

Cambridge National

Health & Social Care

R021/01: Essential values of care for use with individuals in care settings

Level 1/2 Cambridge National Certificate/Award

Mark Scheme for January 2021

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This mark scheme is published as an aid to teachers and students, to indicate the requirements of the examination. It shows the basis on which marks were awarded by examiners. It does not indicate the details of the discussions which took place at an examiners' meeting before marking commenced.

All examiners are instructed that alternative correct answers and unexpected approaches in candidates' scripts must be given marks that fairly reflect the relevant knowledge and skills demonstrated.

Mark schemes should be read in conjunction with the published question papers and the report on the examination.

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Annotation	Meaning of annotation
BP	Blank Page – this annotation must be used on all blank pages within an answer booklet (structured or unstructured) and on each page of an additional object* where there is no candidate response.
✓	Tick – correct answer
×	Cross – incorrect answer
	Development of point (use only on questions where stated in the mark scheme)
L1	Level 1
L2	Level 2
L3	Level 3
BOD	Benefit of doubt (This does count as a mark – so do not 'tick' as well)
	Omission mark
TV	Too vague
REP	Repeat
SEEN	Noted but no credit given
No Response (NR)	Award NR if the question has not been attempted

*ADDITIONAL OBJECTS: ('additional objects' are continuation sheets/booklets)

You must annotate responses on any additional objects, as shown above.

If no credit is to be awarded for the answer on the additional object, please use the annotation 'seen'.

If the page is completely blank use 'BP'.

Question	Answer	Marks	Guidance
1 (a)	 One mark for each right identified. Three required. Rights: choice consultation protection from abuse and harm equal and fair treatment 	3 (3x1)	The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: Image: Image:

Question	Answer		Guidance	
1 (b)	 Two marks for each effect explained. Two required. PHYSICAL effects: III-health / health deteriorates – effects of neglectful care may bring on ill-health e.g. mental health / depression / dietary deficiencies / lack of exercise Self-harm – physical injury to himself due to the poor treatment he is experiencing / cry for help Lack of nutrition / malnutrition – if he stops eating due to not being allowed to choose food he likes / poor care that he is experiencing EMOTIONAL effects: Feeling worthless – unimportant as Sarah takes no notice of him so confidence erodes; feels neglected / isolated / low self-esteem / devalued / humiliated / embarrassed / loss of trust in carers Depression – feeling very low, losing motivation in his life generally because he is not allowed to do things / unhappy / sad / upset Stressed – anxiety and upset caused by the way he is treated / frustration / may become aggressive / angry Disempowered – as opinions not asked for / feels unimportant / lacks control over his life / marginalised Answers do not have to use the exact wording of the mark scheme, alternative, appropriate wording and effects may be credited. 	4 (2x2)	The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: Imarks 2 marks Explanations should link the possible effects with the way Andrew is being treated. Look for cause and effect for two marks. 1 mark If effects just identified. Do not accept: • social effects • intellectual effects • examples of effects that do not relate to the scenario e.g. bruising / injuries etc	

Question	Answer/Indicative Content	Mark	Guidance

				Content	Levels of response
1	(c)	 Way the care home manager could challenge Sarah's discriminatory behaviour: Challenge at the time: explain to Sarah how she is discriminating, to raise her awareness / give her a warning ask her to reflect on her actions/what she is doing to Andrew encourage Sarah to speak with Andrew and apologise Challenge afterwards through procedures: report to / consult with care home owner / senior manager so they can address the issue implement disciplinary proceedings / give Sarah an official formal warning suspend Sarah to show discriminatory behaviour is not tolerated / gives her time to reflect Challenge through long-term proactive campaigning: organise staff training to raise awareness of their discriminatory behaviour and how the values of care should be applied introduce a mentoring system to share good practice have staff meetings to discuss applying the values of care 	5	 This is a levels of response question – marks are awarded on the quality of the response given. The focus of the question is describe. Annotation: The number of ticks will not necessarily correspond to the marks awarded. Level 3 – checklist detailed description at least 2 ways actions explicitly relevant to the situation correct use of terminology Level 2 – checklist sound description, though may not be fully developed 1 or 2 ways actions are mostly relevant to the situation Level 1 – checklist likely to identify ways with little or no description basic information limited relevance to the situation list-like/muddled Award 4 marks for one way done very well. Do not credit: Responses that relate to complaints procedures 	Level 3 (5 marks) Answer provides a detailed description of two or more ways of challenging Sarah's discriminatory behaviour. Answers are explicitly relevant to the situation. Answers will be coherent, factually accurate and use appropriate terminology. Level 2 (3–4 marks) Answer provides a sound description of one or two ways of challenging Sarah's discriminatory behaviour. Answers are mostly relevant to the situation. Answers will be coherent, factually accurate and use appropriate terminology. Level 1 (1–2 marks) Answer will identify ways of challenging Sarah's discriminatory behaviour. Answers may be list-like, muddled, demonstrating little knowledge or understanding. 0 marks =response not worthy of credit SEEN for a zero mark response

R021/01

Que	stion	Answer		Guidance	
2	(a)	 One mark for each way identified. Two required. Ways of valuing diversity: Lessons / assemblies / displays - explore ways to show respect to all Aim to develop understanding of different races, faiths, cultures, backgrounds and abilities Choice of meals / vegetarian options available 	2 (2x1)	The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following:	
		 Special provision for those with food allergies Celebrating different cultures e.g. Chinese New Year, Pancake day, Christmas etc. 		 Do not accept: ways that do not relate to Progress Primary School 'by respecting' on its own – TV 'themed meals' on its own – TV 'special meals' on its own – TV 	

Question	Answer	Marks	Guidance
2 (b)	 One mark for a key aspect identified, one required. One mark for an example, one required. Key aspects of the Children Act: Aims to keep children safe / paramountcy principle / protection staff DBS checked trained first aiders safeguarding procedures in place photos and names so easily recognised activities risk assessed children's needs (e.g. health, social, emotional, intellectual) and best interests come first provision of advocate ECM – 5 outcomes Care provided supports ECM outcomes staying safe, being healthy, enjoying and achieving, make a positive contribution children helped to reach their full potential special needs must be met / provided for explore ways to respect different cultures, faiths etc children consulted / listened to / have a voice Practitioners to work in multi-disciplinary teams team of safeguarding staff duty of care practitioners who work with children to follow safeguarding procedures / ensure information is shared Answers do not have to use the exact wording of the mark scheme. Alternative, appropriate wording and examples may be credited. 	2 (2x1)	Annotation: The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: TY REP SEEN Credit any appropriate example that links to the key aspect. Can credit example if aspect incorrect.

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Questic	Answer/indicative Content	Marks	Content	Levels of response			
2 (Keeping children safe and maintaining a healthy and safe environment: Keeping children safe: DBS checks for staff teaching children about danger / what is or isn't safe supervision – staff to pupil ratio first aid trained staff food allergy procedure security measures in place / examples of these provision of age appropriate / safety approved toys Maintaining a healthy and safe environment: risk assessments – activities / outings / equipment etc health and safety policies checks / PAT testing of electrical equipment replacement programme for old or worn out play equipment teaching children hand washing routines general cleanliness – toys, surfaces, bins, floors cleaned and disinfected food preparation – hygiene rating, qualified staff, allergy awareness emergency procedures – evacuation plans / fire drills provision of healthy meals Discussion / how it protects: prevents accidents prevents accidents prevents injuries to children reduces risk – results in a safer environment improves hygiene - helps prevent the spread of infections/ germs / cross infection / illness staff know how to react in an emergency – fire etc enables staff to take quick, efficient action to remove children from danger / give first aid trained staff know what to do to keep children safe at all times Credit evaluation points, such as cost/time, of implementation. 	7	This is a levels of response question – marks are awarded on the quality of the response given. The focus of the question is discussion. Annotation: The number of ticks will not necessarily correspond to the marks awarded. Level 3 checklist • detailed discussion • at least 2 examples of ways to apply the VoC • clearly addresses keeping children safe and maintaining a healthy/safe environment children • correct use of terminology Level 2 checklist • sound discussion • several ways to apply the VoC but discussion not fully developed • some correct terminology Level 1 checklist • likely to identify ways with little or no discussion • lacking knowledge and understanding • may be list-like or muddled • limited terminology Alternative, appropriate wording and examples may be credited. Award 5 marks for one way done very well.	Level 3 (6–7 marks) Answers provide a detailed discussion of at least two ways a primary school could apply the early years VoC 'keeping children safe and maintaining a healthy and safe environment. Answers will be coherent, factually accurate and use appropriate terminology. Level 2 (4–5 marks) Answers provide a sound explanation several ways a primary school could apply the early years VoC 'keeping children safe and maintaining a healthy and safe environment. Answers will be factually accurate but need developing. Some correct terminology will be used. Level 1 (1–3 marks) Answers will identify way(s) a primary school staff could apply the early years VoC 'keeping children safe and maintaining a healthy and safe environment. with minimal or no discussion. Answers may be list like, muddled, demonstrating little knowledge or understanding. Limited use of terminology. 0 marks = response not worthy of credit SEEN for a zero mark response			

Question	Answer		Guidance	
3 (a)	One mark for each way identified. Three required. Ways: • use simple vocabulary / no [medical] jargon • use of facial expression • use of body language / gestures • using appropriate tone of voice • slowing the pace / talking slowly • clarity / speak clearly • being patient and calm / repeat as necessary • active listening • seating arrangements • using pictures • provide leaflets / posters / printed information in other languages • provide an interpreter / translator • find another member of staff or relative / friend who can speak the language • use 'Language Line' service • use translating app on 'phone • employing staff who speak other languages This list is not exhaustive accept other relevant ways.	3 (3x1)	The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: Image: The seen Do not accept: • description of what the staff should not do • Sign language • Braille • PECs • use of aids, e.g. hearing loop system • speaking louder • providing an advocate • don't be patronising • ask them to write it out	

Question	Answer	Marks	Guidance	
3 (b)	Three marks for an explanation, one required. Examples of security measures:	3 (1x3)	Annotation: The number of ticks must match the number of marks awarded.	
	 Checking external entrances member of staff responsible for checking/monitoring external entrances - to spot unauthorised people security cameras / CCTV monitoring of exit/entrance / car park monitors health & safety issues during the work being carried out Receiving and monitoring visitors staffed reception desk – limits access to those authorised provide reception staff with a list of authorised builders check individual's ID at reception before access allowed signing in/out book for builders – know who is on site 		 For incorrect answers use the cross or appropriate annotation from the following: TY REP SEEN For three marks: a security measure identified - appropriate for the reception area clear explanation of how the security measure protects service users and staff relevant to a medical centre 	
	 Identifying staff / builders special visitor badges / lanyards issued for the builders lanyards / staff badge / uniform makes it quick and easy to identify staff members - easy to spot intruders 		 For two marks: security measure identified – may not be fully relevant to the reception area brief explanation of how it protects 	
	 Security pads on doors special pin code / key card for authorised builders to give controlled access prevents intruders from entering the building / restricted areas – safer for all service users and staff 		 For one mark: identification of a security measure / may not relate to reception area and / or a basic statement that lacks clarity or understanding 	
	 Reporting concerns to line managers raises management awareness of security breaches appropriate action can be initiated by senior staff to address security issues Accept other appropriate explanations. 		 Do not credit: 'doors should be locked' maintaining confidentiality 'cameras' on its own – what they are monitoring is needed 	

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Question	Answer/Indicative Content	Marks	Content Levels of response				
3 (c)	 Key aspects of the Equality Act relating to disability: disability is a protected characteristic legal requirement to make reasonable adaptions setting must be accessible to all prohibits discrimination in access to services on the grounds of disability illegal/unlawful to discriminate against those with a disability covers direct and indirect discrimination on the grounds of disability covers victimisation and harassment based on disabilities could be improved: adapt premises – ramps, lifts, automatic doors, wider doorways, etc for wheelchair access provide parking spaces near to the building for those with disabilities have a lowered section of reception desk for wheelchair users to speak to receptionists easily have leaflets/information available in a variety of formats – braille, large print provide clear signage so visually impaired not disadvantaged install hearing loops have staff trained in BSL produce easy to understand information for those with learning disabilities have an equal opportunities policy staff equality awareness training This list is not exhaustive, accept other valid examples relating to disability. 	5	This is a levels of response question – marks are awarded on the quality of the response given. The focus of the question is explanation . Level 3 checklist • detailed description • at least 2 examples of improving access • links to Equality Act • correct use of terminology • clear and logically structured Level 2 checklist • sound description, but not fully developed • 1 or 2 examples of improving access • link to Equality Act • some correct terminology Level 1 checklist • likely to identify examples with limited description • basic information • may not relate to disability • may not link to Equality Act • may be list-like • limited use of terminology Annotation: The number of ticks will not necessarily correspond to the marks awarded. • example of improving access	Level 3 (5 marks) Answers provide a detailed description of at least two examples of improving access to support the rights of individuals with disabilities. Answers relate to key aspects of the equality Act. Answers will be coherent, factually accurate and use appropriate terminology. Level 2 (3–4 marks) Answers provide a sound description of one or two examples of improving access to support the rights of individuals with disabilities. Response may focus on improving access with only minimal mention of the Equality Act. Answers will be factually accurate but need developing. Some correct terminology will be used. Level 1 (1–2 marks) Answers will identify ways of improving access with minimal or no description. Answers may be list like, muddled, demonstrating little knowledge or understanding. Limited use of terminology. 0 marks = response not worthy of credit SEEN for a zero mark response			

Question	Answer		Guidance
4 (a)	 One mark for each example, two marks for each explanation. Appropriate protective clothing (credit first answer given if more than one given on the line) disposable gloves / rubber gloves / vinyl gloves / surgical gloves 	9 (3x1 + 3x2)	Annotation: The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: TY REP SEEN
	 disposable aprons / aprons hair-net / hygiene hat surgical garments / scrubs / gown face masks / disposable mask / mask / visor / face covering protective goggles 		 One mark – for identification of an appropriate example of protective clothing. Explanations: Two marks For an explanation of how it helps to prevent the spreat of infection that demonstrates knowledge and understanding.
	 Explanation of how the ways prevent spread of infection: prevents transfer of bacteria destroys / kills bacteria ensures high level of cleanliness reduces opportunity for spreading bacteria/germs stops others coming into contact with bacteria/germs barrier method reduces/prevents transfer of bacteria removes places for bacteria to be trapped prevent cross contamination prevents aerosol transfer of particles 		 1 mark for a vague or basic / brief statement that does not show full understanding of how it protects Marks are separate - can be credited for explanation if the example is named incorrectly. Do not credit: 'reduces the spread of infection' it is in the question – answer must state 'how' 'gloves' on its own hairband 'hat' on its own for a surgeon operating however a ''disposable apron' is ok to accept

Question	Answer		Guidance	
4 (b)	One mark for each reason. Three required. Reasons for the importance of applying the values of care:	3 (3x1)	Annotation: The number of ticks must match the number of marks awarded.	
	 To ensure the standardisation of care all service users receiving appropriate care, attention and treatment SU treated fairly staff all working to the same high standards SU feels valued / respected 		For incorrect answers use the cross or appropriate annotation from the following:	
	 To improve the quality of care SU feeling safe / keeps people SU individual needs are met – e.g. health, nutrition, cultural SU consulted about care preferences SU can trust staff SU feel more confident SU empowered 		The reason in bold is sufficient for the mark, but accept appropriate reasons as shown. Wording does not have to exactly match that on the mark scheme. Reasons 'why' are required.	
	 To provide clear guidelines to inform and improve practice so that staff know how to provide effective care guides staff about legal requirements guides staff about good practice prevents discrimination 		 Do not credit: naming values of care everyone treated equally everyone has equal opportunities 	
	 To maintain or improve quality of life rights, beliefs and preferences are respected helping all SU reach their full potential – e.g. for a child providing literacy support providing access to those with mobility problems or communication barriers occupational therapy assessment to enable continued independence 		Note: Answers should explain the importance of applying care values not identify or describe them.	
	 maintains SU dignity / self-worth / self esteem 		Accept alternative wording.	

Question	Answer	Marks	Guidance
4 (c)	 One mark for each way. Three required. Sharing information on a need-to-know basis – so information is only shared with those directly involved in the support and care of the service user hold meetings in a private room – so no unauthorised individuals can access the information Keep doors of the meeting room closed so no-one can overhear. 'meeting in progress' / 'do not enter' sign on meeting room door No discussions in public places, e.g. corridors– so that no-one can overhear the discussion. Only discuss appropriate information that is relevant and required to deal with the situation, eg not gossiping about the family. Securely dispose of any unwanted paperwork or notes – to avoid unauthorised access / comply with Data Protection Act Not leave any files or notes behind in the meeting room – to prevent unauthorised access 	3 (3x1)	Annotation: The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: Image: Im

Que	stion	Answer		Marks	Guidance
4 (d)	One mark for an example and one mark for how it supports rights.			Annotation: The number of ticks must match the	
		Information	How it supports rights	(2x1)	number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: Image:
		Time services open	 individuals know when they can access services can choose convenient time to attend will not waste money getting transport only to find it is closed 		
		Type of care provided	 can choose what type of care they want can discuss care available individual needs met 		
		Location	 so they know the address of where they have to attend can arrange transport if needed so they are aware of services available in their area 		
		Contact details	 SU can 'phone, e-mail for information / can ask questions, consult with staff 		
		Results of tests / scans / treatment	 means individuals know why they are in need of treatment or care they can be informed of treatment / help that is available 		as question asks for information a care setting could provide for service users.
		Alternatives available	 opportunity for consultation / 2nd opinion informed choice make own decisions 		
		Complaints procedures	 they will know who to complain to they will know how to complain – fill in a form/ by post/ in person/ online etc. 		

Question	Answer/Indicative Content	Marks	Guidance			
Question	Answer/indicative content		Content	Levels of response		
5 (a)	Standard or practice and conduct that health, social and early years care workers should meet: Processed fairly and lawfully Don't collect and use SU personal information without SU permission and only use it on a 'need to know' basis Used only for the purposes for which it was intended Care workers hold information for a clear purpose and only use it for that purpose Adequate and relevant but not excessive Only collect and use information that is needed; do not collect unnecessary information Accurate and kept up-to-date Inaccurate data destroyed or corrected; staff have responsibility to ensure information is correct Kept for no longer than is necessary Delete or destroy information when it is no longer needed – securely deleting or shredding sensitive or personal data Processed in line with the rights of the individual People have a right to know what information is being held about them, how their information is being used, to have any errors corrected, and to prevent any data being used for advertising or marketing Secured Non-authorised staff/people should not be allowed to access the information; it should be kept in secure conditions; stored safely; locked filing cabinet; password protected electronic records Not transferred to non-EU countries Information should not be transferred outside the EU unless the service user has given consent Also accept: GDPR (General Data Protection Regulations 2018)	5	This is a levels of response question – marks are awarded on the quality of the response given. The focus of the question is outline . Level 3 checklist • detailed outline of DPA • at least 2 examples of care worker practice • clear linking of practice to DPA • correct use of terminology Level 2 checklist • 1 or 2 examples of care worker practice • some linking of practice to DPA • factually accurate but needs developing • some correct terminology Level 1 checklist • basic information about DPA • may not relate to care worker practice • may be list like • limited use of terminology Annotation: The number of ticks will not necessarily correspond to the marks awarded.	Level 3 (5 marks) Answers provide a detailed outline of the DPA with at least two examples of care worker practice. Explicit links will be made between the DPA and care worker practice. Answers will be coherent, factually accurate and use appropriate terminology. Level 2 (3–4 marks) Answers provide a sound outline of one or two examples of care worker practice. Some links will be made between the DPA and care worker practice. Act. Answers will be factually accurate but need developing. Some correct terminology will be used. Level 1 (1–2 marks) Answers may identify aspects of the DPA or care worker practice. Answers may be list like, muddled, demonstrating little knowledge or understanding. Limited use of terminology. 0 marks = response not worthy of credit SEEN for a zero mark response		

Question	Answer					
5(a) Ctd	Data Protection Act 2018 - General Data Protection Regulation (GDPR) The General Data Protection Regulation (GDPR) is a set of data protection rules that have been brought into UK law as the Data Protection Act 2018. This law applies to the processing of data by care organisations and settings. Processing data is the act of obtaining, recording or using individual's personal information.					
	The GDPR sets out seven key principles:					
	Lawfulness, fairness and transparency. This means that people have a right to know and view any information that is being held about them, to know how their information is being used, to have any errors corrected, and to prevent any data being used for advertising or marketing.					
	Purpose limitation . Information should only be collected for a specific purpose. Organisations such as the NHS and health and social care settings can hold information about staff and clients for a clear purpose and must only use it for that purpose.					
	Data minimisation. Data collection should be limited to that which is necessary and relevant to the purpose. Which means that organisations and care settings must not collect unnecessary information that is not relevant.					
	Accuracy. Data found to be inaccurate should be destroyed or corrected. Staff have a responsibility to ensure information they collect and use is correct and up-to-date.					
	Storage limitation. This means that information should be kept for no longer than necessary. Data should be deleted or destroyed when it is no longer needed – for example staff should securely delete or shred sensitive or personal data.					
	Integrity and confidentiality (security) Information must be held and processed securely. So, in care settings access should be restricted. For example non-authorised staff/people should not be allowed to access the information; it should be kept in secure conditions and stored safely, for example in a locked filing cabinet and electronic records should be password protected to limit access.					
	Accountability. Care organisations must have appropriate systems and records in place to demonstrate they are complying with the data protection regulations. They have to be able to demonstrate how they gained an individual's consent for processing heir information. If there is a serious breach of an individual's data there is a duty to inform the individual straight away					

5 (b) Or	One mark for each correct identification, four required.		4	The number of ticks must match the
			(4x1)	number of marks awarded.
	Action:	Answer number		For incorrect answers use the cross annotation.
	Using 'wet floor' signs	2		No other answers are acceptable.
	Displaying the 'health and safety law' poster	1		
	Carrying out a risk assessment	4		 No mark should be awarded if: more than one answer number is given in the box the number is not clear and could be
	An employer providing protective clothing	1		interpreted as more than one number e.g. an unclear 5/6 – annotate TV

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