhaps, or a dedicatory inscription - but the god worshipped as almost always a local one of Claudian temple – led to	
Level 5	25–30	Response uses a very good range of fully appropriate examples from the ancient sources. The sources are thoroughly analysed and evaluated, to reach logically reasoned, well-developed judgements about how the way they portray events relates to the context in which they were produced, and to draw fully substantiated and convincing conclusions about the historical issue in the question. (AO3)	narks with conclusion(s) either agreeing, disagreeing, or nywhere between providing the response has addressed the sue of extent. Responses should be marked in-line with the evel descriptors. The Romans initially invaded for military glory. Overtime Britain ecame Romanised. Lower echelons likely unchanged in manyays. Clearly, to get on under the new Roman regime as an initiation. Candidates should look at: Military cost vs. client status – Why did Verica ally with Claudius yet Caratacus refused to? Religion - Roman veneer in rural areas - a stone temple,	

		The response has a very good explanation that convincingly and thoroughly analyses and appraises historical events and periods in order to reach substantiated, sustained and developed and judgements. (AO2) The response demonstrates a very good range of accurate and detailed	The development of <i>colonia</i> (Colchester/Gloucester) and the one certain <i>municipium</i> (Verulamium) gave the inhabitants advantages over those not covered by Roman or Latin law.
		knowledge and a sophisticated understanding of relevant historical features and characteristics. There is a consistent focus on the question throughout the answer. (AO1)	Answers are likely to include information on: • The arrival of the <i>Pax Romana</i> brought an end to a semi-permanent state of warring, raids and mutual enslavement which existed among the British.
		There is a well-developed line of reasoning which is clear and logically structured. The information is relevant and in the most part substantiated.	Increasing levels of involvement in Romanisation saw a growth in other activities connected with trade. Roman goods
		Response uses a good range of appropriate examples from the ancient sources. The sources are analysed and evaluated, to reach logically reasoned, developed judgements about how the way they portray events relates to the context in which they were produced, and to draw substantiated and convincing conclusions about the historical issue in the question. (AO3)	 now available to more than aristocracy. Preservation of local identities in the <i>civitates</i>. The loss of native freedom. The increased taxation levied on the population. Exploitation and near-enslavement of the native population by incomers – the downside of the development of villa estates. Supporting source details may include:
Level 4	19–24	The response has a good explanation that convincingly and fully analyses and appraises historical events and periods in order to reach substantiated and developed judgements. (AO2)	Supporting source details may include: Colchester plan and reconstruction of temple
		 The response demonstrates a good range of accurate and detailed knowledge and a well-developed understanding of relevant historical features and characteristics. There is a consistent focus on the question throughout the answer. (AO1) 	 Silchester baths plan Tacitus, Agricola [especially 21] c.f. Chester lead water-pipe (RIB 2.3.2434.1) Verulamium forum inscription (JRS 46 146–7)
		There is a line of reasoning with some structure. The information presented is in the most-part relevant and supported by some evidence.	Chichester dedication slab (RIB 91)
		Response uses a range of appropriate examples from the ancient sources. The sources are analysed and evaluated, to reach logically reasoned judgements about how the way they portray events relates to the context in which they were produced, and to draw supported, plausible conclusions about the historical issue in the question. (AO3)	 Tacitus, Agricola [especially 21] c.f. Chester lead water-pipe (RIB 2.3.2434.1) Verulamium forum inscription (JRS 46 146–7) Chichester dedication to Nero (RIB 92) Chichester dedication slab (RIB 91) Strabo, Geography 4.5.1–2, 4.5.4. Treatment shown to the British during expansion: Cassius Dio; Tacitus, <i>Annals</i>. Vindolanda Tablets. Analysis of the sources might focus on: How reliable is Tacitus, Agricola 21? Lots to say here
Level 3	13–18	The response has an explanation that convincingly analyses and appraises historical events and periods in order to reach supported judgements, though these are not consistently developed. (AO2)	 Most of the literary sources focus on the military expansion; nevertheless, Tacitus is keen on impressing the importance of Romanisation as the job of a Roman governor.
		 The response demonstrates a reasonable range of accurate and sometimes detailed knowledge and a reasonable understanding of relevant historical features and characteristics. There is a consistent focus on the question through most of the answer. (AO1) 	How usual are the villas and roman amenities?

		The information has some relevance and is presented with limited structure. The information is supported by limited evidence.	
Level 2		Response uses some appropriate examples from the ancient sources. The sources are analysed and evaluated, to reach judgements about how the way they portray events relates to the context in which they were produced, and to draw some supported conclusions about the historical issue in the question. (AO3)	
	7–12	 The response has an explanation that analyses and appraises historical events and periods, and this is linked appropriately to judgements made, though the way in which it supports the judgements may not always be made fully explicit. (AO2) 	
		The response demonstrates a limited range of accurate knowledge and understanding of relevant historical features and characteristics, though this may lack detail. The question is generally addressed, but the response loses focus in places. (AO1)	
		The information has some relevance, but is communicated in an unstructured way. The information is supported by limited evidence and the relationship to the evidence may not be clear.	
Level 1		 Response uses a limited selection of appropriate examples from the ancient sources. The sources are analysed and evaluated in a basic way, and this is linked to some basic, generalised judgements about how the way they portray events relates to the context in which they were produced. There are some basic conclusions about the historical issue in the question, though these may only be implicitly linked with the analysis and evaluation of the sources. (AO3) 	
	1–6	 The response has some explanation which analyses and appraises historical events and periods in places, and this is linked appropriately to some of the judgements made, though the way in which it supports the judgements is not made explicit. (AO2) 	
		The response demonstrates some limited knowledge and understanding of relevant historical features and characteristics, though lacking detail and in places inaccurate. The question is only partially addressed. (AO1)	
		Information presented is basic and may be ambiguous or unstructured. The information is supported by limited evidence.	
	0	No response or no response worthy of credit	

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