

ENGINEERING DESIGN



INCLUDED ON THE
KS4 PERFORMANCE TABLES

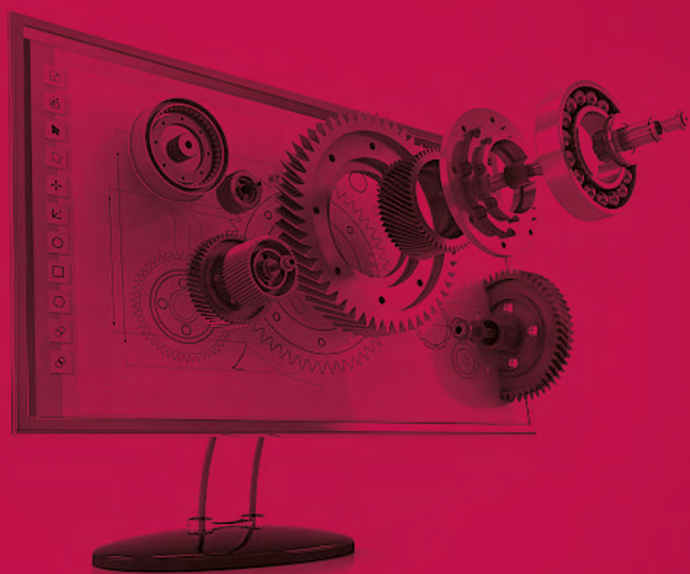
Specification

OCR Level 1/Level 2

Cambridge National in
**Engineering
Design**

J822

Version 6 (First teaching September 2022)



ocr.org.uk/cambridgenationals

Specification updates

Key changes have been listed below:

Section	Change	Version and date issued
Section: 4.4	Unit R040 Topic Area 2: Virtual CAD 3D marking criteria updated	Version 2 (May 2022)
Qualification overview Section 6.1.1: Centre and teacher/assessor responsibilities Section 6.2: Requirements and guidance for delivering and marking the OCR-set assignments Section 6.3: Feedback Section 6.4.4: Reattempting work before submitting marks to OCR	Updated to clarify information relating to NEA resubmissions.	
Section 6.5: Moderating NEA units	Updated information on how to submit moderated units.	
Section 6.6: Resubmitting moderated work to OCR to improve the grade Section 6.7: Recording feedback and decisions	New sections added to clarify information relating to NEA resubmissions.	
Section 7: Administration	Updated information to clarify administrative arrangements.	
Appendix A: Guidance for the production of electronic evidence	Updated information related to 'Submit for Assessment'.	
All	Weblinks updated.	
Section 4.2	Unit R038 Assessment Guidance Teaching Content numbering corrected	Version 3 (July 2022)
Section 2.1: Qualification overview	Updated to clarify information relating to Availability and Assessment method/model.	Version 4 (August 2023)
Section 3.2: Language	Updated to clarify this qualification is available in English only and all candidate work must be in English.	
Section 3.3: Availability	New section added to clarify qualification is not available in Wales or Northern Ireland.	
Section 6.1.1 Centre and teacher/assessor responsibilities	Updated to clarify information relating to the availability of sample assessment material for practice purposes.	
Section 6.1.1 Centre and teacher/assessor responsibilities Section 6.2 Requirements and guidance for delivering and marking the OCR set assignments. Section 6.2.1 Ways to authenticate work Section 6.2.2 Plagiarism	Updated to include information relating to AI Use in Assessments	
Section 6.2 Requirements and guidance for delivering and marking the OCR set assignments	Updated to clarify information relating to availability of live assignments and making entries.	
Section 7.5.2 Making final unit entries	Updated to clarify information about making unit entries.	
Appendix A	Updated standard file formats table	

Section	Change	Version and date issued
Section 2.1 Qualification at a glance	Updated information on terminal assessment and exam resits	Version 5 (May 2024)
Section 2.3 Purpose statement	R040: Design, evaluation and modelling what you will study updated	
Section 4.1.1 Terminal Assessment Rule	New section added to provide information on the terminal assessment rule	
Section 4.3 Unit R039: Communicating designs	Unit R039 - Topic Area 2: Manual production of engineering drawings marking criteria updated	
	Unit R039 - Topic Area 3: Use of Computer Aided Design (CAD) marking criteria updated	
	Assessment guidance - Task 1 assessment guidance updated	
	Assessment guidance - Task 3 assessment guidance updated	
	Assessment guidance - Task 4 assessment guidance updated	
	Synoptic assessment - unit links to R038: Principles of engineering design updated	
Section 4.4 Unit R040: Design, evaluation and modelling	Aims – unit aim updated	
	Unit R040 - Topic Area 1: Product evaluation teaching content and exemplification updated	
	Unit R040 - Topic Area 2: Modelling design ideas teaching content and exemplification updated	
	Unit R040 - Topic Area 1.2: Product Evaluation – Product Disassembly marking criteria updated	
	Unit R040 - Topic Area 2: Physical Modelling – Production Planning marking criteria updated	
	Unit R040 - Topic Area 2: Physical Modelling – Prototype Production marking criteria updated	
	Assessment guidance - Task 1 assessment guidance updated	
	Assessment guidance - Task 2 assessment guidance updated	
	Assessment guidance - Task 3 assessment guidance updated	
	Assessment guidance - Task 4 assessment guidance updated	
	Assessment guidance - Task 5 assessment guidance updated	
	Assessment guidance - Task 6 assessment guidance updated	

Section	Change	Version and date issued
Section 5.1 Overview of the assessment	Updated to clarify the terminal rule	
Section 6.3.7 Presentation of the final piece of work	Updated information on submission of work for moderation	
Section 7.2 Collecting evidence of student performance to ensure resilience in the qualifications system	New section added to provide information on collecting evidence of student performance to ensure resilience in the qualifications system	
Section 7.3 Entry rules, including Terminal Assessment	Updated to clarify information relating to entry rules and terminal assessment	
Section 7.6 Making final entries	Updated to clarify use of component codes	
Section 4.3 Unit R039: Communicating designs	Amended the topic area title for R039 Topic Area 2. The word manual has been removed.	Version 6 (November 2024)

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1 Why choose OCR?

Choose OCR and you've got the reassurance that you're working with one of the UK's leading exam boards. We have developed our specifications in consultation with teachers, employers and subject experts to provide students with a qualification that's relevant to them and meets their needs.

We're part of Cambridge University Press & Assessment. We help millions of people worldwide unlock their potential. Our qualifications, assessments, academic publications and original research spread knowledge, spark curiosity and aid understanding around the world.

We work with a range of education providers, including schools, colleges, workplaces and other institutions in both the public and private sectors. Over 13,000 centres choose our A Levels, GCSEs and vocational qualifications including Cambridge Nationals and Cambridge Technicals.

1.1 Our specifications

We believe in developing specifications that help you bring the subject to life and inspire your students to achieve more. We've created teacher-friendly specifications based on extensive research and

engagement with the teaching community. They're designed to be straightforward and accessible so that you can tailor the delivery of the course to suit your needs.

1.2 Our support

We have a range of support services to help you at every stage, from preparation to delivery.

- A wide range of high-quality creative resources including resources created by leading organisations within the industry.
- Textbooks and teaching and learning resources from leading publishers. For more information about all the published support for the Cambridge Nationals that has been endorsed by OCR please go to the [Cambridge Nationals page](#) on our website.
- Professional development for teachers to fulfil a range of needs. To join our training (either face-to-face or online) or to search for training materials, please go to the [Professional Development page](#) on our website.
- [Active Results](#) is our free results analysis service to help you review the performance of individual students or whole schools.
- [ExamBuilder](#) is our free question-building platform that helps you to build your own tests using past OCR exam questions.
- OCR subject advisors provide information and support to centres including specification and non examined assessment advice, updates on resources developments and a range of training opportunities. They work with subject communities through a range of networks to share ideas and expertise to support teachers.

Further help and support

Whether you are new to OCR or already teaching with us, you can find useful information, help and support on our [website](#). Or get in touch:

support@ocr.org.uk

@ocrexams

01223 553998

1.3 Aims and learning outcomes

Our Cambridge National in Engineering Design will encourage students to:

- understand and apply the fundamental principles and concepts of Engineering Design, including the design process, types of drawings, influences on design, and the use of Computer Aided Design (CAD)
- develop learning and practical skills that can be applied to real-life contexts and work situations
- think creatively, innovatively, analytically, logically and critically
- develop independence and confidence in using skills that would be relevant to the engineering design and development sector and more widely
- analyse problems in design terms through practical experience of solving such problems, including designing, and modelling designs to meet a design brief
- understand the different stages of the iterative design process, recognising the cyclical nature of this approach
- evaluate designs through product disassembly and the process of using product analysis.

1.4 What are the key features of this specification?

The key features of OCR's Cambridge National in Engineering Design for you and your students are:

- a simple and intuitive assessment model, consisting of an externally assessed unit that focuses on knowledge and understanding and two skills-based, non examined assessment units (NEA)
- a specification developed with teachers specifically for teachers. The specification lays out the subject content clearly
- a flexible support package formed after listening to teachers' needs. The support package will help teachers to easily understand the requirements of the qualification and how it is assessed
- a team of OCR Subject Advisors who support teachers directly and manage the qualification nationally
- the specification has been designed to progress onto Level 3 Vocational Engineering, Design and Technology at A Level, or a range of related Apprenticeships in the sector.

This qualification will help students to develop:

- valuable practical skills in engineering design that are highly sought after in the workplace
- a deep understanding of the design process and the use of 2D and 3D design techniques to meet design specifications.

All Cambridge Nationals qualifications offered by OCR are regulated by Ofqual, the Regulator for qualifications offered in England. The qualification number for OCR's Cambridge National in Engineering Design is QN 603/7086/5.

2 Qualification overview

2.1 OCR Level 1/Level 2 Cambridge National in Engineering Design at a glance

Qualification number	603/7086/5	OCR Entry code	J822
First entry date	01/09/2022	Approved age range	14-16
Guided learning hours (GLH)	120	Performance information	We've designed this qualification to meet the Department for Education (DfE) requirements for qualifications in the Technical Award category of the 14-16 performance tables
Total qualification time (TQT)	147		
Offered in	England only	Eligible for funding	It's designed to meet the funding requirements of a 14-16 study programme.
This qualification is suitable for students	<ul style="list-style-type: none"> aged 14-16 on a full-time study programme wanting to develop applied knowledge and practical skills in engineering design who want to progress onto other related study, such as qualifications in Engineering or Design and Technology as it is designed to meet the Department for Education's characteristics for a Technical Award. 		
Entry requirements	There is no requirement for students to achieve any specific qualifications before taking this qualification.		
Qualification requirements	Students must complete three units: <ul style="list-style-type: none"> one externally assessed unit (exam) two centre-assessed units (NEA). 		
Assessment method/model	Unit R038 is assessed by an exam and marked by us. You will assess the NEA units and we will moderate them. The NEA assignments will be valid for 1 year. The date for which they are live will be shown on the front cover. You must make sure you use the live assignment for students' assessments and submit in the period in which it is live. You must make sure students have an entry for each series in which you intend to submit or resubmit an NEA unit.		
Assessment series each year	<ul style="list-style-type: none"> January June 		
Terminal assessment	The exam must be taken in the final assessment series before qualification certification. If a student retakes their qualification, they will need to resit the exam in order to meet the terminal rule again. The first qualification grade is used for school performance tables even if they have improved their qualification grade.		
Grading	All results are awarded on the following scale: Level 2 – Distinction* (*2), Distinction (D2), Merit (M2), Pass (P2) Level 1 – Distinction (D1), Merit (M1), Pass (P1) and Fail/Unclassified.		
Exam resits	Students can resit the exam. The terminal assessment rule means that the resit result will be used towards the student's final qualification grade and not the best result.		

Repeat submission of students' NEA work

If students have not performed at their best during the assessment of NEA units, they can improve their work and submit it to you again for assessment. They must have your agreement and you must be sure it is in the student's best interests.

We use the term 'resubmission' when referring to student work that has previously been submitted to OCR for moderation. Following OCR moderation a student can attempt to improve their work, for you to assess and provide the final mark to us. There is one resubmission opportunity per NEA assignment.

All work submitted (or resubmitted) must be based on the assignment that is live for the series of submission.

For information about feedback see [section 6](#). The final piece of work must be completed solely by the student and teachers must not detail specifically what amendments should be made.

2.2 Qualification structure

For this qualification, students must achieve **three** units: one externally assessed and two Non Examined Assessment (NEA) units.

Key to units for this qualification:

M = Mandatory	Students must achieve this unit
E = External assessment	We set and mark the exam
N = NEA	You assess this and we moderate it

Unit no.	Unit title	Unit ref. no. (URN)	Guided learning hours (GLH)	How are they assessed?	Mandatory or optional
R038	Principles of engineering design	R/618/5829	48	E	M
R039	Communicating designs	J/618/5830	36	NEA	M
R040	Design evaluation and modelling	L/618/5831	36	NEA	M

2.3 Purpose statement



OCR Level 1/Level 2 Cambridge National in Engineering Design

Qualification number: J822

Type of qualification: Technical Award

Purpose Statement

Overview

Who is this qualification for?

The OCR Level 1/Level 2 Cambridge National in Engineering Design is aimed at students aged 14-16 and will develop knowledge, understanding and practical skills that would be used in the engineering design and development sector.

You may be interested in this if you want an engaging qualification where you will use what you learn in practical, real-life situations, such as:

- Using both 2D and 3D engineering design techniques
- Designing new products to meet a design brief
- Communicating engineering design ideas.

This will help you to develop independence and confidence in using skills that would be relevant to the engineering design and development sector.

The qualification will also help you to develop learning and skills that can be used in other life and work situations, such as:

- Completing research to inform engineering design ideas
- Solving problems by exploring different engineering design options
- Finding imaginative solutions through creative thinking.

This qualification will complement other learning that you're completing for GCSEs or vocational qualifications at Key Stage 4 and help to prepare you for further study.

What will you study as part of the qualification?

You will study the key aspects of engineering design, and have the opportunity to apply what you learn through a number of practical experiences. This will involve you studying three mandatory units:

- **R038: Principles of engineering design**

This is assessed by an exam.

In this unit you will learn about the design process, and all of the stages that are involved. Topics include:

- Designing processes
- Designing requirements
- Communicating design outcomes
- Evaluating design ideas

- **R039: Communicating designs**

This is assessed by a set assignment.

In this unit you will learn how to use sketching and engineering drawings to communicate your ideas. Topics include:

- Manual production of freehand sketches
- Production of engineering drawings
- Use of computer aided design (CAD)

- **R040: Design, evaluation and modelling**

This is assessed by a set assignment.

In this unit you will learn virtual and physical modelling skills. Topics include:

- Product evaluation
- Modelling a prototype

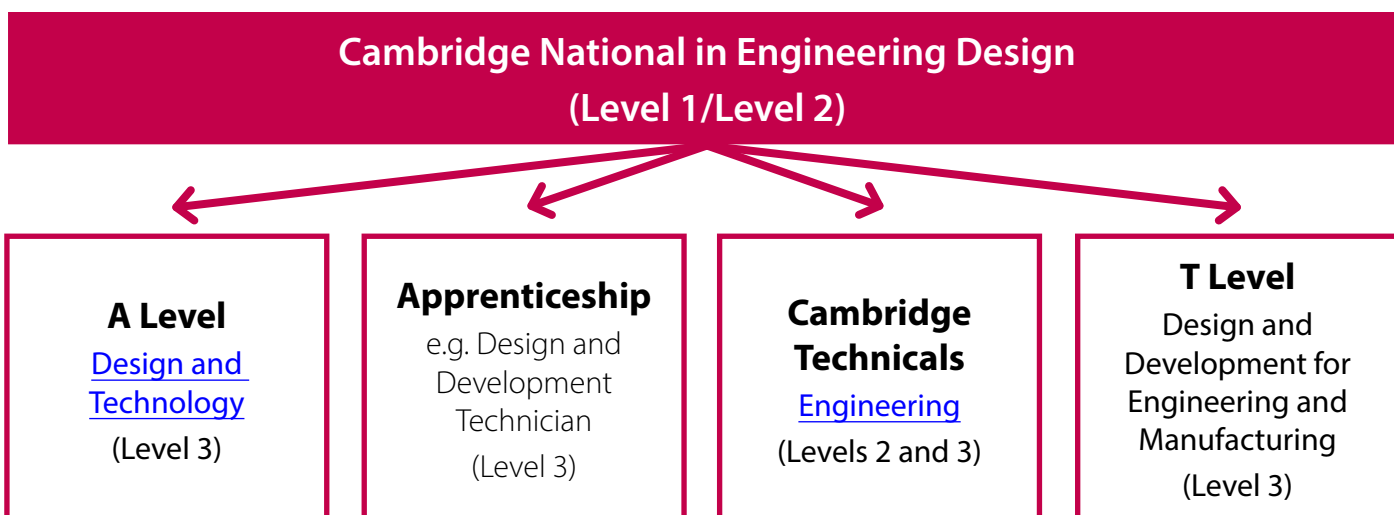
What knowledge and skills will you develop as part of this qualification and how might these be of use and value in further studies?

This qualification will enable you to learn about the process of engineering design, and understand how it can be used to design effective solutions for a given design brief. You will develop the ability to communicate your design ideas through the use of sketches and engineering drawings and computer-aided design. You will also be able to evaluate the design of a product, through the disassembly of existing products or the use of modelling for new designs.

These skills will help you progress onto further study in the engineering design and development sector.

This may be Level 3 vocational qualifications, such as the Cambridge Technical in Engineering, A Levels, such as A Level Design and Technology, or one of the number of Design and Development Technician Apprenticeships. It is anticipated that these qualifications will also enable you to progress onto a T Level such as Design and Development for Engineering and Manufacturing, when they are available.

The diagram below shows the possible progression routes for your further study:



Which subjects will complement this course?

Other subjects that supplement or complement this qualification include:

- Cambridge National in Engineering Manufacture
- Cambridge National in Engineering Programmable Systems
- GCSE Design and Technology

Further details

More information about the Cambridge National in Engineering Design can be found in these documents:

[Specification](#)

[Sample Assessment Material \(SAM\)](#)

[Guide to our Sample Assessment Material](#)

[Student guide to NEA assignments](#)

[Understanding the assessment: examined and moderated](#)

3 About this qualification

3.1 Qualification size (GLH and TQT)

The size of the qualification is described in terms of Guided Learning Hours (GLH) and Total Qualification Time (TQT).

GLH indicates the approximate time (in hours) the teacher will spend supervising or directing study and assessment activities. We have worked with people who are experienced in delivering related qualifications to determine the content that needs to be taught and how long it will take to deliver.

TQT includes two parts:

- GLH
- an estimate of the number of hours a student will spend on unsupervised learning or assessment activities (including homework) to successfully achieve their qualification.

OCR Level 1/Level 2 Cambridge National in Engineering Design is 120 GLH and 147 TQT.

3.2 Language

This qualification is available in English only. All assessment materials are available in English only and all candidate work must be in English.

3.3 Availability

The Cambridge Nationals qualifications are available in England. They are **not** available in Wales or Northern Ireland.

3.4 Performance information

We've designed this qualification to meet the Department for Education (DfE) requirements for qualifications in the Technical Award category of the 14-16 performance tables.

You'll find information on performance tables for England on the Department for Education [website](#).

4 Units

4.1 Guidance on unit content

This section describes what must be taught so that students can access all available marks.

4.1.1 Terminal Assessment Rule

The terminal assessment rule means that students must take their exam in the final assessment series of their

course. You should be mindful of this when entering students for units. See [Section 7.3](#) for more information.

4.1.2. Externally assessed unit (R038)

The externally assessed unit is made up of a number of topic areas. Each topic area has related teaching content that must be taught. A direct question may be asked about any content in the teaching content column.

The breadth and depth column helps to clarify the breadth and depth of teaching needed, and indicates the range of knowledge and understanding that may be assessed in the exam. This column also confirms any aspects that you do **not** need to teach in relation to the content as 'does not include' statements.

Knowledge and understanding

Students will need to **understand** the content unless the breadth and depth column identifies it as knowledge only.

- Any item(s) that should be taught as knowledge only will start with the word 'know' in the breadth and depth column.
- All other content is expected to be taught as understanding.

The table below explains what we mean by knowledge and understanding.

Knowledge	<ul style="list-style-type: none">Be able to identify or recognise a given item, for example on a diagramUse direct recall to answer a question, for example the definition of a term.
Understanding	<ul style="list-style-type: none">To assess and evidence the perceived meaning of something in greater depth than straight identification or recall.Understanding will be expressed and presented using terms such as: how; why; when; reasons for; benefits and drawbacks of; advantages and disadvantages of; purpose of; suitability of; recommendations for improvement; pros and cons; appropriateness of something to/in different contexts.

Students need to be taught the information in both the teaching content and breadth and depth columns.

4.1.3 NEA Units (R039 and R040)

The NEA units are made up of a number of topic areas with associated teaching content which details what must be taught as part of each topic area. The NEA units also have an exemplification column that provides

more information about, and examples relating to, the teaching content. This helps to exemplify the teaching expected so that students are equipped to successfully complete their assignments.

4.1.4 Command words

[Appendix B](#) gives information about the command words that will be used in both the external assessments and the NEA marking criteria and the expectations of them.

4.1.5 Performance Objectives (POs):

Each Cambridge National qualification has related Performance Objectives. There are four Performance Objectives in the OCR Level 1/Level 2 Cambridge National in Engineering Design.

Performance Objectives	
PO1	Recall knowledge and show understanding
PO2	Apply knowledge and understanding
PO3	Analyse and evaluate knowledge, understanding and performance
PO4	Demonstrate and apply skills and processes relevant to the subject area

PO1 is only relevant to the exam. PO4 is only relevant to the NEA assessments.

The weightings of the Performance Objectives across the units is:

Performance Objective	Externally assessed unit (range)	NEA units	Overall weighting
PO1	17.5–21%	n/a	17.5–21%
PO2	13–16.5%	17.5%	30.5–34%
PO3	5–7%	15.5%	20.5–22.5%
PO4	n/a	27%	27%
Overall weighting of assessments	40%	60%	100%

4.2 Unit R038: Principles of engineering design

Aims

You are surrounded by products that have been created to solve a particular problem, whether that be a backpack that needs to be strong enough to carry a specific piece of equipment, or a desk tidy that can help to store pens and writing equipment. These engineering designs do not magically appear; they are typically developed by following a design strategy or process.

In this unit you will learn about the different design strategies and where they are used, as well as the stages that are involved in iterative design, which is currently one of the most widely used design strategies.

You will learn about the type of information needed to develop a design brief and specification, and the manufacturing and other considerations that can influence a design.

You will develop knowledge of the types of drawing used in engineering to communicate designs, as well as the techniques used to evaluate design ideas and outcomes, including modelling methods.

Unit R038: Principles of engineering design

Topic Area 1: Designing processes

Teaching content

Breadth and Depth

1.1 The stages involved in design strategies

- ☐ Linear design
- ☐ Iterative design
- ☐ Inclusive design
- ☐ User-centred design
- ☐ Sustainable design
- ☐ Ergonomic design

To include:

- The context in which each strategy might be applied
- The relative advantages and disadvantages of each strategy

1.2 Stages of the iterative design process, and the activities carried out within each stage of this cyclic approach

1.2.1 Design:

- ☐ Analysis of the design brief
- ☐ Methods of researching the product requirements
 - types of information obtained from primary research
 - types of information obtained from secondary research
 - market research to determine existing products
 - interviews with potential users and focus groups
 - use of tables of anthropometric data
 - analysis of existing products using:
 - ACCESS FM (Aesthetics, Cost, Customer, Environment, Size, Safety, Function, Materials and Manufacturing)
 - product disassembly
- ☐ Production of an engineering design specification
- ☐ Generation of design ideas by sketching and modelling

To include:

- Relative advantages and disadvantages of primary and secondary research for product requirements
- How the information obtained from each method contributes to the design process
- Generation of design ideas may refer to the creation of the initial design or to the modification/improvement of the existing design

Unit R038: Principles of engineering design

1.2.2 Make and evaluate:

- ☐ The reasons for the use of modelling
 - to test proportions
 - to test scale
 - to test function
- ☐ Virtual modelling of the design idea
- ☐ Physical modelling of the design idea
- ☐ Manufacture or modification of the prototype
 - comparison of the model or prototype against the requirements of the design brief and specification

Topic Area 2: Design requirements

Teaching content

Breadth and Depth

2.1 Types of criteria included in an engineering design specification

- ☐ Needs and wants
- ☐ Quantitative and qualitative criteria
- ☐ Reasons for the product criteria included in the design specification (ACCESS FM):
 - Aesthetics
 - Cost
 - Customer
 - Environment
 - Size
 - Safety
 - Function
 - Material
 - Manufacturing

To include:

- The difference between needs and wants
- The difference between quantitative data and qualitative data

2.2 How manufacturing considerations affect design

- ☐ Scale of manufacture:
 - one-off
 - batch
 - mass
- ☐ Material availability and form
- ☐ Types of manufacturing processes:
 - wasting
 - shaping
 - forming
 - joining
 - finishing
 - assembly
- ☐ Production costs
 - labour
 - capital cost

To include:

- Typical products manufactured at different scales of manufacture

Unit R038: Principles of engineering design

2.3 Influences on engineering product design

<ul style="list-style-type: none">□ Market pull and technology push□ British and International Standards□ Legislation□ Planned obsolescence□ Sustainable design (6Rs)<ul style="list-style-type: none">▪ Rethink▪ Reuse▪ Recycle▪ Repair▪ Reduce▪ Refuse□ Design for the circular economy	<p>To include:</p> <ul style="list-style-type: none">• Quality standards such as:<ul style="list-style-type: none">o British Standards (BS)o United Kingdom Conformity Assessed (UKCA).• Legislation related to health and safety regulation and risk assessment
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Topic Area 3: Communicating design outcomes

Teaching content

Breadth and Depth

3.1 Types of drawing used in engineering

<ul style="list-style-type: none">□ Freehand sketching□ Isometric□ Oblique□ Orthographic drawings□ Exploded views□ Assembly drawings□ Block diagrams□ Flowcharts□ Circuit diagrams□ Wiring diagrams	<p>To include:</p> <ul style="list-style-type: none">• The typical applications and relative advantages and disadvantages of each drawing technique
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3.2 Working drawings

<ul style="list-style-type: none">□ 2D engineering drawings using third angle orthographic projection□ Standard conventions<ul style="list-style-type: none">▪ title block▪ metric units of measurement▪ scale▪ tolerance□ Standard conventions for dimensions:<ul style="list-style-type: none">▪ linear measurements▪ radius▪ diameter▪ surface finish□ Meaning of line types:<ul style="list-style-type: none">▪ outlines▪ hidden detail▪ centre line▪ projection▪ dimension▪ leader line	<p>To include:</p> <ul style="list-style-type: none">• Standard conventions in BS 8888 and how these are applied
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Unit R038: Principles of engineering design

- ☐ Abbreviations:
 - across flats
 - centre line
 - diameter
 - drawing
 - material
 - square
- ☐ Representations of mechanical features:
 - threads
 - holes
 - chamfers
 - countersinks
 - knurls

3.3 Using CAD drawing software

- ☐ Advantages and limitations of using CAD drawing software compared to manual drawing techniques

Topic Area 4: Evaluating design ideas

Teaching content

Breadth and Depth

4.1 Methods of evaluating design ideas

- ☐ Production of models
- ☐ Qualitative comparison with the design brief and specification
- ☐ Ranking matrices
- ☐ Quality Function Deployment (QFD)

4.2 Modelling methods

- ☐ Virtual (3D CAD)
- ☐ Card
- ☐ Block
- ☐ Breadboarding
- ☐ 3D printing

To include:

- The type of information that can be obtained using each type of modelling process
- The equipment required and stages involved in each method
- The advantages and limitations of each method

4.3 Methods of evaluating a design outcome

- ☐ Methods of measuring the dimensions and functionality of the product
- ☐ Quantitative comparison with the design brief and specification
- ☐ User testing
- ☐ Reasons for identifying potential modifications and improvements to the design

To include:

- The advantages and limitations of each method

Assessment guidance

This unit is assessed by an exam. The exam is 1 hour 15 minutes. It has two Sections — Section A and Section B.

- Section A has 10 marks
- Section B has 60 marks
- The exam has 70 marks in total

This will be conducted under examination conditions. For more details refer to the [Administration](#) area.

The Engineering Design '[Exploring our exams: a guide to our Sample Assessment Material](#)' gives more information about the layout and expectations of the exam.

A range of question types will be used in the exam, but it will always require students to use the skills of analysis and evaluation.

Teaching content	Assessment Guidance
1.1	<ul style="list-style-type: none">• Students may be required to recommend a design strategy for a particular product and justify their choice.
1.2.1	<ul style="list-style-type: none">• Students will need to be able to identify the key stages of the iterative design process and describe the stages involved in carrying out each process.
1.2.2	<ul style="list-style-type: none">• Students will need to know how to analyse existing products using ACCESS FM.• Students will need to understand how the stages of the iterative design process allow the development of the design based on a cyclic process of designing, making, evaluating, and refining of the prototype.
2.1 - 2.3	<ul style="list-style-type: none">• Students will need to know how to use ACCESS FM to produce an engineering design specification and knowledge of the scale of manufacture.• Students should know at least one example of a product produced by each scale of manufacture.• Students will need to know how designs are made sustainable through the consideration of the 6Rs, and should know at least one example of how a product is made sustainable by one of the 6Rs.• Students will need to be able to describe the influences on engineering product design.
3.1	<ul style="list-style-type: none">• Students will need to know each of the engineering drawing techniques and may be expected to identify each of the conventions or representations stated.• Students may also be expected to add dimensions using the conventions to provided drawings.
4.2	<ul style="list-style-type: none">• Students will need to describe at least one modelling method in the creation of a product prototype and give one example of a product produced using one of the modelling methods.

Synoptic assessment

This unit allows students to gain underpinning knowledge and understanding relevant to the qualification and sector. The NEA units draw on and strengthen this learning with students applying their learning in a practical, skills-based way. The synoptic grids at the end of the NEA units show these synoptic links.

More information about synoptic assessment within this qualification can be found in [section 5.2 synoptic assessment](#).

4.3 Unit R039: Communicating designs

Aims

Unless designers can communicate their ideas to others, then it is unlikely that their engineering designs will be fully appreciated. By using drawing skills designers can provide a far better sense of what a new product will look like and encourage the creative process that can enhance a successful design.

In this unit you will learn how to develop your techniques in sketching, and gain industrial skills in engineering drawing using standard conventions that include dimensioning, line types, abbreviations, and representation of mechanical features.

You will enhance your confidence and capabilities by using computer aided design (CAD), 2D and 3D software, to produce accurate and detailed drawings and models that visually communicate your designs.

Unit R039: Communicating designs

Topic Area 1: Manual production of freehand sketches

Teaching content

Exemplification

1.1 Sketches for a design idea

1.1.1 Produce a freehand sketch of a design idea using:

- ☐ 2D/3D sketches
- ☐ Thick/thin lines
- ☐ Texture
- ☐ Tone
- ☐ Shading
- ☐ Annotation and labelling techniques:
 - explain key features
 - functions,
 - dimensions
 - materials

To include:

- Regular solids: cube, rectangular block, hollow object and a cylinder
- Compound shapes

1.1.2 Produce an isometric sketch for a design proposal

Topic Area 2: Production of engineering drawings

Teaching content

Exemplification

2.1 Drawings for a design idea

2.1.1 Produce a 3rd angle orthographic projection drawing of a design proposal using standard conventions

2.1.2 Produce an assembly drawing for a design proposal.

- ☐ Isometric projection
- ☐ Centre line
- ☐ Parts list to include up to 4 parts
- ☐ Parts number referencing
- ☐ Assembly instructions

To include:

- Exploded view
- Sectional view

Unit R039: Communicating designs

Topic Area 3: Use of computer aided design (CAD)

Teaching content

Exemplification

3.1 Produce a 3D CAD model of a design proposal to include compound 3D shapes

3.1.1 Produce a 3D CAD model of a design proposal to include compound 3D shapes:

- CAD sketch tool features:
 - lines
 - arcs
 - polygons
 - extrudes
 - revolves
 - sizing
 - dimensioning
 - shelling
 - holes
- CAD reference geometry:
 - work planes
- CAD rendering

To include:

- Complex shape which includes dimensions, lines and angles

3.1.2 Produce 3D CAD assemblies of components:

- Aspects of CAD assembly:
 - multiple components
 - mate tools
 - mate constraint tools
 - animation

Marking criteria

[Section 6.4](#) provides full information on how to mark the NEA units and apply the marking criteria. The marking criteria command words are further explained in [Appendix B Command words](#).

The tables below contain the marking criteria for the tasks for this unit. If a student's work does not meet any Mark Band 1 (MB1) criteria for the task, you must award zero marks for that task.

Unit R039 – Topic Area 1: Manual production of freehand sketches

MB1: 1–4 marks	MB2: 5–8 marks	MB3: 9–12 marks
Produces a limited range of creative freehand design proposals.	Produces an adequate range of creative freehand design proposals.	Produces a wide range of creative and innovative freehand design proposals.
Limited consideration of the design specification.	Partial consideration of the design specification.	Fully considers the design specification.
Uses a basic range of techniques.	Uses an adequate range of techniques.	Uses a comprehensive range of techniques.

Unit R039 – Topic Area 1: Manual production of freehand sketches

MB1: 1–2 marks	MB2: 3–4 marks	MB3: 5–6 marks
Evidence of analysis of design proposals with limited annotation.	Evidence of analysis of design proposals, with some annotation.	Extensive evidence of analysis of design proposals that are fully annotated.
Justification demonstrates limited understanding of needs and wants of the client/user.	Justification demonstrating some understanding of needs and wants of the client/user.	Justification demonstrating a detailed understanding of needs and wants of the client/user.

Unit R039 – Topic Area 1: Manual production of freehand sketches - Design Development

MB1: 1–4 marks	MB2: 5–8 marks	MB3: 9–12 marks
Produces a basic freehand sketch of design proposal.	Produces adequate freehand sketches of design proposal.	Produces comprehensive freehand sketches of design proposal.
Brief explanation of the key features of a design proposal with limited annotation.	Adequate explanation of the key features of a design proposal with some annotation.	Detailed explanation of the key features of a design proposal that is fully annotated.
Limited consideration of the design specification.	Some consideration of the design specification.	Fully considers the design specification.

Unit R039 – Topic Area 2: Production of engineering drawings

MB1: 1–4 marks	MB2: 5–8 marks	MB3: 9–12 marks
Produces a basic orthographic drawing.	Produces an adequate and accurate orthographic drawing.	Produces a comprehensive orthographic drawing.
Produces an assembly drawing that is limited in detail.	Produces an assembly drawing with some detail.	Produces a fully detailed assembly drawing.

Unit R039 – Topic Area 3: Use of Computer Aided Design (CAD)

MB1: 1–6 marks	MB2: 7–12 marks	MB3: 13–18 marks
Use of CAD to produce a simple model of the design proposal.	Use of CAD to produce an adequate model of the design proposal.	Use of CAD to produce a complex model of the design proposal.
A simple 3D virtual model consisting of a very limited number of components.	An adequate 3D virtual model consisting of some components.	A detailed 3D virtual model consisting of many components.

Task	Assessment guidance
Task 1	<ul style="list-style-type: none"> Students must be able to produce freehand sketches for a range of design ideas for their design proposals using rendering techniques: thick/thin lines; texture; shading and annotation to demonstrate the design. It would be highly unusual to see the same output from students in a cohort. Ensure that students produce a range of design ideas and proposals that respond to the specification provided, using both 2D and 3D techniques and utilise graphical communication methods to enhance their ideas.
Task 2	<ul style="list-style-type: none"> Students are required to develop one design proposal further using rendering techniques to present both 2D and 3D sketches. Detailed annotation and labelling should be used to help describe the function, features, material choices, assembly methods etc. Students should explain how their design meets the design specification provided.
Task 3	<ul style="list-style-type: none"> Students must be able to produce a 3rd angle orthographic drawing and an assembly drawing for a design proposal. They must use the correct standards and conventions. Production of drawings refers to either the use of drawing boards or CAD software. You should ensure that students produce a range of engineering drawings following standard conventions (BS 8888). To demonstrate their design proposal, students should utilise a range of assembly drawing techniques.
Task 4	<ul style="list-style-type: none"> You should ensure that students use CAD software to produce formal presentation design proposals. Students must include photographic evidence of their CAD work. Students must demonstrate skill in using 3D CAD modelling.

Synoptic assessment

Some of the knowledge, understanding and skills required when completing this unit will draw on the learning developed in Unit R038. The following table details where these synoptic links can be found:

R039: Communicating designs		R038: Principles of engineering design	
Topic area		Topic area	
1	Manual production of freehand sketches	1	Designing processes
		2	Design requirements
		3	Communicating design outcomes
2	Production of engineering drawings	3	Communicating design outcomes
3	Use of computer aided design (CAD)	3	Communicating design outcomes

More information about synoptic assessment within this qualification can be found in [section 5.2 Synoptic assessment](#).

4.4 Unit R040: Design, evaluation and modelling

Aims

Designers need an understanding of how products are manufactured to ensure that their ideas can be produced effectively. Analysing how products are made can help to inform designs, and it can be useful to disassemble existing products to discover how they function and how they were manufactured.

You will develop your virtual modelling skills using computer aided design (CAD) 3D software, to produce a high-quality model that will be able to simulate your design prototype. You will also develop your physical modelling skills using modelling materials or rapid-prototyping processes to produce a physical prototype.

Unit R040: Design, evaluation and modelling

Topic Area 1: Product evaluation

Teaching content

Exemplification

1.1 Product analysis

1.1.1 Carry out product analysis using ACCESS FM.

- ☐ Aesthetics
- ☐ Cost
- ☐ Customer
- ☐ Environment
- ☐ Size
- ☐ Safety
- ☐ Function
- ☐ Materials and manufacturing

1.1.2 Compare products using:

- ☐ Engineering matrices
 - Ranking matrices
 - Quality Function Deployment (QFD)

To include:

- Advantages and/disadvantages of a product identified using primary and/or secondary research

1.2 Product disassembly

- ☐ Use of manufacturers manuals or other published sources
- ☐ Use of appropriate tools and instruments
- ☐ Analysis of the disassembled product
 - components and their functions
 - assembly methods
 - materials
 - production methods
 - manufacturing methods
 - maintenance considerations

Unit R040: Design, evaluation and modelling

Topic Area 2: Modelling design ideas

Teaching content

Exemplification

2.1 Methods of modelling

2.1.1 Virtual CAD 3D

- Create a 3D model using CAD 3D software
- Simulate the operation of the product using CAD software

Creation of a 3D CAD model to include:

- Single components
- Assemblies of items to form a product

Simulation to include:

- Fit of components in an assembly
- Mechanical/electronic performance of single components
- Stress simulation of components

2.1.2 Physical modelling

- Select an appropriate modelling method:
 - sheet
 - block
 - 3D printing
- Select and use appropriate materials, processes, tools and equipment to produce a prototype
- Apply safe working procedures when making the prototype
- Record the key stages of making the prototype
- Compare the prototype against the product design specification
- Identify potential improvements in the design

To include:

- Sheet modelling with card and polymers
- Block modelling with foam, acrylic, timber, metal, manufactured board or a combination of materials

Examples of **methods used to record the key stages of making a prototype** may include:

- Gantt chart
- Task List
- Work plan
- Risk assessment

Marking criteria

[Section 6.4](#) provides full information on how to mark the NEA units and apply the marking criteria. The marking criteria command words are further explained in [Appendix B Command words](#).

The tables below contain the marking criteria for the tasks for this unit. If a student's work does not meet any Mark Band 1 (MB1) criteria for the task, you must award zero marks for that task.

Unit R040 – Topic Area 1.1: Product Evaluation – Product Analysis

MB1: 1–3 marks	MB2: 4–6 marks	MB3: 7–9 marks
Produces a basic product analysis of the key features of products using ACCESS FM.	Produces an adequate product analysis of the key features of products using ACCESS FM.	Produces a comprehensive product analysis of the key features of products using ACCESS FM.
Provides a basic description of the strengths and weaknesses of existing products.	Provides an adequate description of the strengths and weaknesses of existing products.	Provides a comprehensive description of the strengths and weaknesses of existing products.
Basic use of an engineering matrix.	Appropriate use of an engineering matrix.	Effective use of an engineering matrix.

Unit R040 – Topic Area 1.2: Product Evaluation – Product Disassembly

MB1: 1–3 marks	MB2: 4–6 marks	MB3: 7–9 marks
Explanation shows a limited understanding of potential hazards and safety considerations when using tools and equipment.	Explanation shows an adequate understanding of potential hazards and safety considerations when using tools and equipment.	Explanation shows a clear understanding of potential hazards and safety considerations when using tools and equipment. t.
Produces a limited analysis of the components, materials, production methods, assembly, and manufacturing methods used in an engineered product.	Produces an adequate analysis of the components, materials, production methods, assembly, and manufacturing methods used in an engineered product.	Produces a comprehensive analysis of the components, materials, production methods, assembly, and manufacturing methods used in an engineered product.

Unit R040 – Topic Area 2: Virtual CAD 3D

MB1: 1–4 marks	MB2: 5–8 marks	MB3: 9–12 marks
Produces a basic 3D virtual model using CAD.	Produces an adequate 3D virtual model using CAD.	Produces a comprehensive 3D virtual model using CAD.
Produces a simple 3D virtual model consisting of a very limited number of components.	Produces an adequate 3D virtual model consisting of some mated components.	Produces a complex 3D virtual model consisting of many mated components.
Demonstration of complex industry-related CAD activities is dependent upon assistance or help from other sources.	Demonstration of complex industry-related CAD activities is carried out with some assistance or help from other sources.	Demonstration of complex industry-related CAD activities is carried out independently .

Unit R040 – Topic Area 2: Physical Modelling – Production Planning

MB1: 1–2 marks	MB2: 3–4 marks	MB3: 5–6 marks
A basic description of the planning stages to be used in the manufacturing of the prototype.	An adequate description of the planning stages to be used in the manufacturing of the prototype.	A comprehensive description of the planning stages to be used in the manufacturing of the prototype
Shows limited understanding of safety considerations.	Shows some understanding of safety considerations.	Shows a detailed understanding of safety considerations.

Unit R040 – Topic Area 2: Physical Modelling – Prototype Production

MB1: 1–6 marks	MB2: 7–12 marks	MB3: 13–18 marks
<p>Dependent upon prompts to use PPE equipment when working with tools, machines, materials, chemicals, finishes and solvents.</p> <p>Use tools and processes with limited effectiveness to produce and assemble an outcome that partly meets the production plan. The prototype will be incomplete.</p> <p>Produces a limited record of the key stages of making the prototype.</p>	<p>Requires some prompting to use appropriate PPE when working with tools, machines, materials, chemicals, finishes and solvents.</p> <p>Use tools and processes with some effectiveness to produce and assemble an outcome that mostly meets the production plan. The prototype will be mostly complete.</p> <p>Produces an adequate record of most of the key stages of making the prototype.</p>	<p>Independently uses appropriate PPE when working with tools, machines, materials, chemicals, finishes and solvents.</p> <p>Use tools and processes effectively to produce and assemble an outcome that is of a high quality, accurate and fully meets the production plan. The prototype will be fully complete.</p> <p>Produces a detailed and accurate record of the key stages of making the prototype.</p>

Unit R040 – Topic Area 2: Physical Modelling – Evaluation of a prototype

MB1: 1–2 marks	MB2: 3–4 marks	MB3: 5–6 marks
<p>Produces a basic evaluation of the prototype outcome against the product specification.</p> <p>Provides limited potential improvements. No justification is provided.</p>	<p>Produces an adequate evaluation of the prototype outcome against the product specification.</p> <p>Provides some potential improvements, with justification.</p>	<p>Produces a comprehensive evaluation of the prototype outcome against the product specification.</p> <p>Provides detailed potential improvements with justification.</p>

Task	Assessment guidance
Task 1	<ul style="list-style-type: none"> Students should use ACCESS FM to analyse the specified product and compare products using an appropriate customer driven engineering matrix. Students are expected to use the most appropriate form(s) of research to gather the information needed. The focus is on the comprehensiveness and appropriateness of the research, not specifically whether the research is primary, secondary or both. This should be completed individually, so it would be highly unusual to see the same output from all students in a cohort.
Task 2	<ul style="list-style-type: none"> You should ensure that students observe a product disassembly in order to analyse the variety and function of components, and establish the most suitable materials, production, assembly and manufacturing methods. Students should include their own commentary of the disassembly explaining potential hazards, and safety considerations taken when disassembling the product.
Task 3	<ul style="list-style-type: none"> Students will require access to 3D CAD software in order to produce a virtual 3D model from the product specification provided. Different views of the virtual 3D model should be evidenced, and you should ensure that students simulate the operation of the product. You must make a note on the Unit Recording Sheet (URS) of any assistance students required when demonstrating complex industry-related CAD activities.
Task 4	<ul style="list-style-type: none"> Students are required to plan the production of a prototype, and will need to identify and plan the different stages required to manufacture the it. We provide a template for a risk assessment that students can use as part of their production plan.
Task 5	<ul style="list-style-type: none"> Students should follow their production plan in order to produce a prototype, working safely at all times. When making their prototype students should create a photographic diary explaining each key stage, referencing the tools and processes used. You should complete a Teacher Observation Record for this task.
Task 6	<ul style="list-style-type: none"> Students should evaluate their manufactured prototype against the product specification, suggesting and justifying a range of potential design improvements.

Synoptic assessment

Some of the knowledge, understanding and skills required when completing this unit will draw on the learning developed in Unit R038. The following table details where these synoptic links can be found:

R040: Design, evaluation and modelling		R038: Principles of engineering design	
Topic Area		Topic Area	
1	Product evaluation	1	Designing processes
2	Modelling design ideas	1	Designing processes
		3	Communicating design outcomes

More information about synoptic assessment within this qualification can be found in [section 5.2 Synoptic assessment](#).

5 Assessment and grading

5.1 Overview of the assessment

Entry code	Qualification title	GLH	Reference
J822	OCR Level 1/Level 2 Cambridge National in Engineering Design	120*	603/7086/5
Made up of three mandatory units: <ul style="list-style-type: none">Units R038, R039 and R040.			

*the GLH includes assessment time for each unit

Unit R038: Principles of engineering design	
48 GLH 1 hour 15 minute written examination 70 marks (80 UMS) OCR-set and marked Calculators are allowed in this exam	This question paper has two parts: <ul style="list-style-type: none">Part A – includes 10 multiple choice questions (MCQs)Part B – includes short answer questions and extended response questions. One extended response question will be assessed using a levels of response mark scheme.
Unit R039: Communicating designs	
36 GLH OCR-set assignment 60 marks (60 UMS) Centre-assessed and OCR moderated	This set assignment contains 4 practical tasks. It should take approximately 10-12 GLH to complete.
Unit R040: Design evaluation and modelling	
36 GLH OCR-set assignment 60 marks (60 UMS) Centre-assessed and OCR moderated	This set assignment contains 6 practical tasks. It should take approximately 10-12 GLH to complete.

The terminal assessment rule or ‘terminal rule’ means that the exam must be taken in the final assessment series of the student’s course. Non examined assessment (NEA) units can be submitted in the same series as the exam or an earlier series but the exam must be taken in the final series.

If a student takes the exam in a series before their work for NEA units are submitted, this is considered as a ‘practise’ attempt and will not contribute to their final qualification grade. The student must take their exam again in their final assessment series and that exam result will be used towards the student’s final qualification grade.

For more information on the terminal rule and also what it means for performance tables see [Section 7.3](#). Resitting units before certification and Retaking the qualification.

OCR-set assignments for units R039 and R040 are available on our secure website for teachers, [Teach Cambridge](#).

5.2 Synoptic assessment

Synoptic assessment is a built-in feature of this qualification. It means that students need to use an appropriate selection of their knowledge, understanding and skills developed across the qualification in an integrated way and apply them to a key task or tasks.

This also helps students to build a holistic understanding of the subject and the connections between different elements of learning, so they can go on to apply what they learn from this qualification to new and different situations and contexts.

The externally assessed unit R038 allows students to gain underpinning knowledge and understanding

relevant to engineering design and development, and the non examined assessment (NEA) units R039 and R040 draw on and strengthen this learning by letting students apply their learning in a practical, skills-based way by communicating ideas through the creation, testing, production and evaluation of them.

It is important to be aware of the synoptic links between the units so that teaching, learning and assessment can be planned accordingly. Then students can apply their learning in ways which show they are able to make connections across the qualification when they are assessed.

5.3 Transferable skills

This qualification also allows students the opportunity to gain broad, transferable skills and experiences that can be applied as they progress into their next stages of study and life and to enhance their preparation for future employment.

Students will have the opportunity to develop the following skills that are transferable to different real-life contexts, roles or employment:

- Conducting research as part of the development of engineering design ideas

- Solving problems by exploring different engineering design options, and selecting an appropriate design
- The use of creative thinking to find imaginative design solutions
- Written analytical skills that are developed through product analysis and disassembly of existing products

5.4 Grading and awarding grades

All results are awarded on the following scale:

- Distinction* at Level 2 (*2)
- Distinction at Level 2 (D2)
- Merit at Level 2 (M2)
- Pass at Level 2 (P2)
- Distinction at Level 1 (D1)
- Merit at Level 1 (M1)
- Pass at Level 1 (P1).

The shortened format of the grade will show within results files and results reports.

However, the full format of the grade will be on the certificates issued to students.

The boundaries for Distinction at Level 2, Pass at Level 2, and Pass at Level 1 are set judgements. Other grade boundaries are set arithmetically.

The Merit (Level 2) is set at half the distance between the Pass (Level 2) grade and the Distinction (Level 2) grade. Where the gap does not divide equally, the Merit (Level 2) boundary is set at the lower mark (For example, 45.5 would be rounded down to 45).

For the examined unit, the Distinction* (Level 2) grade is normally set at about 0.75 of the D2-M2 distance above the D2 boundary mark.

To set the Distinction (Level 1) and Merit (Level 1) boundaries, the gap between the Pass (Level 1) grade and the Pass (Level 2) grade is divided by 3, and the boundaries set equidistantly. Where this division leaves

a remainder of 1, this extra mark will be added to the Distinction (Level 1) to Pass (Level 2) interval, meaning the Distinction (Level 1) boundary will be lowered by 1 mark. Where this division leaves a remainder of 2, the extra marks will be added to the Distinction (Level 1) to Pass (Level 2) interval, and the Merit (Level 1) to Distinction (Level 1) interval, meaning the Distinction (Level 1) boundary will be lowered by 1 mark, and the Merit (Level 1) boundary will be lowered by 1 mark.

For example, if Pass (Level 2) is set judgementally at 59, and Pass (Level 1) is set judgementally at 30, then Distinction (Level 1) is set at 49, and Merit (Level 1) is set at 39.

Grades are indicated on qualification certificates. However, results for students who fail to achieve the minimum grade (Pass at Level 1) will be recorded as unclassified (U or u) and **will not** be shown on certificates.

This qualification is unitised. Students can take units across different series and can resit units (see [section 7.8 Unit and qualification resits](#)). Grade boundaries are set per unit, per series, so may be set in different places for a unit in different series. When working out students'

overall grades, OCR needs to be able to compare performance on the same unit in different series when different grade boundaries may have been set, and between different units. We use a Uniform Mark Scale (UMS) so this can be done.

A student's uniform mark for each unit is calculated from the student's raw mark on that unit. The raw mark boundary marks are converted to the equivalent uniform mark boundary. Marks between grade boundaries are converted on a pro rata basis.

When unit results are issued, the student's unit grade and uniform mark are given. The uniform mark is shown out of the maximum uniform mark for the unit (for example, 42/60).

The table below shows the Raw marks and UMS marks for each unit:

Marks	Exam	NEA1	NEA2
Raw marks	70	60	60
UMS	80	60	60

The uniform mark boundaries for each of the assessments do not change and are shown below:

Unit GLH	Max Unit Uniform Mark	Unit Grade							
		Distinction* at L2	Distinction at L2	Merit at L2	Pass at L2	Distinction at L1	Merit at L1	Pass at L1	U
36	60	54	48	42	36	30	24	18	0
48	80	72	64	56	48	40	32	24	0

The student's uniform mark for Unit R038 will be combined with the uniform mark for the NEA units to give a total uniform mark for the qualification.

The student's overall grade will be determined by the total uniform mark. The following table shows the minimum total mark for each overall grade.

Max Uniform Mark	Qualification Grade							
	Distinction* at L2	Distinction at L2	Merit at L2	Pass at L2	Distinction at L1	Merit at L1	Pass at L1	U
200	180	160	140	120	100	80	60	0

A calculator is available on the [OCR website](#) to help you convert raw marks to uniform marks.

5.5 Performance descriptors

Performance descriptors give a general indication of likely levels of attainment by representative students performing at boundaries: Distinction at Level 2, Pass at Level 2 and Pass at Level 1.

Performance descriptor – Distinction at Level 2

Students will be able to:

- recall, select and apply **detailed** knowledge and understanding of engineering
- present information **clearly** and **accurately**, using a **wide range** of technical language and engineering terminology
- apply **relevant** knowledge, understanding and skills in a **range** of situations to plan and carry out investigations and tasks **effectively**, testing their solutions, and working safely and with a **high degree of precision**
- analyse and evaluate the evidence available, reviewing and adapting their methods **where appropriate**
- make **reasoned** judgements and **substantiated** conclusions
- work **confidently and independently** to create material which reflects **effective** planning, **skilled** development and **perceptive** evaluation as well as **demonstrating** practical skills at a **high level**.

Performance descriptor – Pass at Level 2

Students will be able to:

- recall, select and apply **sound** knowledge and understanding of engineering
- present information with **some accuracy**, using a **range of** technical language and engineering terminology
- apply knowledge, understanding and skills in a **range** of situations to plan and carry out investigations and tasks, testing their solutions, and working safely and with **precision**
- review evidence available, analysing and evaluating **some** information and making **adequate** adaptations to their methods
- make **judgements** and draw **appropriate** conclusions
- work with some **independence** to create material which reflects **adequate** planning, development and evaluation and an ability to demonstrate **sound** practical skills.

Performance descriptor – Pass at Level 1

Students will be able to:

- recall, select and apply knowledge and understanding of **basic** aspects of engineering
- present **basic** information, using **limited** engineering terminology
- apply **limited** knowledge, understanding and skills to plan and carry out **simple** investigations and tasks, with an awareness of the need for safety and precision
- review evidence and draw **basic** conclusions
- work, with **regular** assistance, to create material which demonstrates a degree of planning, development and evaluation and **limited** practical skills.

6 Non examined assessment (NEA) units (R039 and R040)

This section provides guidance on the completion of the NEA units (R039 and R040). The NEA units are designed so that students can build a portfolio of evidence to meet the topic areas for the unit.

Assessment for this qualification must adhere to JCQ's [Instructions for Conducting Coursework](#).

Please **do not** use JCQ's Instructions for Conducting Non-examination Assessments – these are only relevant to A Level and GCSE specifications.

Units R039 and R040 are centre assessed and externally moderated by us.

You **must** make sure that you have read and understood all of the rules and guidance provided in this section **before** your students complete and you assess the set-assignments.

If you have any queries please [contact us](#) for help and support.

6.1 Preparing for NEA unit delivery and assessment

6.1.1 Centre and teacher/assessor responsibilities

For the NEA units of this qualification we assume the teacher is the assessor.

Before you plan to get [approval](#) from us to offer this qualification you must be confident your centre can fulfil all the responsibilities described below. Once you're approved, you can offer any of our general qualifications and/or Cambridge Nationals without having to seek approval for individual qualifications.

The quality of the delivery of teaching and the integrity of assessments and quality assurance is paramount. Systems must be in place so that assessments are fair, valid, reliable and authentic. One of the key factors behind valid, fair and reliable assessment is the expertise of those doing the assessment and internal quality assurance.

With this in mind, here's a summary of the responsibilities that your centre and teachers must be able to fulfil. It is the responsibility of the head of centre¹ to make sure our requirements are met:

- there are enough trained or qualified people to teach and assess the expected number of students you have in your cohorts and they will complete the **OCR Essentials for Internal Assessment** training prior to assessment of the set-assignment
- teaching staff have the relevant level of subject knowledge and skills to deliver and assess this qualification
- teaching staff will fully cover the knowledge, understanding and skills requirements in teaching and learning activities
- necessary resources are available for teaching staff and students during teaching and assessment activities, to give students every opportunity to meet the requirements of the qualification and reach the highest grade possible
- there's a system of standardisation in place so that all assessment decisions for teacher-marked (centre assessed) assignments are consistent, fair, valid and reliable (see [internal standardisation](#) in section 6.4.3)
- there's enough time for effective teaching and learning, assessment and internal standardisation
- processes are in place to make sure that students' work is individual and confirmed as being authentic (see [Ways to authenticate work](#) in section 6.2.1)
- you must use the OCR-set assignments for students' summative assessments
- the OCR-set assignments must not be used for practice (see section 6.2, [Requirements and guidance for delivering and marking the OCR-set assignments](#)). Sample assessment material for each of the NEA units is available on Teach Cambridge and the OCR website. The Sample assessment material can be used for practice purposes
- students understand what they need to do to get the highest marks possible
- students understand what it means when we say work must be authentic and individual and they (and you) must follow any requirements we set out to make sure their work is their own

1 This is the most senior officer in the organisation, directly responsible for the delivery of OCR qualifications, For example, the headteacher or principal of a school/college. The head of centre accepts full responsibility for the correct administration and conduct of OCR exams.

- students know they must not reference another individual's personal details in any evidence produced for summative assessment in accordance with the General Data Protection Regulations (UK GDPR). It is the student's responsibility to make sure evidence that includes another individual's personal details is anonymised
- marks submitted to us are correct and are accurately recorded
- assessment of set assignments must adhere to JCQ [Instructions for Conducting Coursework](#) and JCQ [AI Use in Assessments: Protecting the Integrity of Qualifications](#)
- a declaration is made at the point you're submitting any work to us for assessment that confirms:
 - all assessment is conducted according to the specified regulations identified in the [Administration area of our website](#),
 - students' work is authentic
 - marks have been transcribed accurately
- centre records and students' work are kept according to the requirements below:
 - students' work must be kept until after their unit has been awarded and any review of results or appeals processed. We will not consider any review if the work has not been kept
 - internal standardisation and assessment records must be kept securely for a minimum of three years after the date we've issued a certificate for a qualification
- All cases of suspected malpractice involving teachers or students must be reported (see ['Reporting suspected malpractice'](#) in section 6.3.1).

6.2 Requirements and guidance for delivering and marking the OCR-set assignments

The assignments are set by us, taken under supervised conditions, marked by the teacher and moderated by us. Assignments are available on our secure website, Teach Cambridge.

The set assignments give an approximate time that it will take to complete all tasks. These timings are for guidance only, but should be used by you, the teacher, to give students an indication of how long to spend on each task. You can decide how the time should be allocated between each part or individual task. You are also permitted to spread the tasks across several sessions, and therefore it is permissible for evidence to be produced over several sessions.

We will replace the set assignments each year, published on 1st June. You must check our secure website Teach Cambridge and use the set assignment that is live for assessment. The live assessment dates will be shown on the front cover. You must only use the assignments for assessment during the dates shown on the front cover.

You must have made entries for the series you are intending to submit the NEA work for.

Assessment of the set assignments must adhere to JCQ [Instructions for Conducting Coursework](#).

[Appendix A](#) of this specification gives guidance for creating electronic evidence for the NEA units. Please read Appendix A along with the unit content and

marking criteria grids as it might help you plan your delivery of the units.

The rest of this section deals with how we expect you to manage the delivery and marking of the set assignments, so that assessment is valid and reliable. Please note that failing to meet these requirements may be deemed to be malpractice.

Here is a summary of what we need you to do.

You **must**:

- have covered the knowledge, understanding and skills with your students and be sure they are ready for assessment before you start the summative assessment
- give students the [Student Guidance](#) document before they start the assessment
- make sure students are clear about the tasks they must complete and the criteria they are expected to meet. You can:
 - explain the task
 - provide a copy of the marking criteria to students
- allow students a reasonable amount of time to complete the assignments and be fair and consistent to all students. The time you allow should be in line with the estimated time we think it should take which is stated in the OCR-set assignments. Within that time students can work on

the tasks any time until the date the centre collects the work for centre assessment

- tell the students the resources and sources of assets that they can use in the assignment before undertaking the assessment tasks
- only give students OCR-provided templates. If they opt to use a template from a book, a website or course notes when, for example, creating a plan for the production of a prototype, they must make sure the source is referenced
- monitor students' progress to make sure work is capable of being assessed against the marking criteria, on track for being completed in good time and is the **student's own** work:
 - work must be carried out with enough supervision to make sure that the work submitted can be confidently authenticated as the student's own work. You must also be familiar with the requirements of the JCQ document [AI Use in Assessments: Protecting the Integrity of Qualifications](#)
 - NEA work **must** be completed during normal curriculum time and supervised and marked by the teacher/assessor
 - if you provide any material to prepare students for the set assignment, you must adhere to the rules on using referencing and on acceptable levels of guidance to students set out within the Plagiarism and Feedback sections (see 6.2.2 [Plagiarism](#) and 6.3 [Feedback](#))
 - students must produce their work independently (see 6.2.1 and 6.3 on [Ways to authenticate work](#) and [Feedback](#))
 - you must make sure students are aware of the requirement to keep their work secure,

not share with other students and keep their passwords secure

- allow students to take the initiative to improve any element of their work as they work through the assignment
- use the marking criteria to mark students' work

Before submitting a final mark to us, you can allow students to repeat any element of the assignment and rework their original evidence. But, any feedback given to students on the original (marked) evidence, must only be generic and must be recorded and available to the moderator (see section 6.3 on [Feedback](#) and section 6.4.4 on [Reattempting work before submitting marks to OCR](#)).

You **must not**:

- change any aspect of the OCR-set assignments (scenarios or tasks)
- accept multiple resubmissions of work where small changes have been made in response to feedback
- allow teachers or students to add, amend or remove any work after students have submitted work for moderation. This will constitute malpractice
- give detailed advice and suggestions to individuals or the whole class on how work may be improved to meet the marking criteria
- practise the OCR-set assignment tasks with the students
- create practice assignments and practice data which are similar in nature to those set by us
- use past OCR-set assignments, or amend past set assignments, for practise purposes.

6.2.1 Ways to authenticate work

You must be confident that the work you mark is the student's own. Every student must produce their own work independently. You must use enough supervision, or complete sufficient checks, to be able to judge the authenticity of the student's work.

Wherever possible, the teacher should discuss work-in-progress with students. This will make sure that work is being completed in a planned and timely way and provide opportunities for you to check authenticity of the work.

You must:

- have read and understood the JCQ document [AI Use in Assessments: Protecting the Integrity of Qualifications](#)
- make sure students and other teachers understand what constitutes plagiarism and not accept plagiarised work as evidence (you might find the JCQ document [Plagiarism in Assessments](#) helpful)
- use supervision and questioning as appropriate to confirm authenticity
- make sure students and teachers fill in declaration statements.

6.2.2 Plagiarism

When producing final 'written' pieces of work for the set assignments, students must use their own words to show they have genuinely applied their knowledge and understanding. When students use their own words, ideas and opinions, it reduces the possibility of their work being identified as plagiarised.

Plagiarism is:

- the submission of someone else's work as your own
- failure to acknowledge a source correctly, including any use of Artificial Intelligence (AI).

You might find the following JCQ documents helpful:

- [Plagiarism in Assessments](#)
- [AI Use in Assessments: Protecting the Integrity of Qualifications](#)

Plagiarism makes up a large percentage of cases of suspected malpractice reported to us by moderators. Teachers must make sure they do not accept plagiarised work as evidence.

Plagiarism often occurs innocently when students do not know that they must reference or acknowledge their sources or aren't sure how to do so. It's important to make sure your students understand:

- the meaning of plagiarism and what penalties may be applied
- that they can refer to research, quotations or evidence produced by somebody else but they must list and reference their sources and clearly mark quotations
- quoting someone else's work, even when it's properly sourced and referenced, doesn't evidence understanding. The student must 'do' something

with that information to show they understand it. For example, if a student has to analyse data from an experiment, quoting data doesn't show that they understand what it means. The student must interpret the data and, by relating it to their assignment, say what they think it means. The work must clearly show how the student is using the material they have referenced **to inform their** thoughts, ideas or conclusions.

We have a guide to referencing on our website [The OCR Guide to Referencing](#) and we have also produced a [poster](#) on referencing and plagiarism which may be useful to share with students.

Some useful tips are:

- Best practice is to always reference material copied from the internet or other sources. This applies to infographics (graphical information providing data or knowledge) as well
- Teach your students how to reference and explain why it's important to do it. At Key Stage 4 it is sufficient if they:
 - use quote marks to show the beginning and end of the copied work
 - for website text, list the html address and ideally the date they accessed the website
 - for other publications, list the name of the resource/book/printed article and ideally the year in which it was published.
- Students must also identify information they have copied from teaching handouts and presentations for the unit, using quote marks and stating the text is from class handouts.

Identifying copied/plagiarised work

Inconsistencies throughout a student's response are often indicators of plagiarism. For example:

- different tones of voice, sentence structure and formality across pieces of work
- use of American expressions, spellings and contexts (such as American laws and guidelines)
- dated expressions and references to past events as being current
- sections of text in a document where the font or format is inconsistent with other sections.

What to do if you think a student has plagiarised

If you identify plagiarised work at the point of marking or moderation:

- this must be taken into account when applying the mark scheme.
 - the work should be included with any work that is sent to the moderator if it is part of the moderation sample, with a note on the Unit Recording Sheet to state that there is plagiarism in the work and that marks have been adjusted accordingly

- the student(s) must be reported for plagiarism in line with the JCQ document [Suspected Malpractice Policies and Procedures](#)
 - Fill in the JCQ [form M1](#)

In line with the policy and procedures of JCQ on suspected malpractice, the penalties applied for plagiarism would usually result in the work not being allowed or the mark being significantly reduced.

6.3 Feedback

Feedback to students on work in progress towards summative assessment

You can discuss work-in-progress towards summative assessment with students to make sure it's being done in a planned and timely way. It also provides an opportunity to check the authenticity of the work. You must intervene if there's a health and safety risk.

Generic guidance to the whole class is also allowed. This could include reminding students to check they have provided evidence to cover every aspect of the task. Individual students can be prompted to double check for gaps in evidence providing that specific gaps are not pointed out to them.

You can give general feedback and support if one or more students are struggling to get started on an aspect of the assignment or following a break between sessions working on the assignment. For example, if a student is seeking more guidance that suggests they are not able to apply knowledge, skills and understanding to complete their evidence you can remind them that they had a lesson which covered the relevant topic. The student would then need to review their own notes to find this information and apply it as needed.

Feedback must not provide specific advice and guidance that would be construed as coaching. This would compromise the student's ability to independently perform the task(s) they are doing and constitutes malpractice. Our moderators use a number of measures to assure themselves the work is the student's own.

Once work has been marked, feedback must be provided to students on the work they submitted for assessment.

Feedback **must**:

- be supportive, encouraging and positive
- tell the student what has been noticed, not what the teacher thinks (for example if you have observed the student completing a task you can describe what happened, what was produced and what was demonstrated)

Feedback **can**:

- identify what task and part of the task could be improved, but not detail how to improve it. You could show the student work from a **different** unit that demonstrates higher achievement, but you must not detail to the student how they could achieve that in their work. If you are using another student's work from another unit as a model answer, please anonymise this work. You could remind students that they had a lesson on a specific topic and that they could review their notes, but you must not tell them how they could apply the teaching to improve their work.
- comment on what has been achieved, for example 'the evidence shows an **adequate** understanding for MB2'
- identify that the student hasn't met a command verb or mark band requirement. For example, 'This is a description, not an evaluation'
- use text from the specification, assignment or marking criteria in general guidance to clarify what is needed in the work. For example 'you need to consider all points relating to the evaluation of your prototype. You have given a **comprehensive** evaluation of the prototype outcome against the product specification, but only provided **some** justified potential improvements'

- point out where the work sits within the mark bands but students must make their own decisions as to what to improve and how. For example, the feedback can say 'this shows a **sound** understanding' (for mark band 2) but not precisely what should be added to make it show a **comprehensive** understanding (for mark band 3).
- provides a step-by-step guide on what to do to complete or improve work, for example you must not give headings or templates that include examples which give all or part of what students have to write about or produce.
- talk the student through how to achieve or complete the task.

Feedback **must not**:

- point out specific gaps, for example you must not prompt the student to include specific detail in their work, such as 'You need to improve this by giving more detail'
- be so detailed that it
 - leads students to the answer, for example you must not give model answers on the **same** unit being taken or explain specifically what amendments should be made. If work from another student on a **different unit** is being used to model answers, please ensure it is anonymised.

- give detail on where to find information/evidence.

In other words, feedback must help the student to take the initiative in making changes. It must not direct or tell the student what to do to complete or improve their work in a way that means they do not need to think how to apply their learning. Students need to recall or apply their learning. You must not do the work for the student(s).

Neither you nor the student can add, amend or remove any work after the final mark has been submitted for moderation.

Please see additional guidance for students who wish to resubmit their work following OCR moderation in [Section 6.7](#).

What over-direction might look like

When we see anything that suggests the teacher has led students to the answer, we become concerned because it suggests students have not worked independently to produce their assignment work. The following are examples of what may indicate over-direction by the teacher:

- prompts that instruct students to include specific detail in their work, such as, 'You need to define the difference between sketching techniques. How are they used? Which techniques are most appropriate?'

- headings or templates that include examples which give all or part of what students have to write about or produce, such as planning the manufacture of a prototype.

Moderators will report suspected malpractice when they cannot see differences in content between students' work in the sample they are moderating. An exception is when students have only used and referenced technical facts and definitions. If the moderator is in any doubt, they will report suspected malpractice. The decision on whether or not to investigate is made by us not the moderator.

6.3.1 Reporting suspected malpractice

It is the responsibility of the head of centre to report all cases of suspected malpractice involving teachers or students.

A JCQ Report of Suspected Malpractice form (JCQ/M1 for student suspected malpractice or JCQ/M2 for staff suspected malpractice) is available to download from the [JCQ website](#) and must be completed as soon as possible and emailed to us at malpractice@ocr.org.uk.

When we ask centres to investigate instances of malpractice, heads of centres must act promptly and report the outcomes to us.

More information about reporting and investigating suspected malpractice, and the possible sanctions and penalties which could be imposed, is in the JCQ publication: [Suspected Malpractice Policies and Procedures](#). You can also find out more on our [website](#).

6.3.2 Supervision

NEA work must be completed in normal curriculum time and supervised and marked by the teacher. You must use enough checks so you're confident the student's work is authentic.

For example, you can use questioning to confirm the depth and breadth of their understanding of the topic they've covered in a specific piece of work.

6.3.3 Student and centre declarations

Both students and teachers must declare that the work is the student's own:

- **each student** must sign a declaration before submitting their work to their teacher. A candidate authentication statement that can be used is available to download from the OCR website. These statements should be kept within the centre until all enquiries about results, malpractice and appeal
- issues have been resolved. **A mark of zero must be recorded if a student cannot confirm the authenticity of their work**
- **teachers** must declare the work submitted for centre assessment is the student's own work by completing a centre authentication form (CCS160) for each unit. Centre authentication forms should be kept within the centre until all post-results issues have been resolved.

6.3.4 Group working

We do not assess the skills associated with group work in this qualification and the OCR-set assignment will not include it. If it is necessary to use group work to make the delivery of the assignment more manageable, you

must make sure that all practical tasks and evidence submitted for assessment that shows the student has met the marking criteria is entirely the individual's own work.

6.3.5 Methods of assessment

It is your responsibility to choose the best method of assessing a student in relation to their individual circumstances. The methods chosen must be:

- valid
- reliable
- safe and manageable
- suitable to the needs of the student.

Valid

Validity can be compromised if a student does not understand what is being asked of them. For example, one valid method of assessing a student's knowledge and understanding is to question them. If the questions posed are difficult for the student to understand (not in terms of the content but the way they are phrased, for example) the validity of the assessment method is questionable.

As well as assessment methods being valid, the evidence presented must also be valid. For example, it would not be appropriate to present an organisation's equal opportunities policy as evidence towards a student's understanding of how the equal opportunities policy operates within the organisation. It would be more appropriate for the student to incorporate the policy within a report describing different approaches to equal opportunities.

Reliable

A reliable method of assessment will produce consistent results for different assessors on each assessment occasion. Internal moderators must make sure that all assessors' decisions are consistent.

Safe and manageable

Assessors and internal moderators must make sure that the assessment methods are safe and manageable and do not put unnecessary demands on the student.

Suitable to the needs of the student

We are committed to ensuring that achievement of these qualifications is free from unnecessary barriers. You must follow this commitment through when amending tasks and/or considering assessment. If you are amending tasks and are not sure what is acceptable, [contact us](#).

Observation and questioning

The primary evidence for assessment is the work submitted by the student, however we consider the following assessment methods suitable for teachers/assessors to use for these qualifications:

- **observation** of a student doing something
- **questioning** of the student or witness.

Observation

The teacher/assessor and student should plan observations together but it is the teacher's/assessor's responsibility to record the observation properly (for example observing a student undertaking a practical task). Find more information in the [Teacher Observation Records](#) section below.

Questioning

Questioning the student is normally an ongoing part of the formative assessment process and may, in some circumstances, provide evidence to support achievement of the criteria.

Questioning is often used to:

- test a student's understanding of work which has been completed outside of the classroom
- check if a student understands the work they have completed
- collect information on the type and purpose of the processes a student has gone through.

If questioning is to be used as evidence towards achievement of specific topic areas, it is important that teachers/assessors record enough information about what they asked and how the student replied, to allow the assessment decision to be moderated.

6.3.6 Teacher Observation Records

It is a requirement that a teacher completes the Teacher Observation Record form, located on our secure website, Teach Cambridge, for **the following OCR set-assignment Unit R040** for each student as evidence of production. The Teacher Observation Record form should support evidence, a student producing a prototype (R040), alongside evidence such as a "making diary" and digital recordings/photographic evidence (R040).

Teacher observation **cannot** be used as evidence of achievement for a whole unit. Most evidence should be produced directly by the student. Teacher observation should only be used where specified as an evidence requirement (For example, for the prototype production in R040).

Teacher Observation Records must be suitably detailed for each student, to help assessors to determine if the grading criteria have been met. You must follow the guidance provided in the 'guidance notes' section of the form so that the evidence captured and submitted is appropriate. Both the student and the teacher must sign and date the form to show that you agree its contents.

Where the guidance has not been followed, the reliability of the form as evidence may be called into question. If doubt about the validity of the Teacher Observation Record form exists, it cannot be used as assessment evidence and marks based on it cannot be awarded. Moderators will be instructed to adjust centre marks accordingly.

6.3.7 Presentation of the final piece of work

Students must observe the following procedures when producing their final piece of work for the NEA tasks:

- work can be word processed or hand-written
- tables and graphs (if relevant) may be produced using appropriate ICT
- any copied material must be suitably acknowledged
- quotations must be clearly marked and a reference provided
- a completed Unit Recording Sheet must be attached to work submitted for moderation. The Unit Recording Sheet can be downloaded from the [qualification page](#)

- Centres **must** provide guidance on the Unit Recording Sheet (URS) to show where specific evidence can be found. This may be through the use of the 'page number' column and/or by referencing file names and locations
- Work submitted digitally for moderation should be on electronic media (for example, on our portal, CD or USB Drive), and be in a suitable file format and structure, as detailed in Appendix A at the end of this specification. Students must submit their completed product(s) in an electronic format that is suitable for the client in the set assignment.

6.4 Marking NEA units

All NEA units are internally marked by teachers using the OCR marking criteria and guidance and externally moderated by the OCR-appointed moderator. Assessment of the set assignments must adhere to [JCQ Instructions for Conducting Coursework](#).

The centre is responsible for appointing someone to act as the assessor. This could be the teacher who has delivered the programme or another person from the centre.

The marking criteria must be used to mark the student's work. These specify the levels of skills, knowledge and understanding that the student is required to demonstrate.

6.4.1 Use of a 'best fit' approach to marking criteria

The assessment tasks should be marked by teachers/assessors according to the OCR marking criteria using a 'best fit' approach. For each of the marking criteria, teachers/assessors select the band descriptor provided in the marking grid that most closely describes the quality of the work being marked.

Marking should be positive, rewarding achievement rather than penalising failure or omissions. The award of marks **must be** directly related to the marking criteria.

- Each band descriptor covers all the relevant content for the topic areas.
- The descriptors should be read and applied as a whole.
- Make a best fit match between the answer and the band descriptors.
- An answer does not have to meet all of the requirements of a band descriptor before being placed in that band. It will be placed in a particular band when it meets more of the requirements of that band than it meets the requirements of other bands.
- Where there is more than one strand within the band descriptors for a topic area and a strand has not been addressed at all, it is still possible for the answer to be credited within that mark band depending upon the evidence provided for the remaining strands. The answer should be placed in the mark band most closely reflecting the standard achieved across all strands within the band descriptors for topic areas; however in this scenario, the mark awarded for that band should reflect that a strand has not been addressed.

When deciding the mark within a band, the criteria below should be applied:

- the extent to which the statements within the band have been achieved. For example:
 - an answer that convincingly meets nearly all of the requirements of a band descriptor should be placed at or near the top of that band. Where the student's work convincingly meets the statements, the highest mark should be awarded
 - an answer that meets many of the requirements of the band descriptor should be placed in the middle of the band. Where the student's work adequately meets the statements, the most appropriate mark in the middle range should be awarded
 - if an answer is on the borderline between two bands but it is decided that it better fits the descriptors for:
 - the lower of these two bands - it should be placed near the top of the lower band
 - the higher of these two bands - the lowest mark for the higher band should be awarded.
- If a student's work does not meet any Mark Band 1 (MB1) criteria for the task, you must award zero marks for that task

Teachers/assessors should use the full range of marks available to them and award full marks in any band for work that fully meets that descriptor. This is work that is 'the best one could expect from students working at that level'.

6.4.2 Annotating students work

Each piece of NEA work should show how the marks have been awarded in relation to the marking criteria.

Writing comments on students' work and Unit Recording Sheet (URS) provides a means of

communication between teachers during the internal standardisation, and with the moderator if the work is part of the moderation sample.

6.4.3 Internal standardisation

It is important that all teachers/assessors work to common standards. Centres must make sure that, within each unit, the internal standardisation of marks across teachers/assessors and teaching groups takes place using an appropriate procedure.

This can be done in a number of ways. In the first year, reference material and OCR training meetings will provide a basis for centres' own standardisation. In following years, this, or centres' own archive material, may be used. We advise centres to hold preliminary meetings of staff involved to compare standards through cross-marking a small sample of work. After most marking has been completed, a further meeting at which work is exchanged and discussed will help final adjustments to be made.

If you're the only assessor in your centre for this qualification, then it's still advisable to make sure your assessment decisions are internally standardised by someone else in your centre, ideally someone who has experience of the nature of this qualification (For example, is delivering a similar qualification in another subject) or relevant subject knowledge and asking them to review a sample of the assessments.

You must keep evidence of internal standardisation in the centre for the moderator to see.

We have a [guide](#) to how internal standardisation may be approached on our website.

6.4.4 Reattempting work before submitting marks to OCR

As described in [Section 6.2](#), before submitting a final mark to us, you can allow students to repeat any element of the assignment and rework their original evidence – we refer to this as a '**reattempt**'. This is to allow the student to reflect on the feedback, which must be recorded, and improve their work. It is not an

iterative process where they make small modifications through ongoing feedback to eventually achieve the desired grade.

6.4.5 Submitting marks

All work for NEA units is marked by the teacher and internally standardised by the centre. Marks are then submitted to us. You can find the key dates and timetables on our [website](#).

There should be clear evidence that work has been attempted and some work produced. If a student

submits no work for a NEA unit, the student should be identified as being absent from that unit.

If a student completes any work at all for a NEA unit, then the work should be assessed according to the marking criteria and the appropriate mark awarded. This may be zero.

6.5 Moderating NEA units

The purpose of external moderation is to make sure that the standard of marking is the same for each centre and that internal standardisation has taken place.

The [administration](#) pages of our website provide full details about how to submit work for moderation.

This includes the deadline dates for entries and submission of marks. For moderation to happen, centres must submit their marks.

6.5.1 Sample requests

Once you have submitted your marks, we will tell you which work will be sampled as part of the moderation. Samples will include work from across the range of attainment of students' work.

Work for moderated units can be uploaded to us using our Submit for Assessment service or sent by post.

Copies of students' work must be kept until after their units have been awarded and any review of results or appeals processed.

As it is essential for us to have sample work available at awarding meetings, we may ask some centres to release work for awarding and archive purposes. We will let you know as early as possible if we need this from you and always appreciate your co-operation.

6.5.2 Outcome of moderation

Centres will receive the final outcomes of moderation when the provisional results are issued. Results reports will be available for you to access. More

information about the reports that are available is on our [administration](#) pages.

6.6 Resubmitting moderated work to OCR to improve the grade

We use the term '**resubmission**' when referring to student work that has previously been submitted to OCR for moderation. Following OCR moderation, if you and the student feel they have not performed at their best during the assessment, the student can, with your agreement, improve their work and resubmit it to you again for assessment. You must be sure it is in the student's best interests to resubmit the work for assessment. There is one resubmission opportunity per NEA assignment.

Students can only resubmit work using the **same** assignment if the assignment is still live. The live assessment dates will be shown on the front cover of the assignment. We will not accept work based on an assignment that is no longer live.

If students wish to resubmit a unit after the live assessment date has passed, they must submit work using the new live assignment.

6.7 Recording feedback and decisions

For reattempts and resubmissions, you must record the reasons why a student has been allowed to reattempt or resubmit in your centre's assessment decisions records. You must also follow our guidelines on giving feedback and record the feedback given to the student. All feedback given to the student for the purpose of a reattempt or resubmitting work must be recorded. We have created a feedback form, available on the OCR website, which you can use to help support this. We monitor the assessment decisions you make. You must follow the guidelines outlined in [Section 6](#).

We reserve the right to request the written feedback and the work in its original state. If you do not meet the requirements this will be treated as malpractice.

Neither you nor the student can add, amend or remove any work after the final mark has been submitted for moderation.

See [Section 7.3](#) for terminal assessment rules.

7 Administration

The information in this section gives an overview of the processes involved in administering this qualification. All of the following processes require you to submit something to OCR by a specific deadline. More information about the processes and deadlines involved at each stage of the assessment cycle can be found in the Administration area of the [OCR website](#).

7.1 Assessment availability

There are two assessment series available each year in January and June to all students. Students can be entered for different units in different assessment series.

All students must take the exam at a set time on the same day in a series. Certification (where students achieve the qualification) is available each January and June.

Series	Unit availability	
	Unit R038	Units R039 and R040
January	✓	✓
June	✓	✓

- First assessment for externally assessed unit R038 is January 2024.
- First assessment for NEA units R039 and R040 is January 2023.
- Certification is available from January 2024.

7.2 Collecting evidence of student performance to ensure resilience in the qualifications system

Regulators have published guidance on collecting evidence of student performance as part of long-term contingency arrangements to improve the resilience of the qualifications system. You should review and consider this guidance when delivering this qualification to students at your centre.

For more detailed information on collecting evidence of student performance please visit our [website](#).

7.3 Entry rules, including Terminal Assessment

Terminal assessment rule

The externally assessed unit must be taken as terminal assessment. This means the exam for unit R038 must be taken in the student's final assessment series which could be in January or June. This exam contributes 40% of the total marks available for the qualification.

NEA units can be submitted in any series but must be submitted either before or in the same series as the externally assessed unit.

Certification entries

- For a student to achieve the qualification, you need to make a qualification certification entry (aggregation) for the student's final series
- To be eligible to receive a result, the student must have been entered for both NEA units and met the terminal assessment rule

- You can make certification entries for the final series:
 - via Interchange or EDI at the same time as unit entries for the exam
 - by submitting a post-results late certification request via Interchange before the post-results late certification deadline for the series, which is after you have received exam results for that series
- As a contingency arrangement, if you miss the post-results late certification deadline, you can make a certification entry in a future series, provided:
 - no further assessment has taken place since the terminal rule was met, and
 - you are making a certification entry only for the qualification in that future series (meaning you are not resitting or resubmitting a unit in the same series).

- You can make certification entries in the January or June series – this is the series that will appear on the qualification certificate
- Certification entries and late certification requests are always free of charge.

Post-result late certification

- Post-results late certification requests must be submitted via Interchange by the post-results deadline for the series. The deadline for each series can be found in the key dates document in the Administration area of our [website](#)
- **Note: Once the student has sat the exam in the June series, it is not possible to make a late certification request for the January series, as the final assessment series is now June.**

Resitting units before certification

- Students can take the exam before all the NEA units are completed. This is classed as a 'practice attempt'
 - 'Practice attempts' do not count towards the student's overall grade or in performance tables. The student will be issued with a unit result only
 - When the student has completed all the NEA units, if you do not make a certification entry when you enter for the exam, the exam will be classed as a practice attempt unless you make a late certification entry or a certification entry in a subsequent series
 - If a student takes the exam again after a practice attempt, the result of the latest attempt will count towards the qualification result, even if the practice attempt result was higher
- Work for each NEA assignment can be re-submitted once before the overall qualification is awarded.

Students can only resubmit work using the same assignment if the assignment is still live. We will use the best result of both attempts towards the qualification result.

- If a student takes an NEA unit multiple times using different assignments for that unit, the best result of all attempts will be used to calculate the qualification result as long as they meet the terminal assessment rule.

Retaking the qualification

- After a student has achieved a qualification result, they can resit the externally assessed unit and submit the NEA units again in a later series to improve their qualification result:
 - students can resit the exam without resubmitting the NEA units
 - students cannot resubmit the NEA units only to improve results. In order to meet terminal assessment requirements, they must also resit the exam if they are resubmitting NEA units.
- Students can only resubmit work using the **same** assignment if the assignment is still live. The live assessment dates will be shown on the front cover of the assignment. We will not accept work based on an assignment that is no longer live. If students wish to resit a unit after the live assessment date has passed, they must submit work using the new live assignment.
- The result from the first overall qualification result is used towards the performance tables.

Please refer to the Administration pages of the OCR [website](#) (Administration - OCR) for more information on early entry and discounting.

7.4 Equality Act information relating to Cambridge Nationals

The Cambridge Nationals require assessment of a broad range of skills and, as such, prepare students for further study and higher-level courses.

The Cambridge Nationals qualifications were reviewed to check if any of the competences required presented

a potential barrier to disabled students. If this was the case, the situation was reviewed again to make sure that such competences were included only where essential to the subject.

7.5 Accessibility

There can be adjustments to standard assessment arrangements on the basis of the individual needs of students. It's important that you identify as early as possible whether students have disabilities or particular difficulties that will put them at a disadvantage in the assessment situation and choose a qualification or adjustment that allows them to demonstrate attainment.

If a student requires access arrangements in assessments that need approval from us, this must be gained in Access Arrangements Online. You must select Cambridge Nationals at time of application; approval from GCSE or A Level applications do not extend to Cambridge Nationals. However, more than one qualification type can be selected when making an

application. For guidance or support please contact the [OCR Special Requirements Team](#).

The responsibility for providing adjustments to assessment is shared between your centre and us. Please read the JCQ booklet [Access Arrangements and Reasonable Adjustments](#).

If you have students who need a post-examination adjustment to reflect temporary illness, indisposition

or injury when they took the assessment, please read the JCQ document [A guide to the special consideration process](#).

If you think any aspect of this qualification unfairly restricts access and progression, please email or call our Customer Support Centre.

The access arrangements permissible for use in this specification are as follows:

Access arrangement	Yes/No	Type of assessment
Reader/Computer reader	Yes	All assessments
Scribes/Speech recognition technology	Yes	All assessments
Practical assistants	Yes	All assessments
Word processors	Yes	All assessments
Communication professional	Yes	All assessments
Language modifier	Yes	All assessments
Modified question paper	Yes	Timetabled examinations
Extra time	Yes	All assessments with time limits

7.6 Requirements for making an entry

We provide information on key dates, timetables and how to submit marks on our [website](#).

Centres must be registered with OCR in order to make any entries. We recommend that centres apply to become a registered centre with us, well in advance

of making their first entries. Details on how to register with OCR can be found on our [website](#).

It is essential that unit entry codes are quoted in all correspondence with OCR.

7.6.1 Making estimated unit entries

Estimated entries are not required for Cambridge Nationals in Engineering Design.

7.6.2 Making final unit entries

When making an entry, centres will need the unit entry codes and component codes. Students submitting work must be entered for the appropriate unit entry code from the table below.

Unit entry code	Component code	Assessment method	Unit titles
R038	01	Written paper	Principles of engineering design
R039	01	Moderated – Upload	Communicating designs
R039	02	Moderated – Postal	Communicating designs
R040	01	Moderated – Upload	Design evaluation and modelling
R040	02	Moderated – Postal	Design evaluation and modelling

The same component code must be used for all students entered for a unit in the same session.

Work for moderated units can be uploaded to us using our Submit for Assessment service or sent by post.

The short title for these Cambridge National qualifications is CAMNAT and will display as such on our secure website, 'Interchange' and some of our administrative documents.

You do not need to register your students first. Individual unit entries should be made for the series in which you intend to submit or resubmit an NEA unit or sit the externally assessed examination.

Only make a certification entry using the overall qualification code (see section 7.7) in the final series.

7.7 Certification rules

Students must be entered for qualification certification separately from unit assessment(s). If a certification entry is **not** made, no overall grade can be awarded.

Students must be entered for:

- OCR Level 1/Level 2 Cambridge National in Engineering Design - certification code J822.

7.8 Unit and qualification resits

Students may resit the externally assessed unit R038. **Please see [section 7.3](#) for information relating to our terminal assessment approach.**

Students may resit each NEA assignment once. The best unit result from the NEA units will be used to calculate the certification result.

You must make sure that when arranging resit opportunities they are fair to all students and do not give students an unfair advantage over other students. For example, the student must not have direct guidance and support from the teacher in producing further evidence for NEA units. When resitting a NEA

unit, students must submit new, amended or enhanced work, as detailed in the JCQ [Instructions for conducting coursework](#).

Centres must make sure that when arranging resit opportunities they do not adversely affect other assessments being taken.

Arranging a resit opportunity is at the centre's discretion. Summative assessment series must not be used as a diagnostic tool and resits should only be planned if it is clear that the student has taken full advantage of the first assessment opportunity and formative assessment process.

7.9 Post-results services

A number of post-results services are available:

- reviews of results – if you think there might be something wrong with a student's results, you may submit a review of marking or moderation
- missing and incomplete results – this service should be used if an individual subject result for a student is missing, or the student has been omitted entirely from the results supplied
- access to scripts – you can ask for access to marked scripts
- late certification – following the release of unit results, if you have not previously made a certification entry, you can make a late request, which is known as a **late certification**. This is a free service.

Please refer to the JCQ [Post-Results Services booklet](#) and the [OCR Administration](#) page for further guidance about action on the release of results.

For internally assessed units the review of results process cannot be carried out for one individual student; the outcome of a review of moderation must apply to a centre's entire cohort.

Appendix A: Guidance for the production of electronic evidence

Structure for evidence

The centre-assessed (NEA) units in this qualification are units R039 and R040. For each student, all the tasks together will form a portfolio of evidence, stored electronically. Evidence for each unit must be stored separately.

An internal assessment portfolio is a collection of folders and files containing the student's evidence. Folders should be organised in a structured way so that the evidence can be accessed easily by a teacher or moderator. This structure is commonly known as a folder tree. It would be helpful if the location of particular evidence is made clear by naming each file

and folder appropriately and by use of an index called 'Home Page'.

There should be a top-level folder detailing the student's centre number, OCR candidate number, surname and forename, together with the unit code (R039 and R040), so that the portfolio is clearly identified as the work of one student.

Each student's internal assessment portfolio should be stored in a secure area on the centre's network. Before submitting the portfolio to OCR, the centre should add a folder to the folder tree containing the internal assessment and summary forms.

Data formats for evidence

In order to minimise software and hardware compatibility issues it will be necessary to save students' work using an appropriate file format.

Students must use formats appropriate to the evidence that they are providing and appropriate to viewing for assessment and moderation. Open file formats or proprietary formats for which a downloadable reader or player is available are acceptable. **Where this is not available, the file format is not acceptable.**

Evidence submitted is likely to be in the form of word processed documents, presentation documents, digital photos and digital video.

To make sure files are compatible, all files submitted electronically must be in the formats listed below. Where new formats become available that might be acceptable, we will provide further guidance. We advise against changing the file format that the document was originally created in. Files should be exported in a generic format that can be opened on a PC computer system without any specialist software applications. It is the centre's responsibility to make sure that the electronic portfolios submitted for moderation are accessible to the moderator and fully represent the evidence available for each student.

Standard file formats acceptable as evidence for the Cambridge Nationals are listed here.

File type	File format	Max file size*
Audio	.3g2 .3ga .aac .aiff .amr .m4a .m4b .m4p .mp3 .wav	25GB
Compression	.zip .zipx .rar .tar .tar .gz .tgz .7z .zipx .zz	25GB
Data	.xls .xlsx .mdb .accdb .xlsb	25GB
Document	.odt .pdf .rtf .txt .doc .docx .dotx	25GB
Image	.jpg .png .jpeg .tif .jfif .gif .heic .psd .dcm .pcx .bmp .wmf	25GB
Presentation	.ppt .pptx .pdf .gslides .pptm .odp .ink .potx .pub	25GB
Video	.3g2 .3gp .avi .flv .m4v .mkv .mov .mp4 .mp4v .wmp .wmv	25GB
Web	.wml .mts .mov-1 .mp4-1 .xspf .mod .mpg	25GB

If you are using **.pages** as a file type, please convert this to a pdf prior to submission.

*max file size is only applicable if using our Submit for Assessment service.

Submit for Assessment is our secure web-based submission service. You can access Submit for Assessment on any laptop or desktop computer running Windows or macOS and a compatible browser. It supports the upload of files in the formats listed in the table above as long as they do not exceed the maximum file size. Other file formats and folder

structures can be uploaded within a compressed file format.

When you view some types of files in our Submit for Assessment service, they will be streamed in your browser. It would help your moderator or examiner if you could upload files in the format shown in the table below:

File type	File format	Chrome	Firefox
Audio	.mp3	Yes	Yes
Audio	.m4a	Yes	Yes
Audio	.aac	No	Yes
Document	.txt	Yes	Yes
Image	.png	Yes	Yes
Image	.jpg	Yes	Yes
Image	.jpeg	Yes	Yes
Image	.gif	Yes	Yes
Presentation	.pdf	Yes	Yes
Video	.mp4	Yes	Yes
Video	.mov	No	Yes
Video	.3gp	Yes	No
Video	.m4v	Yes	Yes
Web	.html	Yes	Yes
Web	.htm	Yes	Yes

Appendix B: Command words

External assessment

The table below shows the command words that will be used in exam questions. They show what we mean by the command word and how students should approach the question and understand its demand. Remember that the rest of the wording in the question is also important.

Word(s)	Students will....
Analyse	<ul style="list-style-type: none">• Separate or break down information into parts and identify their characteristics or elements• Explain the pros and cons of a topic or argument and make reasoned comments• Explain the impacts of actions using a logical chain of reasoning
Annotate	<ul style="list-style-type: none">• Add information, for example, to a table, diagram or graph until it is final• Add all the needed or appropriate parts
Calculate	<ul style="list-style-type: none">• Get a numerical answer showing how it has been worked out
Choose	<ul style="list-style-type: none">• Select an answer from options given
Circle	<ul style="list-style-type: none">• Select an answer from options given
Compare and contrast	<ul style="list-style-type: none">• Give an account of the similarities and differences between two or more items or situations
Complete	<ul style="list-style-type: none">• Add all the needed or appropriate parts• Add information, for example, to a table, diagram or graph until it is final
Create	<ul style="list-style-type: none">• Produce a visual solution to a problem (for example: a mind map, flowchart or visualisation)
Describe	<ul style="list-style-type: none">• Give an account including all the relevant characteristics, qualities or events• Give a detailed account of
Discuss	<ul style="list-style-type: none">• Present, analyse and evaluate relevant points (for example, for/against an argument)
Draw	<ul style="list-style-type: none">• Produce a picture or diagram
Evaluate	<ul style="list-style-type: none">• Make a reasoned qualitative judgement considering different factors and using available knowledge/experience
Explain	<ul style="list-style-type: none">• Give reasons for and/or causes of• Use the words or phrases such as 'because', 'therefore' or 'this means that' in answers
Fill in	<ul style="list-style-type: none">• Add all the needed or appropriate parts• Add information, for example, to a table, diagram or graph until it is final
Identify	<ul style="list-style-type: none">• Select an answer from options given• Recognise, name or provide factors or features
Justify	<ul style="list-style-type: none">• Give good reasons for offering an opinion or reaching a conclusion
Label	<ul style="list-style-type: none">• Add information, for example, to a table, diagram or graph until it is final• Add all the necessary or appropriate parts
Outline	<ul style="list-style-type: none">• Give a short account, summary or description
State	<ul style="list-style-type: none">• Give factors or features• Give short, factual answers

Non examined assessment (NEA)

The tables below show the command words that will be used in the NEA Marking Criteria grids. They explain the type of evidence that you should expect to see to meet each command word.

Mark Band (MB1) Words:

Command word	Meaning
Basic	<ul style="list-style-type: none">Work includes the minimum required. It is a starting point but is simplistic and not developed.Understanding and skills are applied in a way that partly achieves the wanted or intended result, but it would not be useable without further input or work.
Brief/Briefly	<ul style="list-style-type: none">Work includes a small number of relevant facts or concepts but lacks detail, contextualisation or examples.
Dependent	<ul style="list-style-type: none">The student can perform a task when given regular assistance or help
Few	<ul style="list-style-type: none">Work produced is restricted or narrow. It includes less than half of the information or examples expected for a full response.
Inefficient	<ul style="list-style-type: none">Outputs are produced but with great expense or effort because of poor organisation or design and not making the best use of available resources.
Limited	<ul style="list-style-type: none">Work produced is restricted in range or scope and includes only some of the information required. It evidences partial rather than full understanding.Work produced is a starting point rather than a developed process, concept or output.
Minimal	<ul style="list-style-type: none">Includes very little in amount or quantity required.
Simple	<ul style="list-style-type: none">Includes a small number of relevant parts, which are not related to each other.
Superficial	<ul style="list-style-type: none">Work completed lacks depth and detail.

Mark Band (MB2) Words:

Command word	Meaning
Adequate(ly)	<ul style="list-style-type: none">Work includes the appropriate number of relevant facts or concepts but does not include the full detail, contextualisation or examples.
Assisted	<ul style="list-style-type: none">The student can perform a task with occasional assistance or help.
Part(ly)/Partial	<ul style="list-style-type: none">To some extent but not completely.Work produced is inclusive in range and scope. It evidences a mainly developed application of understanding, performance or output needed.Work produced results in a process, concept or output that would be useable for its purpose.
Some	<ul style="list-style-type: none">Work produced is inclusive but not fully comprehensive. It includes over half the information or examples expected for a full response.
Sound	<ul style="list-style-type: none">Valid, logical, shows the student has secured most of the relevant understanding, but points or performance are not fully developed.Applies understanding and skills to produce the wanted or intended result in a way that would be useable.

Mark Band (MB3) Words:

Command word	Meaning
Accurate(ly)	<ul style="list-style-type: none">Acting or performing with care and precision.Correct in all details.
All	<ul style="list-style-type: none">Work produced is fully comprehensive and wide-ranging. It includes almost all, or all the information or examples expected for a full response.
Clear(ly)	<ul style="list-style-type: none">Focused and accurately expressed, without ambiguity.
Complex	<ul style="list-style-type: none">Includes many relevant parts, all of which relate to each other logically.
Comprehensive(ly)	<ul style="list-style-type: none">The work produced is complete and includes everything required to show depth and breadth of understanding.Applies the understanding and skills needed to successfully produce the wanted or intended result in a way that would be fully fit-for-purpose.
Consistent(ly)	<ul style="list-style-type: none">A level of performance which does not vary in quality over time.
Critical	<ul style="list-style-type: none">Objective analysis and evaluation in order to form: a judgement, evaluation of the evidence or effective trouble shooting/fault finding.
Detailed	<ul style="list-style-type: none">Gives point by point consideration of all the key information.
Effective	<ul style="list-style-type: none">Applies the skills required to the task and is successful in producing the desired or intended result.The work produced is effective in relation to a brief.
Efficient	<ul style="list-style-type: none">Able to produce results or outputs with the minimum expense or effort, because of good organisation or design and making the best use of available resources.
Full(y)	<ul style="list-style-type: none">Work produced is comprehensive in range and scope. It evidences a fully developed application of understanding, performance or output needed.Work produced results in a process, concept or output that would be fully fit-for-purpose.
Independent(ly)	<ul style="list-style-type: none">The student can perform a task without assistance or reliance on others
Justify/Justified	<ul style="list-style-type: none">The reasons for doing something are explained in full.
Most(ly)	<ul style="list-style-type: none">Includes nearly all of what is expected to be included.
Wide (ranging)	<ul style="list-style-type: none">Includes many relevant details, examples or contexts; all of which are fully detailed, contextualised or exemplified.

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