

Cambridge National

Health & Social Care

R021/01: Essential values of care for use with individuals in care settings

Level 1/2 Cambridge National Certificate/Award

Mark Scheme for January 2022

OCR (Oxford Cambridge and RSA) is a leading UK awarding body, providing a wide range of qualifications to meet the needs of candidates of all ages and abilities. OCR qualifications include AS/A Levels, Diplomas, GCSEs, Cambridge Nationals, Cambridge Technicals, Functional Skills, Key Skills, Entry Level qualifications, NVQs and vocational qualifications in areas such as IT, business, languages, teaching/training, administration and secretarial skills.

It is also responsible for developing new specifications to meet national requirements and the needs of students and teachers. OCR is a not-for-profit organisation; any surplus made is invested back into the establishment to help towards the development of qualifications and support, which keep pace with the changing needs of today's society.

This mark scheme is published as an aid to teachers and students, to indicate the requirements of the examination. It shows the basis on which marks were awarded by examiners. It does not indicate the details of the discussions which took place at an examiners' meeting before marking commenced.

All examiners are instructed that alternative correct answers and unexpected approaches in candidates' scripts must be given marks that fairly reflect the relevant knowledge and skills demonstrated.

Mark schemes should be read in conjunction with the published question papers and the report on the examination.

© OCR 2022

1. These are the annotations which are to be used when marking R021

| Annotation | Meaning of annotation | | | | |
|------------------|---|--|--|--|--|
| ВР | Blank Page – this annotation must be used on all blank pages within an answer booklet (structured or unstructured) and on each page of an additional object where there is no candidate response. | | | | |
| | Tick – correct answer | | | | |
| × | Cross – incorrect answer | | | | |
| / + | Development of point (use only on questions where stated in the mark scheme) | | | | |
| LI | Level 1 | | | | |
| L2 | Level 2 | | | | |
| L3 | Level 3 | | | | |
| BOD | Benefit of doubt (do not 'tick' as well - because 'bod' does count as a mark) | | | | |
| ^ | Omission mark | | | | |
| TV | Too vague | | | | |
| REP | Repeat | | | | |
| SEEN | Noted but no credit given | | | | |
| No Response (NR) | Award NR if the question has not been attempted | | | | |

ADDITIONAL OBJECTS: ('additional objects' are continuation sheets/booklets)
You must annotate responses on any additional objects, as shown above.
If no credit is to be awarded for the answer on the additional object, please use the annotation 'seen'.
If the page is completely blank use 'BP'.

| Question | Answer | Marks | Guidance |
|----------|---|------------|--|
| 1 (a) | Two marks for each way described. Two required. Ways: Provide a menu with a variety of choices - gives a range of different choices, rather than a set meal everyone has Meals that meet different dietary/health requirements – e.g. gluten free, low sugar, vegan, vegetarian, high fibre etc Meals that take account of allergies – e.g. nut free, no shellfish, no dairy etc Meals that meet different cultural requirements e.g. Kosher, Halal, vegetarian etc Celebration meals – e.g. Chinese New Year, Pancake day, Christmas, Hanukkah, birthdays etc Ask residents for menu suggestions so that meals provided reflect what they like/want | 4 (2x2) | The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: Do not accept: repeats soft food portion size 'choice' on its own – is in the question |

| Quest | uestion Answer | | Answer | | Answer | | Answer | | Guidance |
|-------|--|--------------------------------|---|---|---|--|--------|--|----------|
| 1 | One mark for each example. Two required. Answers must relate to the scenario – a retirement home. | | 2 (2x1) | The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: | | | | | |
| | | Name of legislation | Example | | TY REP SEEN | | | | |
| | | Equality Act | the garden has wheelchair access soft meals for those with difficulties swallowing adapted daily menu | | Do not credit: examples not from the scenario repeat of wheelchair access protected characteristics | | | | |
| | | Health & Safety at Work Act | completing risk assessments care plans many seating areas food provided takes account of individual needs - swallowing difficulties / allergies wheelchair access - safe/no uneven surfaces | | use of helmets / protective clothing Mark the first example if more than one is given. | | | | |

R021/01

| Overetien | An annual finations Contains | Manda | Guida | nce |
|-----------|---|-------|--|--|
| Question | Answer/Indicative Content | Mark | Content | Levels of response |
| 1 (c)* | Note: only credit safety procedures. e.g. a fire drill is a procedure; an action such as placing a wet floor sign is a measure. Safety procedures: Risk assessments – activities / outings / equipment etc Health and safety policies in place Safe recruitment procedures - DBS checks to ensure staff are safe to work there/don't have a criminal record Checks - pat testing of electrical equipment Replacement programme for old or worn out equipment such as hoists, wheelchairs etc Encouraging hand washing routines – staff & residents General cleanliness – surfaces, bins, floors cleaned and disinfected, mop up spills immediately Food preparation – hygiene rating, qualified staff, allergy awareness Emergency procedures – evacuation plans / fire drills Training staff – updated regularly e.g. manual handling, food hygiene, first aid Good staff to resident ratio - limit numbers of residents taking part in activities at one time Wearing PPE – for specified activities or tasks Explanation / how it protects and promotes good practice: Prevents accidents creates a safe environment Prevents injuries to residents and staff Reduces risk – results in a safer environment, fewer accidents / injuries Improves hygiene - helps prevent the spread of infections Staff know how to react in an emergency – fire etc Improves hygiene - helps prevent the spread of infections Staff know how to react in an emergency – fire etc | 6 | This is a levels of response question – marks are awarded on the quality of the response given. The focus of the question is explain. Annotation: The number of ticks will not necessarily correspond to the marks awarded. Level 3 – checklist • detailed explanation • at least 2 procedures • safety procedures relevant to the care setting • clear links with preventing accidents and promoting good practice • correct use of terminology Level 2 – checklist • sound explanation, though may not be fully developed • 1 or 2 safety procedures • Some links to preventing accidents and promoting good practice • procedures are mostly relevant to the care setting Level 1 – checklist • likely to identify safety procedures with little or no explanation • basic information • limited relevance to the care setting • list like/muddled | Answer provides a detailed explanation of two or more safety procedures that prevent accidents and promote good practice. Answers are relevant to the care setting. Answers will be coherent, factually accurate and use appropriate terminology. Level 2 (3–4 marks) Answer provides a sound description of one or two safety procedures that prevent accidents and promote good practice. Answers are mostly relevant to the care setting. Answers will be coherent, factually accurate and use appropriate terminology. Level 1 (1–2 marks) Answer will identify safety procedures but with limited explanation or links with preventing accidents and promoting good practice. Answers may be list like, muddled, demonstrating little knowledge or understanding. O marks =response not worthy of credit SEEN for a zero mark response Tick for safety procedure Tick plus for explanation of how it protects and promotes good practice. |

| Question | Answer | Mark s | Guidance | |
|----------|--|----------------|--|--|
| 2 (a) | Cone mark for each example. Four required. Encouraging children's learning and development: Plans a range of enjoyable and interesting learning experiences Attends training on 'learning through play' Keeping children safe and maintaining a healthy environment: Is clear about her responsibility to keep children safe Risk assessments are carried out Attends first aid training Working with other professionals: Works well with health visitors and social workers Understands the importance of sharing information to support children's care and learning Ensuring the welfare of the child is paramount: Is clear about her responsibility to keep children safe - safeguarding Ensures children's needs and best interests come first Attends first aid training Understands the importance of sharing information to support children's care and learning Note: Safeguarding can be credited under 'welfare' but do not credit general 'safety' as this is already credited under 'keeping children safe'. Answers can, but do not have to, use the exact wording of the mark scheme. Alternative, appropriate wording and effects may be credited. | 4 (4x1) | The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: TY REP SEEN Do not accept: Repeats Examples not from the scenario | |

| Question | Answer | Marks | Guidance |
|----------|---|----------------|---|
| 2 (b) | One mark for each word. Four required. Words inserted in this order: • well • next • training • evaluating There are four main features of reflective practice, these include: • Identifying what went well. • Identifying what might be done better next time, to improve. • Exploring training and development needs. • Evaluating specific incidents or activities. | 4 (4x1) | Annotation: The number of ticks must match the number of marks awarded. For incorrect answers use the cross. No other answers are acceptable. Crossed Out Responses: Where a candidate has crossed out a response and provided a clear alternative then the crossed out response is not marked. Where no alternative response has been provided, examiners may give candidates the benefit of the doubt and mark the crossed out response where legible. |

| Question | Answer | Marks | Guidance |
|----------------|---|---------------|---|
| Question 3 (a) | Two marks for each way described. Storing a patient's medical records: • password protected electronic records • hard copy notes kept in secure storage such as being kept in a locked filing cabinet • limited number of people with access to patient records – need-to-know basis • Securely dispose of any unwanted paperwork or notes – to avoid unauthorised access / comply with Data Protection Act / GDPR (General Data Protection Regulation) • Not leave any files or notes behind in meeting rooms – to prevent unauthorised access Discussing test results: • in a private meeting room / office • in an area away from others so they cannot be overheard • private telephone call • hold meetings in a private room – so no unauthorised individuals can access the information | Marks 4 (2+2) | The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: TY REP SEEN Do not accept: • repeats • 'keep it secret' • discuss in a locked room Note: Credit one way described for each situation. |
| | hold meetings in a private room – so no unauthorised individuals can | | |
| | Accept other appropriate and relevant ways of maintaining confidentiality. | | |
| | Example 1 Medical records should be stored securely [1] this could be in a locked filing cabinet.[1] | | |
| | Example 2 Test results should be discussed in private, [1] for example in a separate room away from others. [1] | | |

| Que | stion | Answer | Marks | Guidance |
|-----|-------|---|----------------|--|
| 3 | (b) | One mark for an identification. One required. Accept either: The Data Protection Act GDPR (General Data Protection Regulation) | 1 (1x1) | The number of ticks must match the number of marks awarded. For incorrect answers use the cross or an omission mark for missing words. No other answers are acceptable. Date not required. |

| Question | Answer | Marks | Guidance | |
|----------|--|----------------|---|--|
| 3 (c) | One mark for each effect. Two required. Examples of emotional effects: angry anxious betrayed demoralised depression devalued disempowered embarrassed embarrassed feeling unimportant frustrated humiliated Indicate the continuation of the conti | 1 (2x1) | The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: Do not accept: social effects e.g. withdrawn, left out, isolated intellectual effects physical effects uncomfortable (too vague) feel in danger disrespected – is in scenario patronised – is in scenario | |

| 0 | An account the disasting Company | Mark | Guidance | |
|----------|---|------|---|--|
| Question | Answer/Indicative Content | s | Content | Levels of response |
| 3 (d)* | Examples of using effective communication: Examples of using vocabulary that can be understood • no jargon, explaining specialist medical terminology • use simplified language for children, adults with dementia or learning disabilities • using translators or interpreters • provide information in different formats e.g. Braille, large print and in other languages Examples of not being patronising • being patient and calm; let them finish • encouraging tone of voice • positive body language e.g. smiling, nodding agreement, relaxed posture • avoid sarcasm & talking down to the person Examples of listening to the individual's needs • active listening – demonstrating an interest in, and responding to, what a person is saying • use of encouraging facial expression • use body language / supportive gestures • SOLER Link to supporting patient's rights: • patient feels valued and respected • instils confidence and trust • meets individual needs • promotes equal and fair treatment • enables consultation • empowers service users • raises self-esteem / shows respect • aids patient's understanding of treatment • patient will understand and so can make informed choices | 7 | This is a levels of response question – marks are awarded on the quality of the response given. The focus of the question is explanation. Level 3 checklist detailed explanation examples of all 3 ways to communicate effectively explicit links to supporting rights and relevant to a GP surgery correct use of terminology clear and logically structured Level 2 checklist sound explanation, but may not be fully developed examples of at least 2 ways to communicate effectively links to supporting rights, may be implicit mostly relevant to a GP surgery some correct terminology Sub-max 4 if no reference to supporting rights or only supporting rights Level 1 checklist likely to just identify examples of effective communication, limited explanation basic information, may be list-like may not relate to a GP surgery may not link to supporting rights limited use of terminology Annotation: examples of effective communication link to supporting rights | Level 3 (6–7 marks) Answers provide a detailed explanation of three ways effective communication can be used to support service user's rights. Answers are linked to specific needs of service users in the scenario and will be coherent, Answers will be coherent, factually accurate and use appropriate terminology. Level 2 (4–5 marks) Answers provide a sound explanation of at least two ways effective communication can be used to support service users rights. May only cover ways of communicating. Answers will be coherent, using some correct terminology. Sub-max of 4 if only communication and no links to supporting rights Level 1 (1–3 marks) Answer provides a basic explanation of ways effective communication can be used. Answers may not be explicitly linked to supporting rights or SU needs in the scenario. List like or muddled answers should be placed in this band. Limited use of terminology. O marks = response not worthy of credit SEEN for a zero mark response Annotation: The number of ticks will not necessarily correspond to the marks awarded. |

January 2022

| Que | stion | tion Answer | | Mark | Guidance |
|-----|------------------------|---|--|----------------|---|
| 4 | (a) | One mark for each correct identification, | five required. | 5 (5x1) | The number of ticks must match the number of marks awarded. |
| | | Example of care setting | Type of care setting HC, SC or EY | | For incorrect answers use the cross annotation. |
| | | Crèche | EY | | No other answers are acceptable. |
| | Hospital HC Nursery EY | Hospital | НС | | No mark should be awarded if: |
| | | | more than one answer is given in the box the answer is not clear and could be interpreted as more than one number e.g. an | | |
| | | Nursing home | НС | | unclear HC/SC – annotate TV |
| | | Residential home | sc | | |
| | | | | | |

| Question | Answer | Marks | Guidance |
|----------|---|----------------|---|
| 4 (b)(i) | One mark for each rule. Three required. Personal hygiene rules: appropriate hand washing routines / wash hands appropriate personal protective clothing, e.g. apron, disposable gloves, face masks appropriate use and disposal of tissues / antiseptic wipes clean clothes hair covered / hair tied back no jewellery no nail polish open wounds covered regular brushing of teeth regular showering / wash body regular hair washing use a tissue to catch coughs or sneezes then bin the tissue use of hand gel / sanitiser This list is not exhaustive, appropriate alternative hygiene rules may be credited. | 3 (3x1) | The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: TY REP SEEN Do not accept: • food hygiene rules • general cleanliness rules • repeats, e.g. showering and washing credit one only Must be 'personal hygiene' Must be 3 different rules |

| Q | uestion | Answer | | Guidance | | |
|---|----------|--|------------|---|--|--|
| 4 | (b) (ii) | Two marks for a reason. One required. Example 2 mark reasons that personal hygiene is important in care settings: Ensures a high level of personal cleanliness and helps stop the spread of infection between care workers and service users. Thorough handwashing removes bacteria and so stops the spread of infection. Individuals who have showers everyday morning and evening carry fewer bacteria, so reducing the spread of infection. Barrier methods (protective clothing and covering wounds) help to reduce and prevent the transfer of bacteria, so preventing crosscontamination. Not wearing nail polish prevents contamination as it could chip or flake off into food or a patient's wound. | 2 (1x2) | The number of ticks must match the number of marks awarded. For incorrect answers use the cross or appropriate annotation from the following: TY REP SEEN Credit ONE REASON only. One mark: A basic statement or identification. Two marks: Answer includes a specific reason with elaboration or detail that shows understanding of why personal hygiene is important. | | |
| | | Jewellery can trap bacteria so not wearing it removes the risk of bacteria being transferred from jewellery on the hands, for example via rings and bracelets. Tying hair back or covering it prevents it from dropping into food and contaminating it with any bacteria that are present. Use of antiseptic wipes or hand gel prevents the spread of infection as they are a handy way of sterilising the skin to avoid the spread of infection. This list is not exhaustive, appropriate alternative reasons may be credited. Answers do not have to use the exact wording of the mark scheme, alternative, appropriate wording may be credited. | | Do not give credit for stating a method of personal hygiene, e.g. no jewellery. Marks are for 'why'. Do not credit: 'So no-one gets ill' is TV | | |

| estion | Answer | | Marks | Guidance | |
|--------|--|--|----------------|---|--|
| (c) | One mark for identification of a security measure, one mark for its purpose. Three required. Security measures in a Primary School: | | 6 (3x2) | The number of ticks must match the number or marks awarded. For incorrect answers use the cross or appropriate annotation from the following: | |
| | Security measure Purpose | | | | |
| | Swipe card, buzzer entry or security pad system | Restricts and controls access – only authorised people allowed in. | | TV REP SEEN | |
| | Receptionist on duty at entrance | Controls access to the school, only allows authorised individuals to enter. Prevents young children wandering off. | | The purpose must match the identification | |
| | Signing in / out book for visitors | Staff know who is in the care setting, and who they are visiting, and where they are, at all times. | | Do not accept: lock all doors | |
| | Staff wear ID badges / coloured lanyards | Staff are easily identified. | | locks on doors | |
| | Visitor badges | It is clear that they are an authorised visitor. Valid / temporary reason for access / knowledge of who is in the building | | 'CCTV' on its owncameras on its own | |
| | CCTV monitoring exits and entrances, security cameras | Monitors everyone – staff and visitors – who is accessing the building. | | | |
| | Alarms on doors not in regular use | Identifies if anyone unauthorised is getting into the building; notifies if a child is attempting to wander out of the school. | | | |
| | Window locks and restraints | Prevents unwanted individuals getting into the school. | | | |
| | Locks on external doors | Restricts access, prevents intruders from entering. | | | |
| | Monitoring of keys | Having a list of key holders means the whereabouts of all sets of keys is known at all times. | | | |
| | Identified adults to collect children | So that children are kept safe as strangers cannot collect them. | | | |
| | Fences, gates | Restricts who enters the site | | | |

| Question | | Answer | | Mark | Guidance | |
|----------|-----|--|--------------------------|----------------|---|--|
| 5 | (a) | One mark for each correct identification, four required. | | 4 (4x1) | The number of ticks must match the number of marks awarded. | |
| | | Ways of supporting individual rights | Right | | For incorrect answers use the cross annotation. No other answers are acceptable. | |
| | | Safeguarding procedures in place at a nursery | Protection from harm | | | |
| | | Access ramps and automatic doors provided at a GP surgery | Equal and fair treatment | | | |
| | | A range of leisure activities are available at a residential care home | Choice | | No mark should be awarded if: more than one answer is given in the box the answer is not clear and could be interpreted as more than one answer – annotate TV | |
| | | A social worker sharing information on a need to know basis | Confidentiality | | | |
| | | A doctor and a patient having a discussion about care preferences | Consultation | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| 0 | Answer/Indicative Content | | Mar | Guidance | | |
|----------|---|---|-----|---|---|--------------|
| Question | | | k | Content | Levels of response | |
| 5 (b)* | Key Aspect Aims to protect children at risk and keep them safe | Description May involve taking child away from family – care orders / emergency protection orders Duty of practitioners who work with children to follow safeguarding procedures | 6 | This is a levels of response question – marks are awarded on the quality of the response given. The focus of the question is describe. Annotation: The number of ticks will not necessarily correspond to the | Level 3 (5-6 marks) Answer provides a detailed description of two key aspects of the Children Act. Answers consist of specific detail about the Act. Answers will be coherent, factually accurate and use appropriate terminology. Level 2 (3–4 marks) Answer provides a sound description of one or two key aspects of the Children Act. At the lower end response may focus on one key aspect with minimal mention of a second. Answers are mostly relevant and will be factually accurate but need developing. Some correct terminology will be used. Level 1 (1–2 marks) Answer will identify key aspects of the Children Act, but with a limited description. Answers may be list like, muddled, demonstrating little knowledge or understanding. | |
| | Paramountcy principle | Issues have to be determined as soon as possible and children's needs must come first, i.e. taking child away from family may adversely affect adults but may be in child's best interests | | Level 3 – checklist detailed description 2 key aspects factually accurate correct use of terminology Level 2 – checklist sound description of 2 key aspects may not be fully detailed mostly accurate some use of terminology Level 1 – checklist only 1 key aspect or several lacking any detail likely to identify rather than provide a description may be list like or muddled | | |
| | Children should be consulted | Children who are old enough / mature are to be consulted giving them the right to speak out/have a voice/to be heard | | | | |
| | Gives children rights | Children have the right to an advocate Children have to be consulted / wishes taken into consideration Practitioners have to ensure children stay within the wider family circle where possible | | | | |
| | ECM – 5 outcomes | 'Every Child Matters'. The 5 outcomes are: Staying safe, being healthy, enjoying and achieving, make a positive contribution, economic well-being. Puts a duty on Local Authorities to promote & to improve the well-being of children relating to the 5 outcomes | | | | |
| | Encourages working in multi- disciplinary teams | Duty of care practitioners who work with children to follow safeguarding procedures / ensure information is shared | | | | |
| | Created the Children's Commissioner | Gives children a voice, represents their interests; their views have to be taken into account | | | O marks =response not worthy of credit SEEN for a zero mark response | |
| | | | | | may be list like or for a zero for a zero | for a zero n |

OCR (Oxford Cambridge and RSA Examinations)
The Triangle Building
Shaftesbury Road
Cambridge
CB2 8EA

OCR Customer Contact Centre

Education and Learning

Telephone: 01223 553998 Facsimile: 01223 552627

Email: general.qualifications@ocr.org.uk

www.ocr.org.uk

For staff training purposes and as part of our quality assurance programme your call may be recorded or monitored

