

Cambridge Technicals Business

Unit 9: Human Resources

Level 3 Cambridge Technical in Business **05878**

Mark Scheme for January 2023

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This mark scheme is published as an aid to teachers and students, to indicate the requirements of the examination. It shows the basis on which marks were awarded by examiners. It does not indicate the details of the discussions which took place at an examiners' meeting before marking commenced.

All examiners are instructed that alternative correct answers and unexpected approaches in candidates' scripts must be given marks that fairly reflect the relevant knowledge and skills demonstrated.

Mark schemes should be read in conjunction with the published question papers and the report on the examination.

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MARKING INSTRUCTIONS

PREPARATION FOR MARKING

MARKING

- 1. Mark strictly to the mark scheme.
- 2. Marks awarded must relate directly to the marking criteria.
- 3. The schedule of dates is very important. It is essential that you meet the traditional 40% Batch 1 and 100% Batch 2 deadlines. If you experience problems, you must contact your Team Leader (Supervisor) without delay.
- 4. If you are in any doubt about applying the mark scheme, consult your Team Leader by telephone or by email.

5. Crossed Out Responses

Where a candidate has crossed out a response and provided a clear alternative then the crossed out response is not marked. Where no alternative response has been provided, examiners must give candidates the benefit of the doubt and mark the crossed out response where legible.

Multiple Choice Question Responses

When a multiple choice question has only a single, correct response and a candidate provides two responses (even if one of these responses is correct), then zero should be awarded (as it is not possible to determine which was the first response selected by the candidate).

When a question requires candidates to select more than one option/multiple options, then local marking arrangements need to ensure consistency of approach.

Contradictory Responses

When a candidate provides contradictory responses, then no mark should be awarded, even if one of the answers is correct.

Short Answer Questions (requiring only a list by way of a response, usually worth only **one mark per response**)

Where candidates are required to provide a set number of short answer responses then only the set number of responses should be marked. The response space should be marked from left to right on each line and then line by line until the required number of responses have been considered. The remaining responses should not then be marked. Examiners will have to apply judgement as to whether a 'second response' on a line is a development of the 'first response', rather than a separate, discrete response. (The underlying assumption is that the candidate is attempting to hedge their bets and therefore getting undue benefit rather than engaging with the question and giving the most relevant/correct responses.)

Short Answer Questions (requiring a more developed response, worth two or more marks)

If the candidates are required to provide a description of, say, three items or factors and four items or factors are provided, then mark on a similar basis – that is downwards (as it is unlikely in this situation that a candidate will provide more than one response in each section of the response space.)

Longer Answer Questions (requiring a developed response)

Where candidates have provided two (or more) responses to a medium or high tariff question which only required a single (developed) response and not crossed out the first response, then only the first response should be marked. Examiners will need to apply professional judgement as to whether the second (or a subsequent) response is a 'new start' or simply a poorly expressed continuation of the first response.

- 6. Always check the pages at the end of the response (and any additional lined pages if present) in instance any answers have been continued there. If the candidate has continued an answer there then add an annotation to confirm that the work has been seen.
- 7. Assistant Examiners may email a brief report on the performance of candidates to your Team Leader (Supervisor) by the end of the marking period. Your report should contain notes on particular strength displayed as well as common errors or weaknesses. Constructive criticism of the question paper/mark scheme is also appreciated.

8. **Annotations**

Annotation	Meaning
Tick	Valid point, mark awarded
Cross	Incorrect
Question mark	Response unclear
BOD	Benefit of doubt (mark awarded)
TV	Too vague (mark not awarded)
REP	Repetition (no additional marks awarded)
NAQ	Not answered question (incorrect focus)
L1	Level 1 response (identification)
L2	Level 2 response (explanation)
L3	Level 3 response (analysis)
L4	Level 4 response (evaluation)
CONT	Context (required for high L4 award only)
OFR	Own figure rule

9. Subject-specific marking instructions

For Level of Response marked questions marked over 4 levels, the candidate can access at L1 or L2. In either instance, they can analyse the point made and proceed directly to L3.

L3 analysis is required before L4 can be accessed.

Q	uestion	Answer	Marks	Guidance
1	(a)	 Indicative content: changing workplace culture effective conflict management effective/good communication between management/Eve and employees employee representation/work councils (employer given) benefits (1) e.g. staff discount, childcare vouchers empowerment training/development/coaching/mentoring 	3 x 1 mark	In each instance award: One mark for each correct identification. Do not award flexible working and/or team working as these are excluded by the question. Do not award 'communication' unless it is clear who the communication is between. Annotate TV. Accept 'benefits'. Annotate BOD.
1	(b)	No. of sales assistants leaving during the year $x = 100$ No. of sales assistants employed during the year $\frac{3}{(2 \times 5)} = \frac{3}{10} \times 100$ Answer = 30%	3	Up to three marks. Award full marks for 30% or 0.3. Else: Award maximum 2 marks for '30', if seen. OR Award maximum 1 mark for '10' or '2 x 5' or '5 + 5' if seen.

Q	uesti	ion	Answer	Marks	Guidance
2	(a)		Indicative content: • better pay/increased pay/pay rise/extra pay • bonus • <u>fringe</u> benefits (1) e.g. gift card/voucher/free lunch/work phone/health insurance/gym membership/ <u>staff</u> discount • maternity/paternity <u>schemes/benefits/leave/time off</u> • performance-related pay/commission • profit-sharing • workplace crèche Exemplar response: Profit sharing (1) could be introduced. This is where sales assistants would receive an agreed percentage of any profit that is made by Moments (1).	3 x 2 marks	In each instance award: One mark for correct identification PLUS One mark for relevant description NB. Description required i.e. what is it? Do not award workplace pension scheme as this monetary reward is already provided. Do not award 'benefits'. Annotate TV. Do not award overtime, rewards, paid time off, car parking or promotion. Do not award pay higher than minimum wage TV (or similar) unless it is in context.
2	(b)		Use levels of response criteria. Responses include: improved employee loyalty improved customer satisfaction/fewer customer complaints improved customer service improved efficiency improved employee engagement improved quality of service/fewer mistakes/better service improved productivity/more productive/more work done lower levels of absenteeism/fewer days off work lower levels of labour turnover/less employees leaving/improved employee retention fewer conflicts/fewer disagreements	16	Levels of response: Level 4 (13 - 16 marks) Candidate evaluates likely benefits to Moments of having motivated employees. Level 3 (9 – 12 marks) Candidate analyses likely benefit(s) to Moments of having motivated employees. Level 2 (5 – 8 marks) Candidate explains likely benefit(s) to Moments of having motivated employees.

Question	Answer	Marks	Guidance
	 more accepting of workplace changes e.g. expansion more likely to follow business policies/procedures 		Level 1 (1-4 marks) Candidate identifies likely benefit(s) to Moments of having motivated employees.
	Exemplar response: It should lead to improved employee loyalty (L1). This means that employees are likely to stay working for the business (L2) reducing the recruitment costs to find a replacement employee (L3).		L1 – identifies <u>benefits</u> to the business. Do not accept increased motivation as a benefit. Only award positive identifications.
	It also means that customer service is likely to be improved (L1). This means that customers should return in the future (L2) which will increase revenue (L3).		L2 – any development relating to L1 benefit(s). Can be a feeling (manager/employee) e.g. more confident.
	Overall the improved customer service is the most significant benefit because the shop is competing against lots of online card companies (CONT). Excellent customer service could give them a USP which will encourage customers to return, and		L3 – impact on Moments/Eve of an L1 benefit. Analysis must be business facing. Do not accept increased motivation as an impact. L1 & L3 must link.
	buy, from the shop again and again (L4). Although lower levels of labour turnover is ideal, Eve has managed to run a successful business even with labour turnover of 30% in 2022 so this isn't as important.		L4 – an overall reasoned judgement of the most significant benefit to Moments/Eve of having motivated employees. Choice must be an L1 (may be a 'new' L1).
			Award 13 marks for non-contextual judgement of the most significant benefit. Award 14 marks for non-contextual judgement of the most significant benefit that also gives the rationale for rejecting an alternative. Award 15 marks for contextual judgment of the most significant benefit. Award 16 marks for contextual judgement of the most significant benefit that also gives the rationale for rejecting an alternative.

Q	Question		Answer	Marks	Guidance
					'CONT' annotation required for 15 or 16 marks. Annotate where seen.
					For context look for: sales assistant, 5, gift, £1, card, shop, chocolates, third, sole trader, 5% (absenteeism), 30% (labour turnover OFR).
					Do not award 'work harder', 'best effort', 'get the job done', 'more effective work', 'better work', customers feel looked after, etc at L1 or L2. Annotate TV.
					Do not award L1 for impact on reputation, revenue, turnover, sales, profit, likelihood of meeting objectives, competitive advantage, customer loyalty, more <u>repeat/recurring</u> customers, <u>increase</u> in number of customers, etc. These are 'knock-on' impacts of an L1. Award only for L3.

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Question	Answer	Marks	Guidance
3	Indicative content: Eve's decision is final (after discussion) encourages staff to share ideas/opinions/voice opinions two-way communication/communication between managers and Eve shows respect of opinions of subordinates can slow-down decision-making can encourage creativity/innovation Exemplar responses: If Eve asks for their opinions before making decisions (1), the managers will feel valued (1).	3 x 2 marks	In each instance, award: One mark for a feature of a democratic management style PLUS One mark for impact on the working relationship Impacts include: • managers may be more committed to the business • managers may feel resentment/demotivated/ undervalued if own contribution/opinion overlooked • managers may feel that they are part of the business • managers may feel valued/listened to/ confident/motivated/empowered/appreciated • may create rivalry between managers • may help managers to bond with the owner/employer • may impact on goodwill in the relationship • relationship may feel more relaxed/comfortable • improves communication between Eve and the managers Award one feature per bullet (1st mark). Impact can be repeated (2nd mark). 'Employees/managers/everybody have their say' BOD (bullet 3).

Question	Answer	Marks	Guidance
4 (a)	Legislation includes: Data Protection Act/GDPR Employment Act Equality Act Health & Safety at Work Act National Minimum Wage Act Personal Protective Equipment at Work Regulations Working Time Regulations Exemplar responses: The Data Protection Act (1) means that when Eve is recruiting staff for the new shop (CONT) she must plan how she will keep their personal details secure (1+1). The Data Protection Act (1) means that Eve must plan how she will keep their personal details of anyone applying for a job safe (1). Health and Safety at Work Act (1) states what employers need to do to provide a safe working environment. This will need to be included in any training provided to employees (1).	2 x 3 marks	In each instance award: One mark for correct identification of legislation (full title but no date required) PLUS Two marks for contextual development. 'CONT' annotation required where seen OR One mark for non-contextual development. For context look for: sales assistant, 5, £1, gift, card, shop, chocolates, sole trader, 5% (absenteeism), 30% (labour turnover OFR). Development must relate to how the chosen legislation will impact human resources planning. (Forward looking). No correct legislation – no marks.

Question	Answer	Marks	Guidance
4 (b)	Indicative content: what is the budget/funds available what is the timescale what skills/qualities/qualifications/experience are needed what will the role include/duties/hours how many employees need to be recruited how will applicants apply where will the vacancy be advertised how will interview(s) take place e.g. type/number/venue selection criteria i.e. how will she shortlist, interview questions she will ask, whether to use assessment tasks and/or tests and at what point in the process who will be on the interview panel what will the interview timetable be (interviewers/interviewees) who will be supporting on the interview day (e.g. to coordinate tests) how many referees will be needed/when will she take up references what will be the contents of the job offer how will unsuccessful candidates be informed what will be the details for the contract of employment will there be a probationary period/how long will the probationary period be Exemplar response: The type of interview needs to be chosen (1) so that a suitable venue can be booked (1).	3 x 2 marks	In each instance award: One mark for correct identification of a factor PLUS One mark for explanation Explanation is 'why'. Do not award requirement for authorisation to recruit (Eve is the owner). Do not award training. Do not award cost (1st mark). Factor must be a forward-looking decision.

Question	Answer		Guidance
4 (c)	Use levels of response criteria. Responses include: Good clear that application is via CV clear that they want experienced staff clear what the job title/role is clear what type of shop it is email address provided states basic outline of duties/serving customers/ensuring shop is tidy clear that application is via CV	8	Level 4 (7 – 8 marks) Candidate makes a justified decision as to whether the job advertisement is fit for purpose. Level 3 (5 – 6 marks) Candidate analyses potential impacts on Moments of the information/features identified. Level 2 (3 – 4 marks) Candidate explains the information/features identified in L1.
	 limited detail about the duties/responsibilities no business name given (other than in email address) no location for the role/address no phone number/lack of contact details no closing date three different fonts (excessive) use of exclamation marks use of emojis 'We want you!!!' no business logo Eve's full name not given no information about pay no information about skills/qualities/qualifications no information about desired/level of experience no job advertisement reference number 		Level 1 (1 – 2 marks) Candidate identifies information or features that are included or missing from the advert. L1 – identification of information or features that are included or missing from the advert. Must have L1. Missing can be a 'there should be'. L2 – any development of L1 point. Development must be relevant to a job advertisement. L3 – impact on Moments/Eve of L1 information/feature. L4 – an overall justified decision of whether the job advertisement is fit for purpose based on previous analysis. The decision can be positive or negative. Award 7 marks for non-contextual justification. Award 8 marks for contextual justification. Annotate 'CONT' where seen.

Question	Answer	Marks	Guidance
	Exemplar response: The large font of the title (L1) makes it very clear what the job role is (L2). This means that Moments should receive applications only from people wanting this role, which will save time when reading through them (L3).		Do not award professional or unprofessional at any level. For context look for: 5, sales assistant, £1, third, gift, card, chocolates, sole trader, 5% (absenteeism), 30% (labour turnover OFR).
	Three different fonts are used (L1). This looks very informal (L2). This may adversely affect the reputation of the business (L3). Overall I think that the job advertisement is fit for purpose because only people interested in a sales assistant role (CONT) will apply and they know that they need to have experience (L4).		L1 - Annotate 'contains contact information' TV L2 includes e.g. harder to recruit, easier to recruit, more/fewer applying, stop people applying, people apply who aren't suited to the role, reaching the right audience, need to know what they are applying for, etc. L3 includes e.g. more choice of applicants, wasting time reading through applications, less time consuming (when shortlisting)

Question	Answer	Marks	Guidance
5 (a)	Responses include: • a more efficient/productive workforce/increased productivity • become familiar with the business' processes/procedures/how the shop runs • becomes familiar with business policies • can train other staff • improved employee loyalty • improved employee motivation • improved employee retention/lower labour turnover • improved quality of service/fewer mistakes • improved skill level/develop/gain/be equipped with required skills • reduced health and safety risks • manager won't need to be supervised Exemplar response: The manager will get to know the processes used by Moments quickly (1) because they are being shown how to do things (1). This means that they will improve their productivity (1).	2 x 3 marks	In each instance, award: One mark for correct identification of a benefit PLUS One mark for development One mark for analysis i.e. impact on Moments/Eve of training the manager Development may be a feeling (manager) e.g. feel valued. Analysis must be business facing. Do not award the reverse argument i.e. if training isn't offered Do not award increase 'loyalty' as a benefit. Annotate TV.

Q	uesti	ion	Answer	Marks	Guidance
5	(b)		Indicative content: conferences nolline training/learning or online course or remote training/learning simulation exercises training courses/tutorial/seminar (training) videos/DVDs webinar/Zoom/Skype Exemplar response: Online training (1) could be used which could include watching videos via the internet (1). The manager could watch a training DVD (1) on their laptop (1).	3 x 2 marks	In each instance award: One mark for each correct identification of a method of off-the-job training PLUS One mark for description of the method Description is the practical 'how' the training will be carried out. Do not award repetition of the method in the description e.g. online training is online. Award identification mark for a method not a location. Corporate event/meeting – Annotate TV. Do not award off-the-job training is done in the employees own time. Do not award video calls.
6	(a)		 Responses include: poor communication between staff different opportunities e.g. different pay, different terms and conditions, different hours unfair treatment e.g. an existing employee may have applied for promotion to manager but not been promoted/bullying/harassment/discrimination the new staff might not be welcomed into the existing team/new employees not fitting in to the team/culture the new manager might want to make changes/bring in new ideas/have a different management style Eve spending more time/focusing on the new shop envy/jealousy between staff/managers 	2 x 1 mark	In each instance award: One mark for correct identification of a possible cause of conflict. Do not award arguments, disagreements or staff not get along.

Question	Answer	Marks	Guidance
6 (b)	 better/improved/effective communication having clear business policies and procedures e.g. disciplinary action, grievance procedures having methods of resolution available/planned (1) i.e. mediation negotiation arbitration/conciliation ACAS trade unions providing training for managers to resolve/better deal with conflict 	2 x 3 marks	In each instance award: One mark for identification of a method PLUS Two marks for contextual development. 'CONT' annotation required. OR One mark for non-contextual development. Development may be description of the method (what it is), a reason for using the method (why) or how the method identified will help to resolve conflict. For context look for: 5, sales assistant, gift, card, shop manager, chocolates, sole trader, 5% (absenteeism), 30% (labour turnover OFR). Do not award context for shop or third. Do not award team bonding/building.
	Exemplar responses: Eve could make sure that there is improved communication (1) between all staff. If the shop managers (CONT) get to know each other and find it easy to communicate then any potential conflict can be discussed and hopefully resolved before it becomes an issue (2). Eve could introduce new policies that clearly state how conflict will be dealt with (1) so that all staff know what the process would be (1).		Do not award 'sacking the employee' or similar. Do not award 'meetings'. Annotate TV. Do not award 'warning'.

Question	Answer	Marks	Guidance
7	Use levels of response criteria. Indicative content: 360° feedback/360° appraisal grading based on attendance/absenteeism	16	Levels of response: Level 4 (13 - 16 marks) Candidate evaluates formal appraisal techniques to monitor the performance of sales assistants. Level 3 (9 - 12 marks)
	 (individual) performance management by objectives on-going monitoring of business performance		Candidate analyses the impact on Moments of using one or more formal appraisal techniques.
	peer appraisalresults-based appraisals		Level 2 (5 – 8 marks) Candidate explains formal appraisal technique(s).
	 self-appraisal upward appraisal top down/downward appraisal 		Level 1 (1-4 marks) Candidate identifies formal appraisal technique(s).
	 top down/downward appraisal Exemplar response: Peer appraisal (L1) could be used. This is where sales assistants would provide their view of the performance of other sales assistants (L2). This will help Eve to target training more effectively because these are the people who work alongside that person so know how they work (L3). Individual performance management by objectives (1) is when each sales assistant will be set objectives to meet in a set amount of time (L2). The individual objectives can be linked to the business objectives so may help the business increase revenue or profit (L3). 		L1 – identifies techniques. Techniques do not have to be appropriate to Moments. Term must be correct. L1 must be awarded to access L2.
			L2 – accurate description of the L1 technique(s). L2 must be awarded to access L3.
			L3 – benefit/drawback/impact on Moments/Eve/managers of identified technique(s) (not appraisal in general).
			L4 – an overall reasoned judgement of the most appropriate technique for Moments to introduce for the sales assistants. Reason must relate to the chosen method not appraisals in general.
	Overall I think that individual performance management by objectives is the best because the sales assistants all work in the shops (CONT). By linking their objectives to tasks in the shop such as reducing customer complaints, they can have a direct impact on revenue and therefore profits received (L4). 360° feedback wouldn't be appropriate because the sales assistants don't have anyone lower than them in the hierarchy.		Do not award L4 if either 360° feedback or upward appraisal are chosen.

Question		on	Answer	Marks	Guidance	
					Award 13 marks for a non-contextual judgement of the most appropriate technique. Award 14 marks for non-contextual judgement of the most appropriate technique that also gives the rationale for rejecting an alternative. Award 15 marks for contextual judgment of the most appropriate technique. Award 16 marks for contextual judgement of the most appropriate technique that also gives the rationale for rejecting an alternative. For context look for: 5, gift, card, shop, chocolates, third, sole trader, 5% (absenteeism), 30% (labour turnover OFR). Do not award sales assistants as context. L1 – Do not award KPI's. Do not award SMART targets/objectives. L1 - BOD evaluation/assessment/review if used in place of appraisal. L2 description for 360° feedback, peer appraisal, self-appraisal, top-down appraisal, must make clear who is providing the feedback/input for the appraisal.	

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